

# Transcendental Julia Sets with Fractional Packing Dimension

**Jack Burkart, Stony Brook**

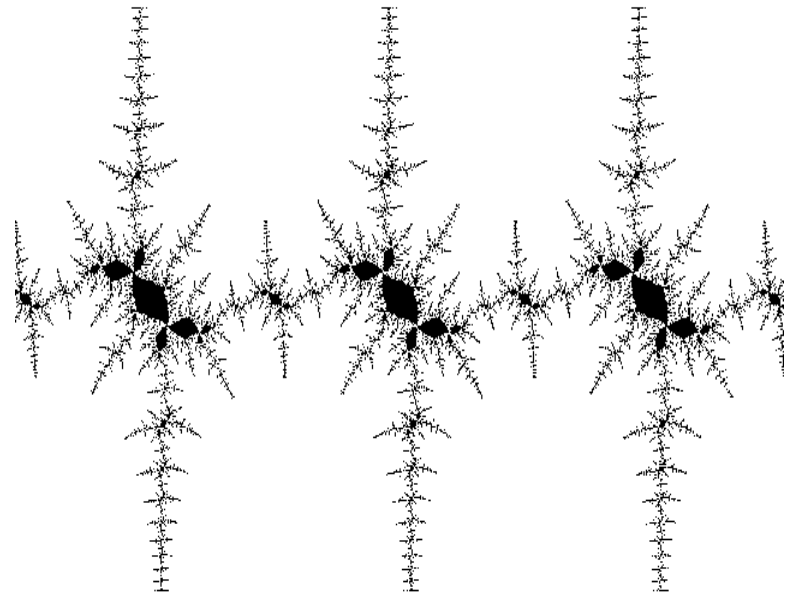
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# PART I: HISTORY AND DEFINITIONS

**Theorem (Baker):** Julia sets of transcendental entire functions contain non-degenerate continua. Hausdorff dimension is lower bounded by 1.

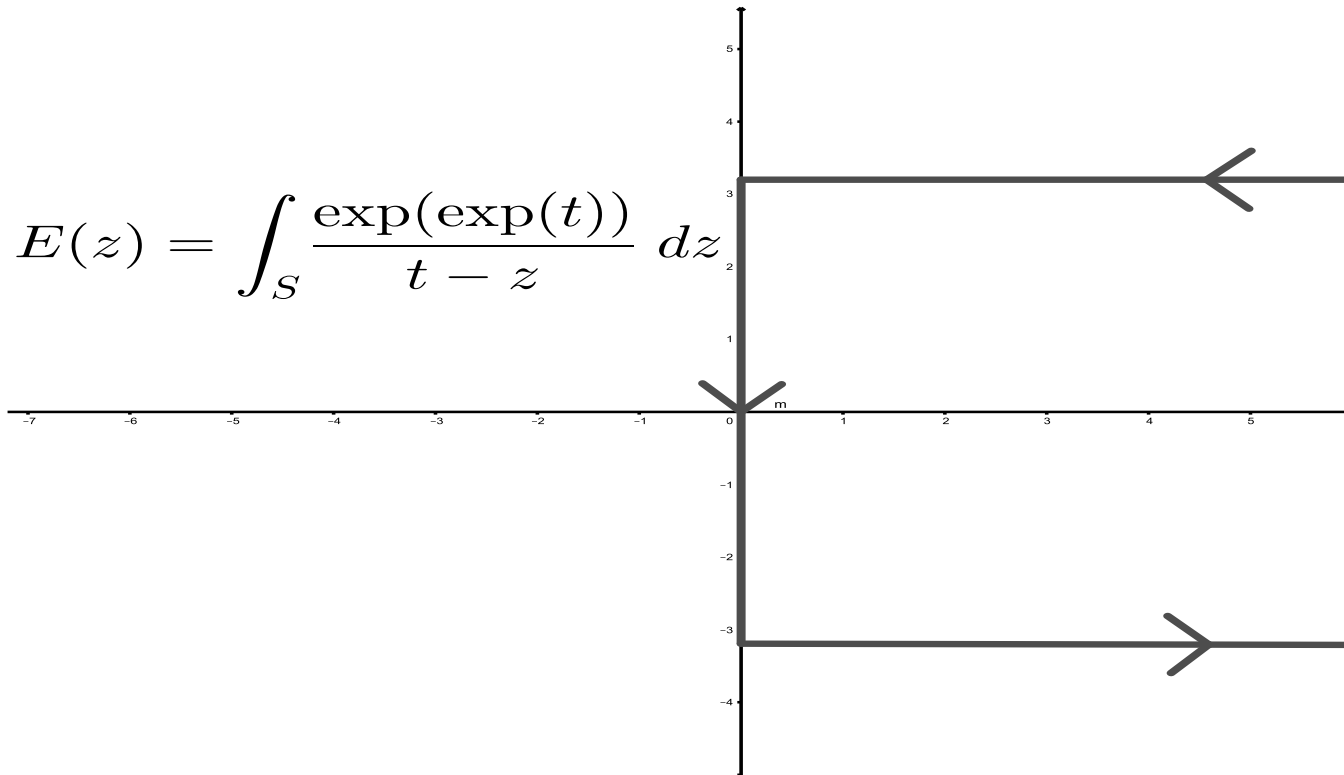
**Theorem (Misiurewicz):** Julia set of  $\exp(z) = \mathbb{C}$ .

**Theorem (McMullen):** sine family always has positive area. exp family always has dimension 2. Zero area if there is an attracting cycle.



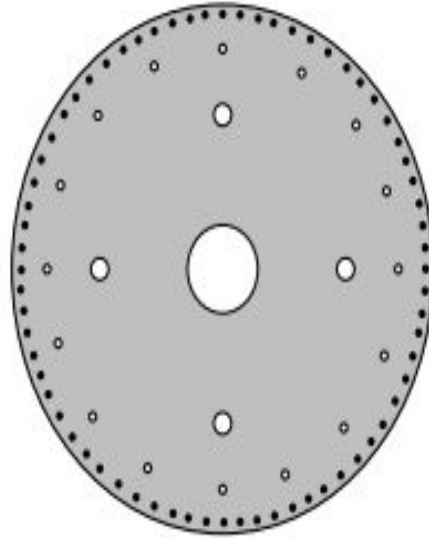
Julia set in the cosine family.

**Theorem (Stallard):** There exist functions in  $\mathcal{B}$  with Julia set with dimension arbitrarily close to 1; dimension 1 does not occur in  $\mathcal{B}$ . All dimensions in  $(1, 2]$  occur in  $\mathcal{B}$ .



$E_K(z) = E(z) - K$ . Dimension tends to 1 as  $K$  increases

**Theorem (Bishop):** There exists a transcendental entire function whose Julia set has Hausdorff dimension packing dimension equal to 1.



The functions are of the form

$$f_{\lambda, R, N}(z) = [\lambda(2z^2 - 1)]^{\circ N} \cdot \prod_{k=1}^{\infty} \left( 1 - \frac{1}{2} \left( \frac{z}{R_k} \right)^{n_k} \right).$$

There are two other useful notions of dimension for t.e.f's.

The upper Minkowski dimension (we require  $K$  compact)

$$\dim_M(K) = \limsup_{\epsilon \rightarrow 0} \frac{\log(N(K, \epsilon))}{-\log(\epsilon)}.$$

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**Lemma:** Let  $K$  be a compact set. Then

$$\dim_H(K) \leq \dim_P(K) \leq \dim_M(K).$$



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For our application we have fall all bounded open sets  $U$  which intersect the Julia set

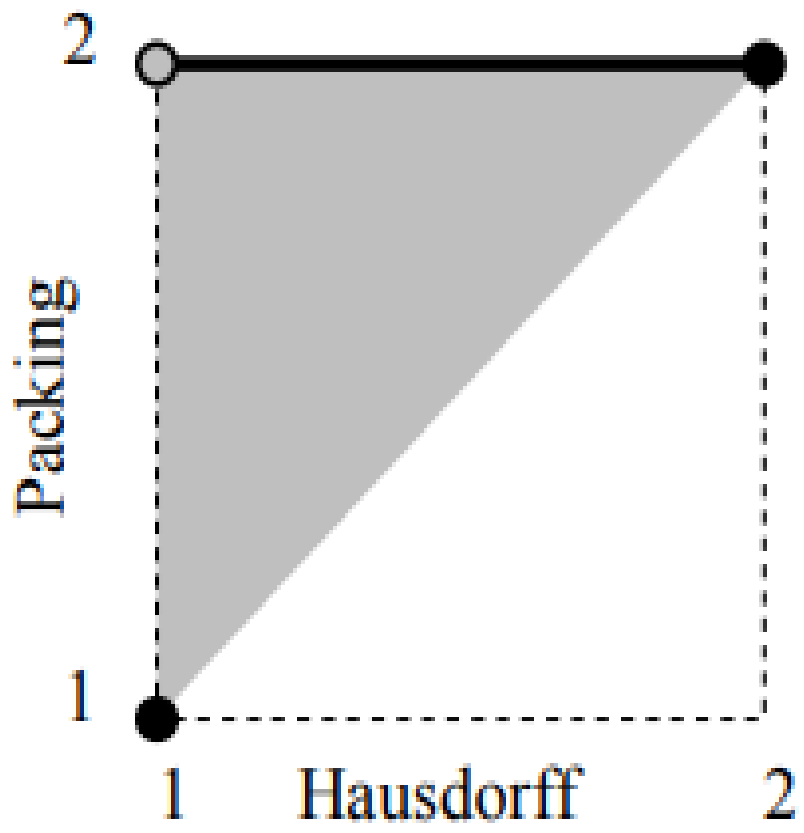
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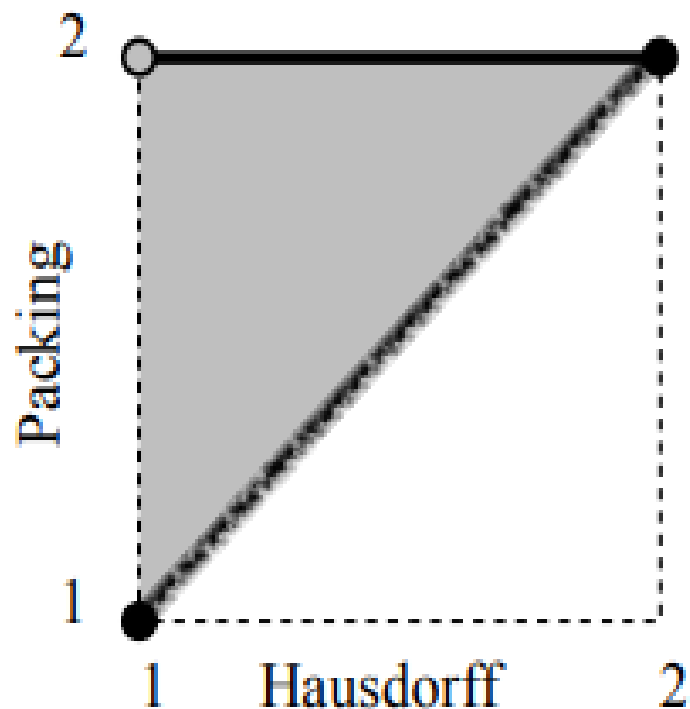
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Previous chart of attained dimensions.



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Updated possible dimensions chart.

## PART II: Properties of the Function $f$ .

**Lemma:** The following defines a transcendental entire function:

$$f(z) := (z^2 + c)^{\circ N} \prod_{k=1}^{\infty} \left( 1 - \frac{1}{2} \left( \frac{z}{R_k} \right)^{n_k} \right) := f_c^N(z) \prod_{k=0}^{\infty} F_k(z)$$

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The parameters above are defined to satisfy

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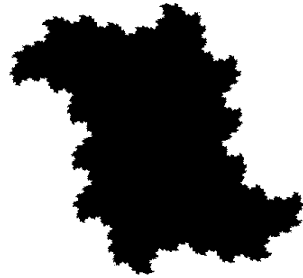
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$$f(z) = z^{1024} \left( 1 - \frac{1}{2} \left( \frac{z}{20^{1024}} \right)^{1024} \right) \left( 1 - \frac{1}{2} \left( \frac{z}{20^{1024} \cdot 2^{2048}} \right)^{2048} \right) \dots$$

Behavior of  $f$  near the origin.

$$f(z) = (z^2 + c)^{\circ N} (1 + \epsilon(z))$$



$f$  is a degree  $2^N$  polynomial-like mapping. Can get a *lower* bound on the Hausdorff dimension of the Julia set of the entire function  $f$  by estimating the dimension of the Julia set  $\partial K(f)$  of the *polynomial-like* map  $f$ .



**Theorem:** Let  $\delta > 0$  be given. Then  $f$  may be defined so that

$$|\dim_H(\mathcal{J}(f_c)) - \dim_H(\partial K(f))| < \delta.$$

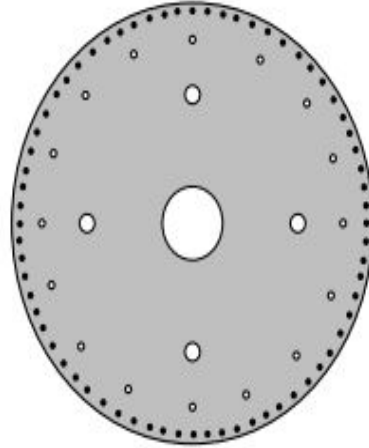
It follows that  $\dim_H(\mathcal{J}(f)) \geq s - \delta$ ; the dimension at worst shrinks by a small amount.

Two proof strategies:

1. Construct a quasiconformal mapping of a neighborhood of the Julia set directly.
2. Introduce a new parameter  $\lambda$  into  $\epsilon(z)$ . The Julia set moves holomorphically in this case.

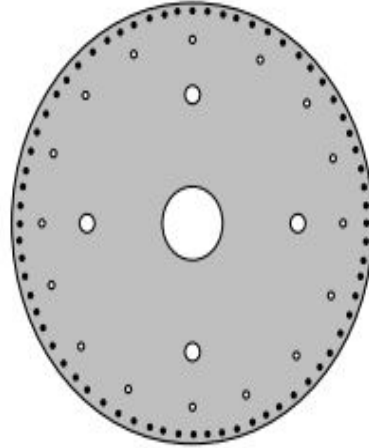
## Partitioning the Fatou and Julia Set

Schematic of the “Round” Fatou component  $\Omega_k$  containing  $|z| = R_k$ ,  $k \geq 1$ .



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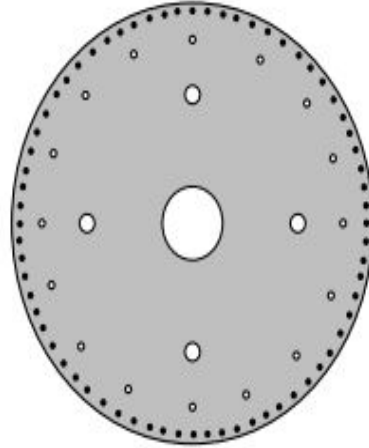
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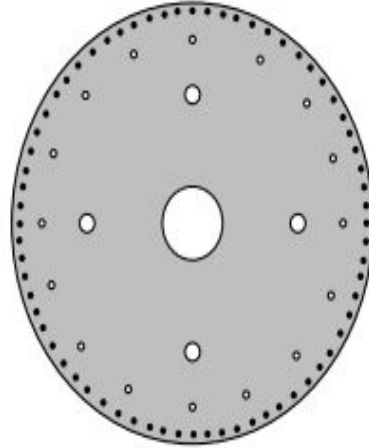
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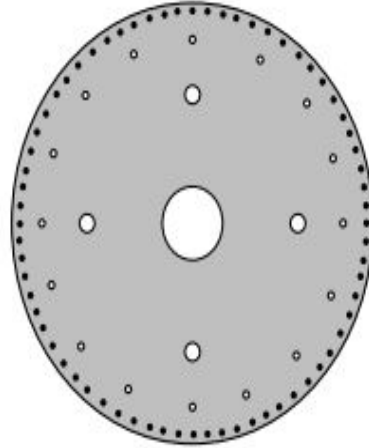
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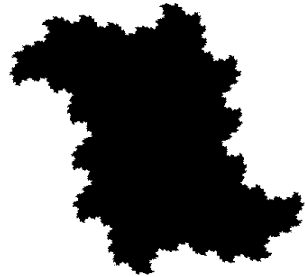
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2. The smaller boundary components are close to circles and arranged in circular layers.
3. All interior and boundary points iterate to  $\Omega_{k+1}$ .
4. All points in holes iterate “backwards.”

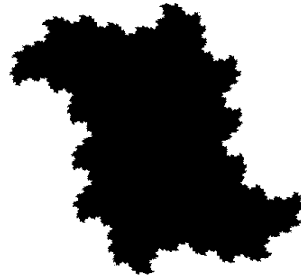
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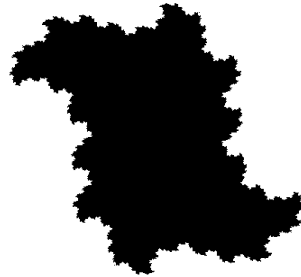


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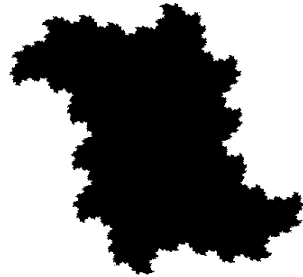
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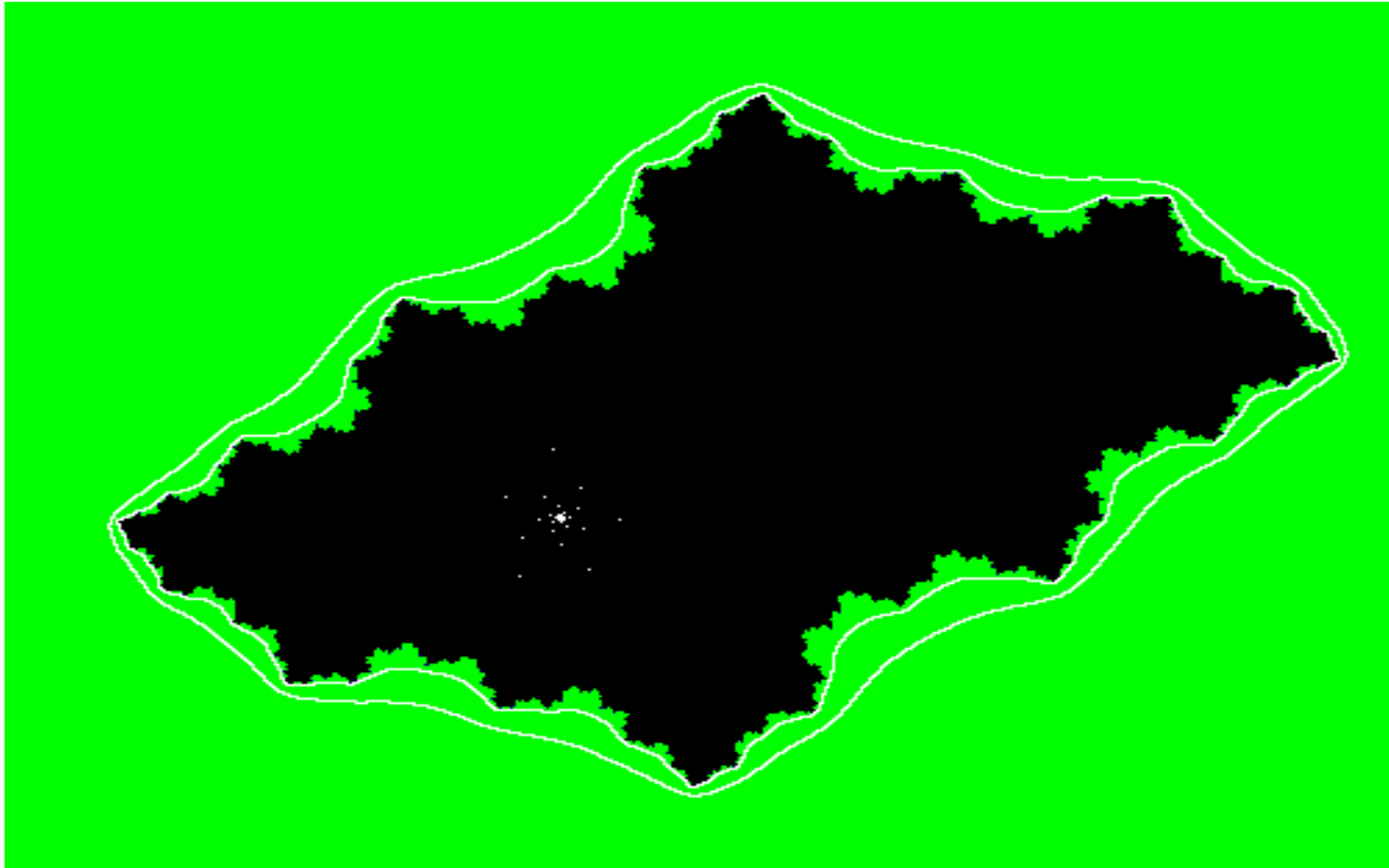
1.  $f$  is a hyperbolic polynomial-like mapping, so the packing and Hausdorff dimensions coincide
2. Contains the origin; hence all the zeros of  $f$  land inside this basin.
3.  $f$  behaves like  $z^{2N}$  outside  $B_f$ .

## Partitioning the Fatou and Julia Set

“Windy” Fatou components  $\Omega_{-k+1} = f^{-k}(\Omega_1)$ ,  $k \geq 1$ .

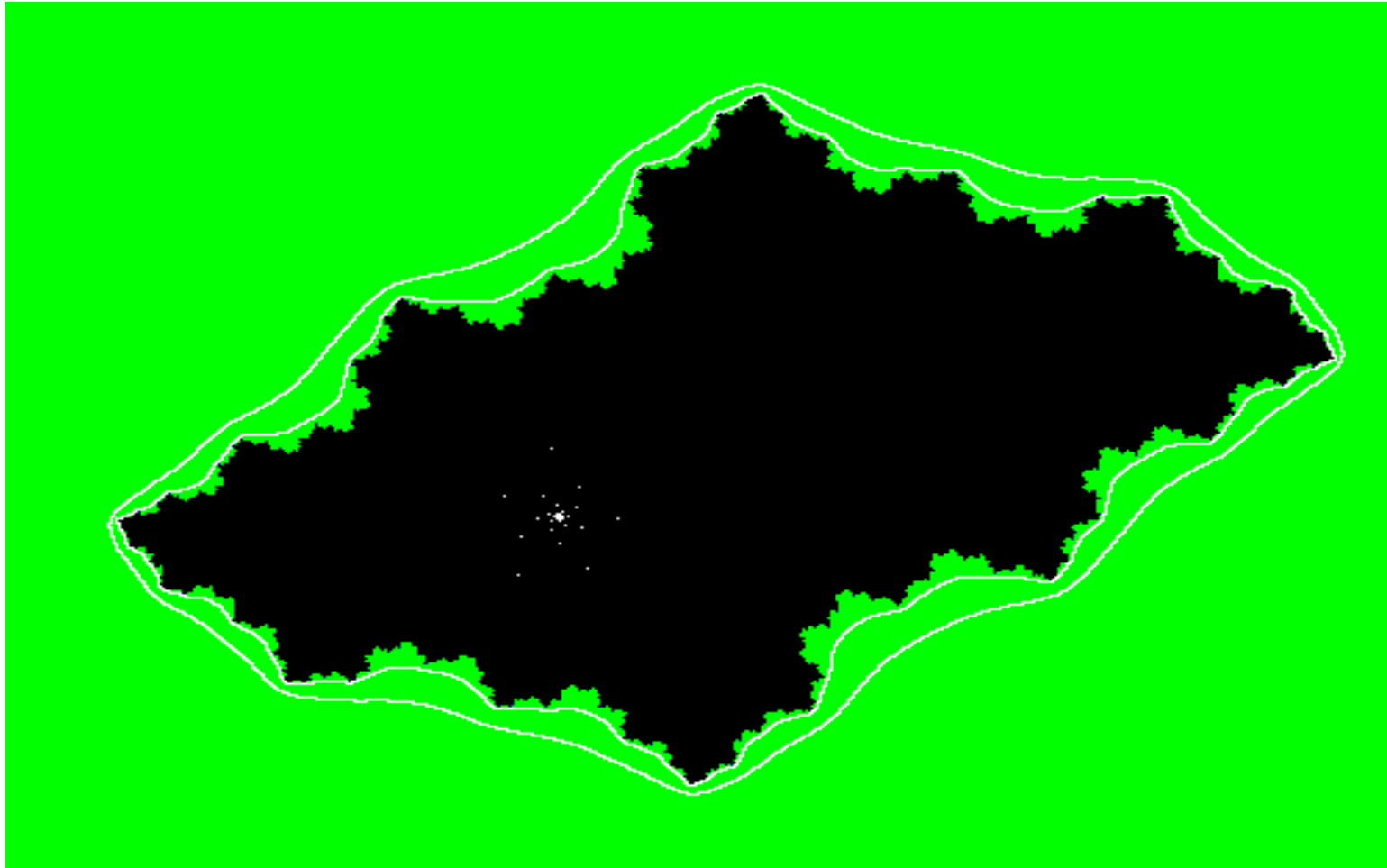
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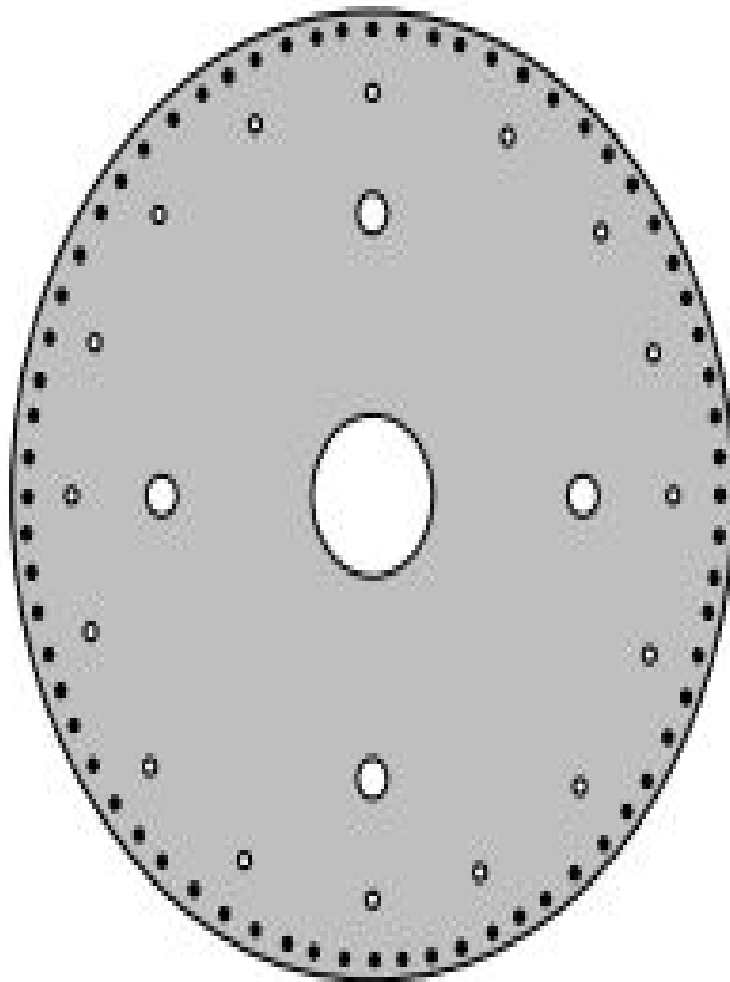
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Same topology as round components; new geometry introduced by  $B_f$ .

What happens when we zoom into one of the holes?



Its the same picture!

**Theorem:** Let  $\omega$  be a Fatou component for  $f$ . Then there exists a unique  $m$  so that  $f^m(\omega)$  is

1.  $f^m(\omega) = \Omega_k$ ,  $k \geq 1$ . “A component of  $\Omega_k$  type.”  $\omega$  is a round component.
2.  $f^m(\omega) = \Omega_k$  for  $k \leq 0$ .  $\omega$  is a windy component.
3.  $f^m(\omega) = B_f$ .  $\omega$  is a copy of the basin of attraction.

Moreover, each component  $\omega$  is iterated conformally to its category above with bounded conformal distortion.

What about the points in the holes infinitely often?

**Theorem:** The set  $Y$  of points contained in infinitely many holes is the set of buried points in the Julia set. In particular

1.  $Y$  is dense in the Julia set.

2. The dimension of  $Y$  is at least that of the basin  $B_f$ ,

$$\dim_H(B_f) \leq \dim_H(Y) \leq \dim_H(B_f) + \epsilon.$$

3.  $Y$  contains the slow escaping set, bounded orbit set, and the bungee set.



# PART III: Controlling the Packing Dimension

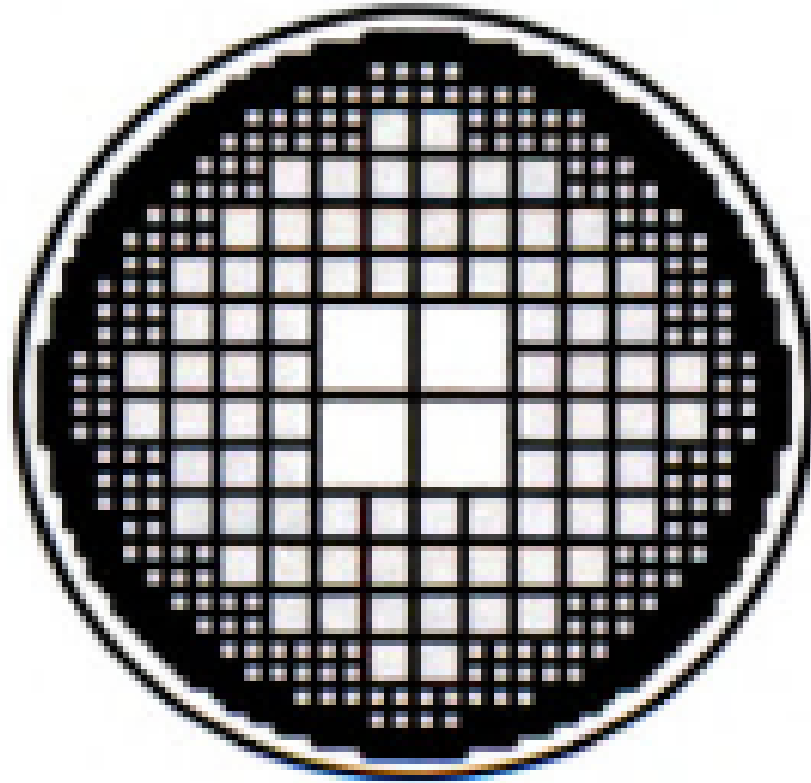
Whitney decompositions.

Let  $\Omega$  be a bounded open set. A Whitney decomposition of  $\Omega$  into cubes is a collection of open cubes  $\{Q_j\}$  satisfying:

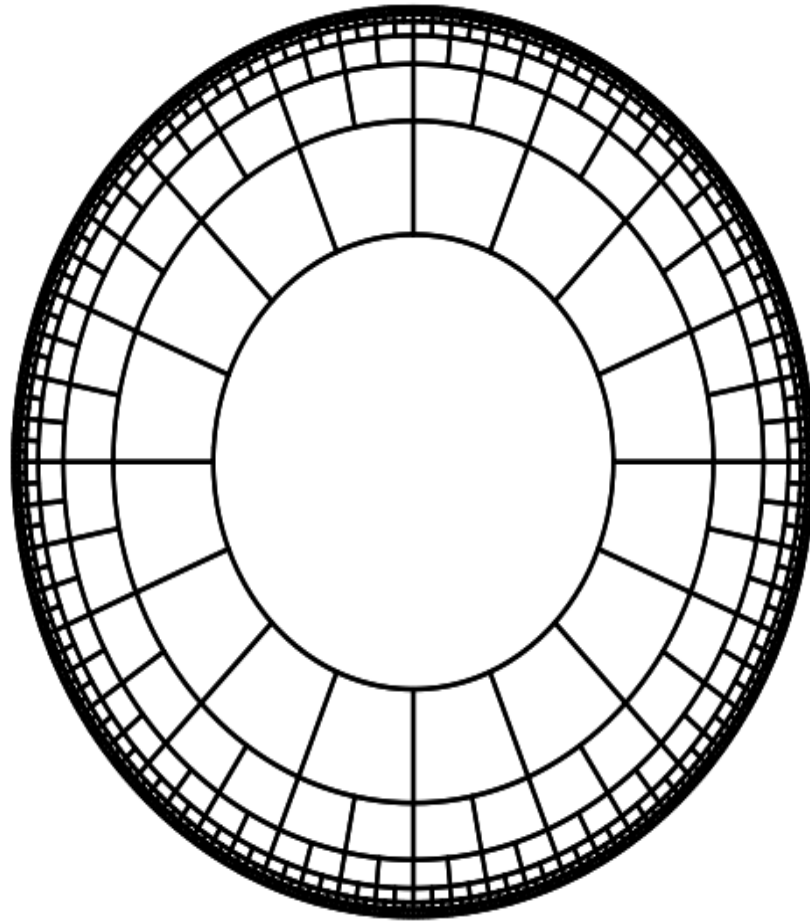
1. The cubes have pairwise disjoint interior.
2.  $\Omega = \cup \overline{Q_j}$ .
3. There exists a constant  $C$  so that

$$\frac{1}{C} \text{dist}(Q_j, \partial\Omega) \leq \text{diam}(Q_j) \leq C \text{dist}(Q_j, \partial\Omega)$$

The collection  $\{Q_j\}$  need not be literal cubes, so long as the boundaries of the  $Q_j$  have zero measure.



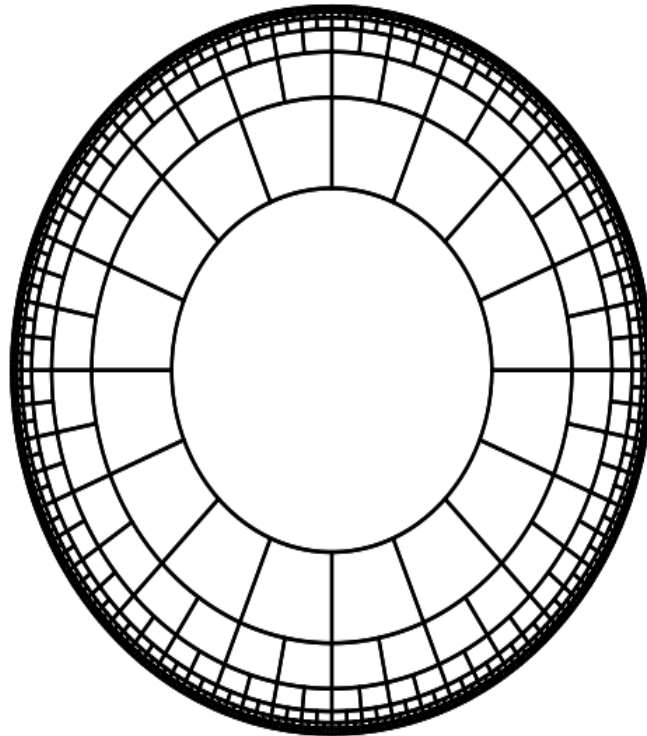
Whitney decomposition of  $\mathbb{D}$  with dyadic squares.



Whitney decomposition of  $\mathbb{D}$  with hyperbolic squares.

We may define the **critical exponent** of a Whitney decomposition:

$$\alpha(K) = \inf\{\alpha : \sum |Q|^\alpha < \infty\}$$



Example:  $\sum |Q|^t \asymp \frac{1}{t-1} \text{diam}(\mathbb{D})^t$

The key idea is that we may connect the upper Minkowski dimension to the critical exponent of Whitney decompositions.

**Theorem:** Let  $K$  be a compact set with zero Lebesgue measure. Then

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**Theorem:** Let  $K$  be a compact set with zero Lebesgue measure. Then

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Recall that by the results of Rippon and Stallard, to compute the packing dimension, it suffices to compute  $\dim_{M,B}(J(f))$ , where  $B$  is a ball containing  $\Omega_1$ . We will do this by the lemma above.

**Theorem:** Let  $\epsilon > 0$  be given. Then  $f$  may be defined so that the critical exponent satisfies

$$|\alpha(J(f) \cap B) - s| < \epsilon.$$



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**Corollary:** The packing dimension can be arranged to be arbitrarily close to the Hausdorff dimension and  $s$ .

**Proof:** We have

$$s - \delta \leq \dim_H(J(f)) \leq \dim_P(J(f)) \leq s + \epsilon.$$

$\delta$  and  $\epsilon$  can both be arranged to be arbitrarily small.

## Proof of Theorem:

Start with a Whitney decomposition  $W$  of the complement of  $J(f)$  inside of the ball  $B$ . Let  $t > s + \epsilon$ . Then

$$\sum_{Q \in W} |Q|^t = \sum_{Q \in W(\text{Basins})} |Q|^t + \sum_{Q \in W(\text{Round})} |Q|^t + \sum_{Q \in W(\text{Windy})} |Q|^t$$

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In Bishop's dimension 1 paper, his estimates work for the cubes in  $W(\text{Round})$ .

The idea for the other two sums is to transfer the calculation to a canonical region and estimate the errors using conformal mapping estimates.

For example, we may sum over each inverse image of  $B_f$ :

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Let  $\omega$  be the component of  $\Omega_1$ -type surrounding  $B_i$ . Then there is an  $m$  so that  $f^m : \omega \rightarrow \Omega_1$  is conformal with  $f^m(B_i) = B_f$ .

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By the Koebe distortion theorem

$$\sum_{Q \in W(B_i)} |Q|^t \leq C \cdot \text{diam}(\omega) \cdot \sum_{Q \in W(B_i)} |f^m(Q)|^t.$$

**Lemma:** There exists a constant  $C$  independent of the conformal mapping  $f^m$  so that

$$\sum_{Q \in W(B_i)} |f^m(Q)|^t \leq C \sum_{Q \in W(B_f)} |Q|^t.$$

**Lemma:** The components  $\omega$  have summable diameter:

$$\sum_{\omega} \text{diam}(\omega)^{s+\epsilon} < \infty.$$

It follows that

$$\sum_{Q \in W(\text{Basins})} \leq C \cdot \sum \text{diam}(\omega)^t \cdot \sum_{Q \in W(B_f)} \text{diam}(Q)^t.$$

Dimension of  $\partial B_f < t$ , so the sum converges. We use a similar but more involved approach for the windy components.



Thanks for listening! Any questions?

## Questions I have

1. Are all packing dimensions in  $(1, 2)$  attainable?
2. Can we arrange for  $\dim_P(J(f)) = \dim_H(J(f))$ ? Or is the inequality somehow strict?
3. Is it a lost cause to generate computer images of multiply connected Fatou components?
4. Can we calculate the dimension of  $BU(f)$  and  $BO(f)$  in these examples? Are they the same as the dimension of  $J(f)$ ?
5. Is it interesting that the Julia set in this examples has  $C^1$  and fractal components?