

The Sierpinski Mandelbrot Spiral

E. Chang

Department of Mathematics and Statistics
Boston University

TCD2017

1 Introduction

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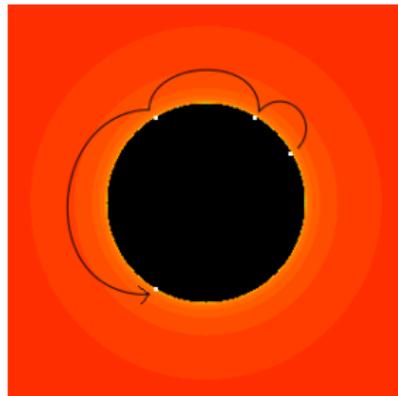
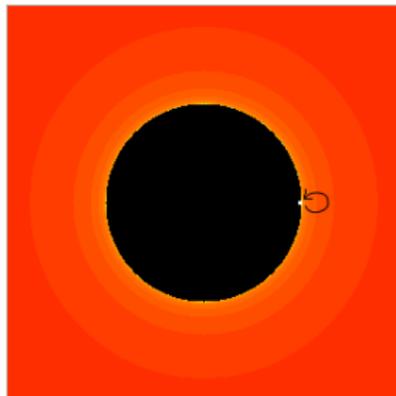
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The quadratic map

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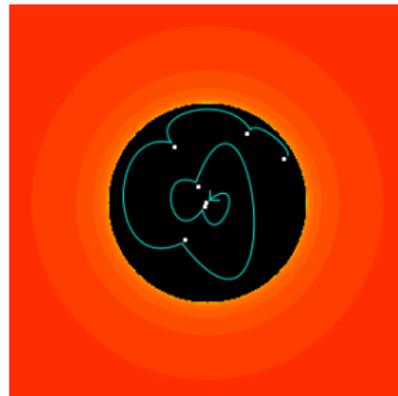
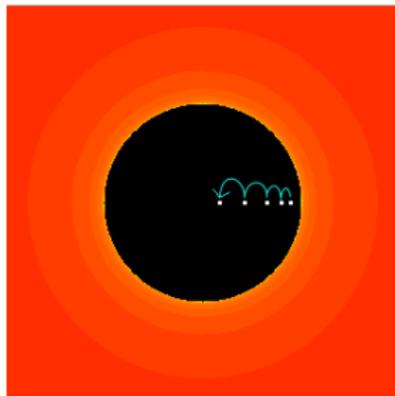
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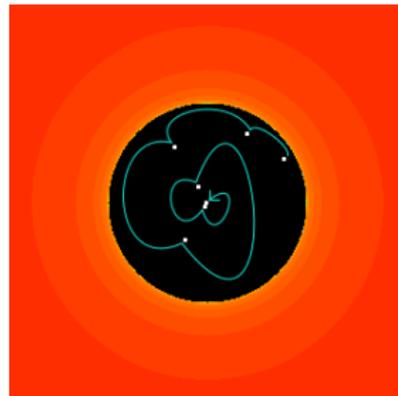
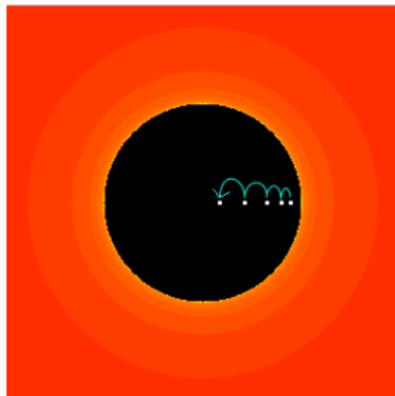
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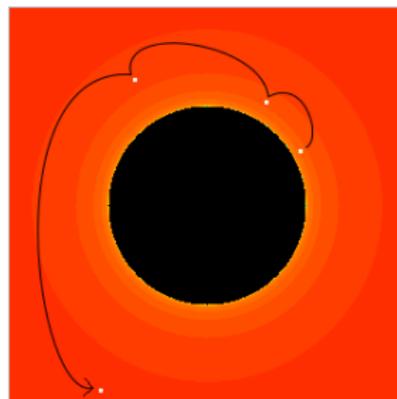
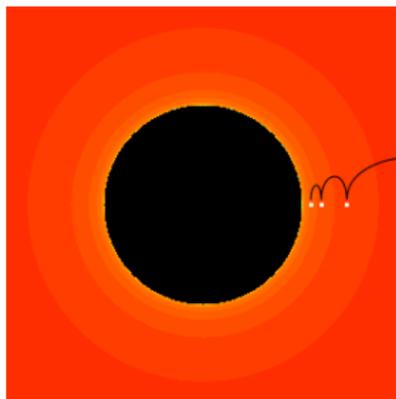
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- Points outside the circle stay outside the circle while approaching ∞ , an attracting fixed point on the Riemann sphere.

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- the Fatou Set, or $\mathcal{F}(F)$. This is the complement of $\mathcal{J}(F)$ in the Riemann sphere.

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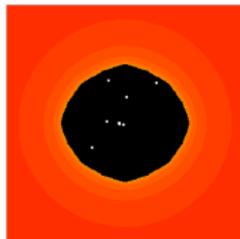
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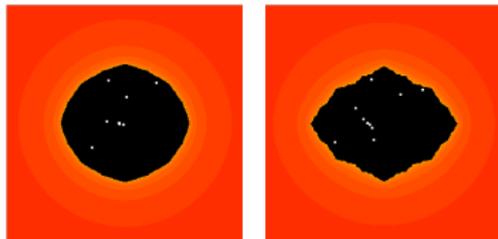
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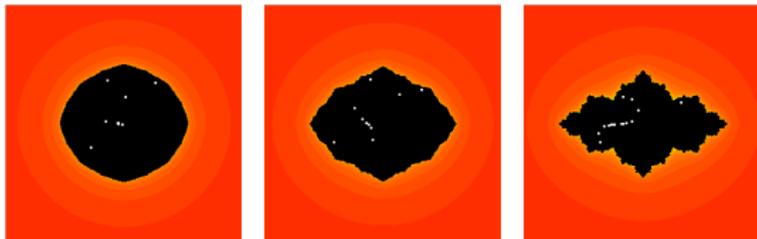
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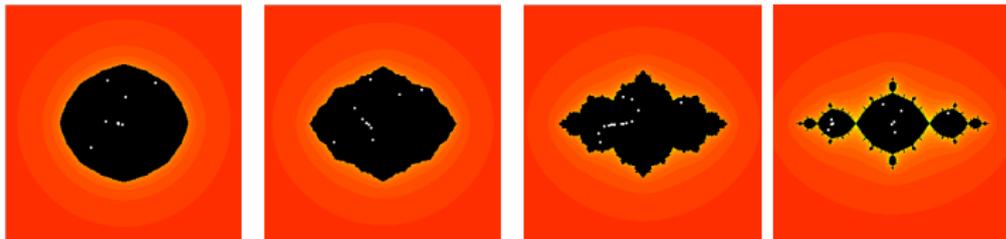
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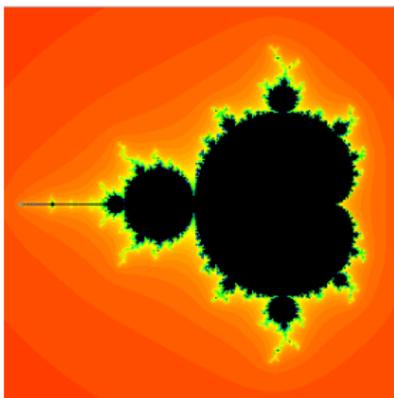
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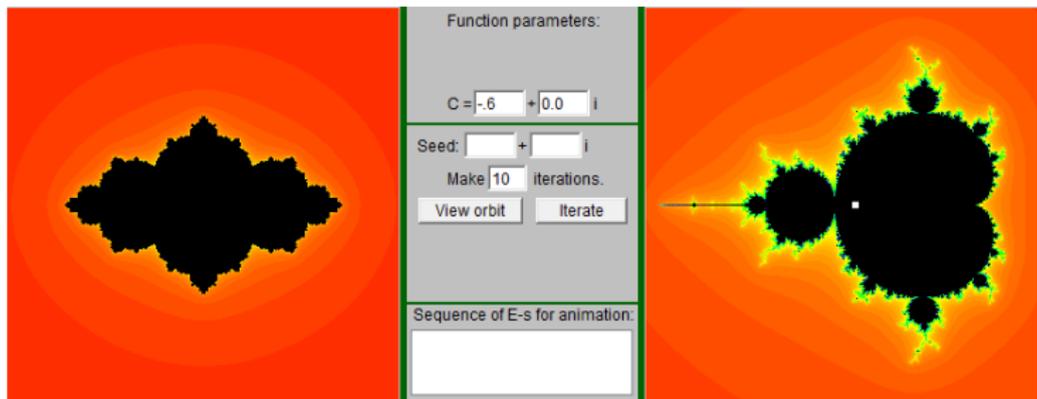
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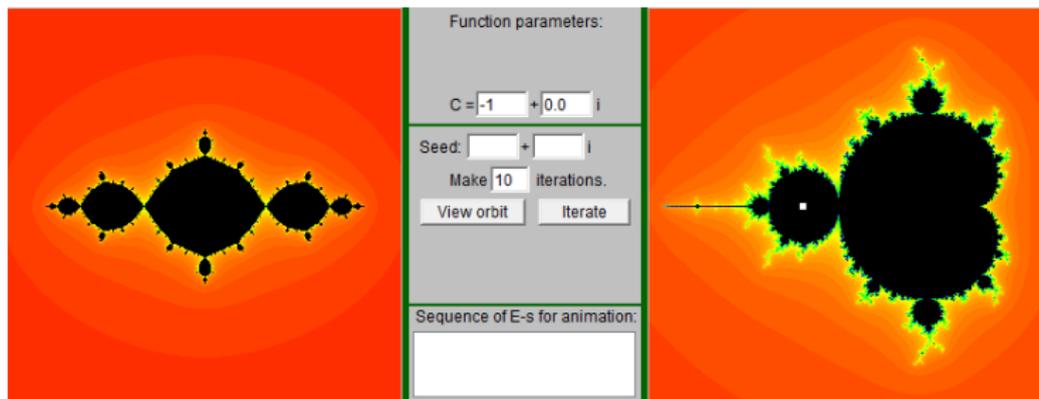
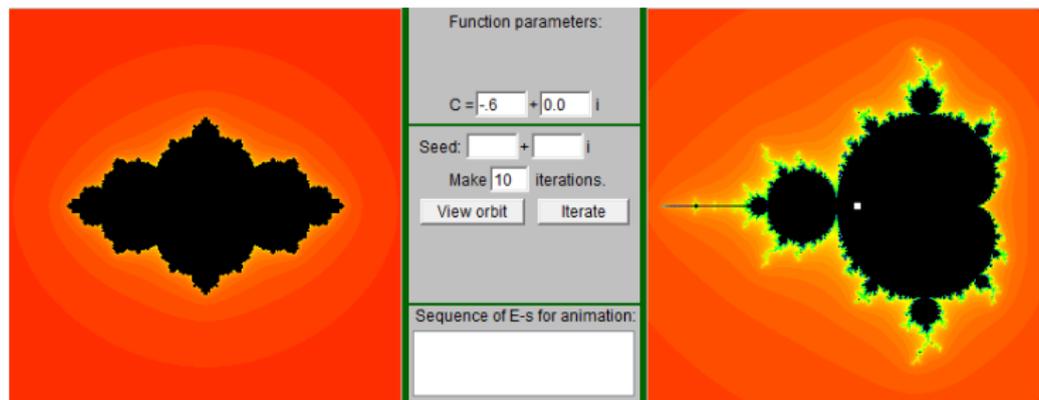
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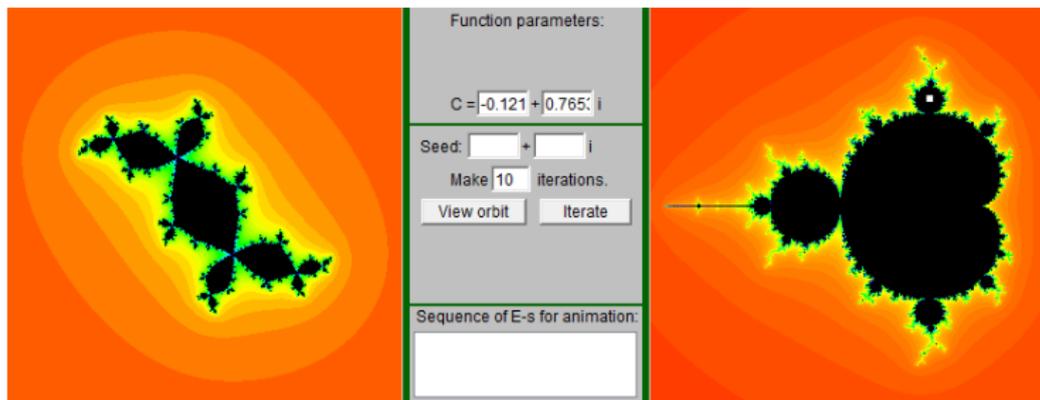
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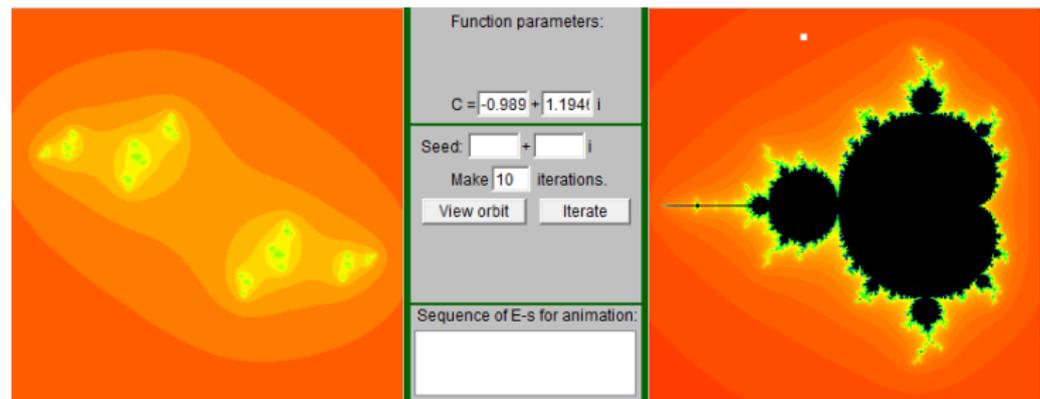
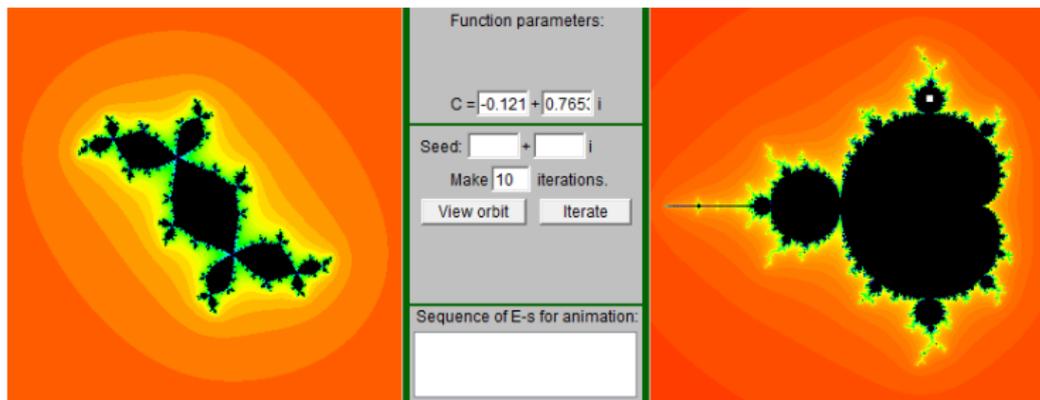
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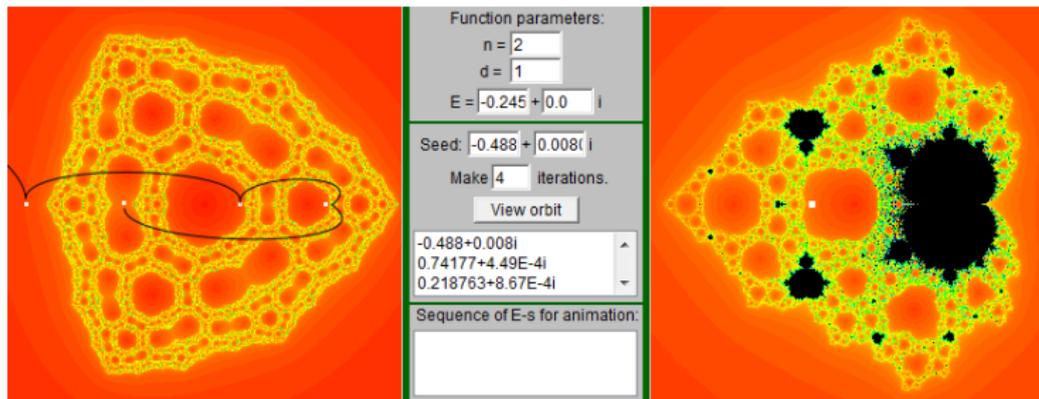
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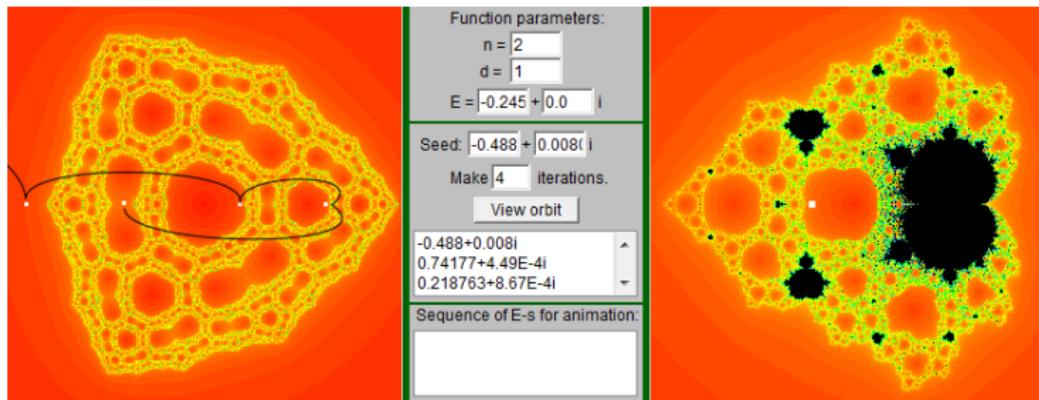
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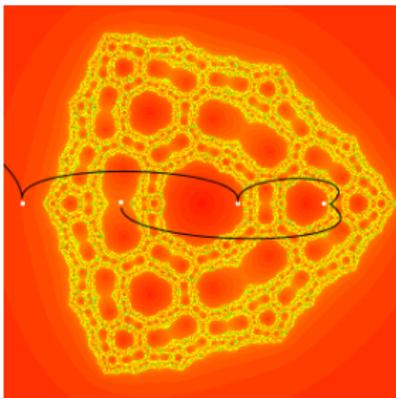
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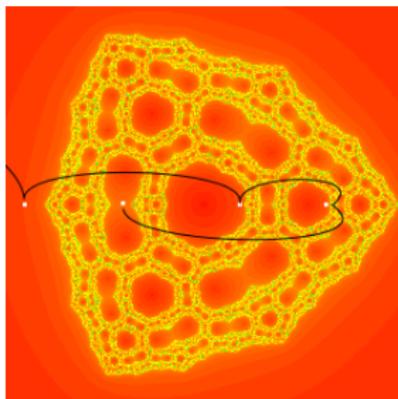


- This is the rational map with $n = 2, d = 1$.

The trap door and the basin

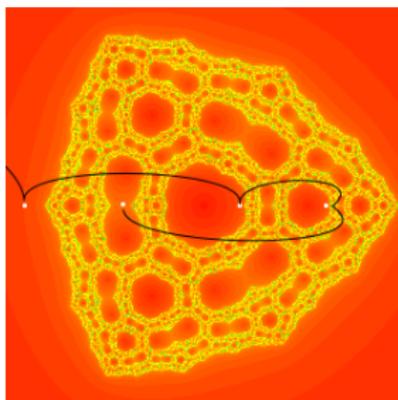


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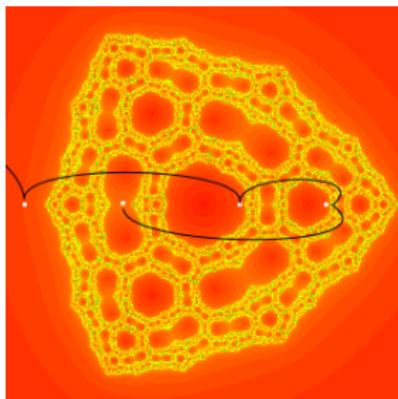
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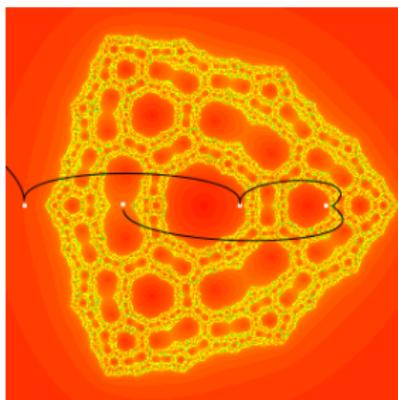
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The rational map with higher n, d

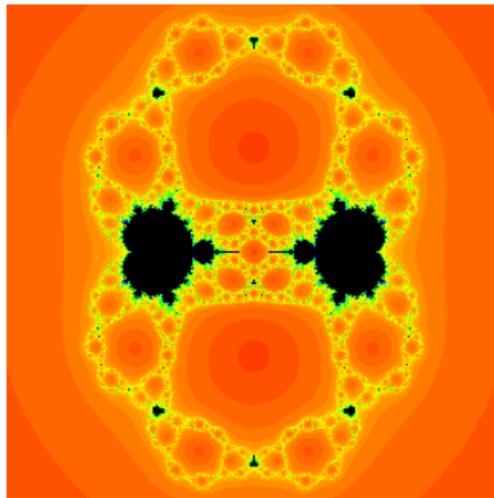
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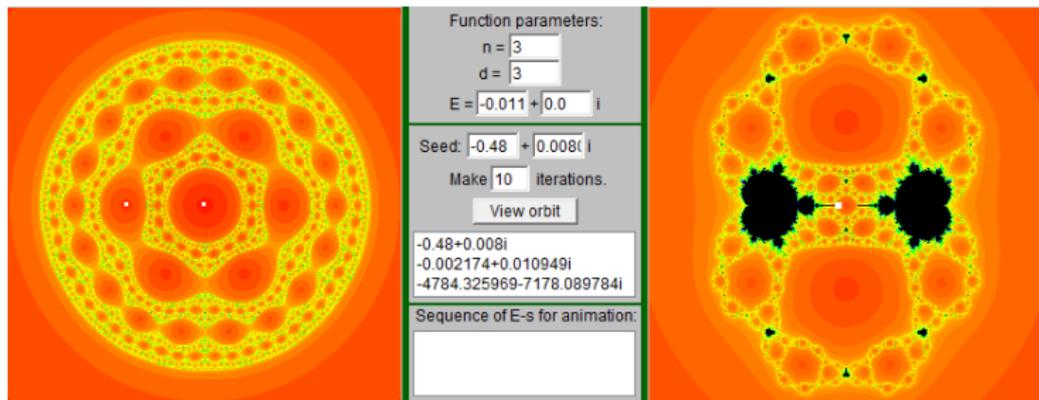
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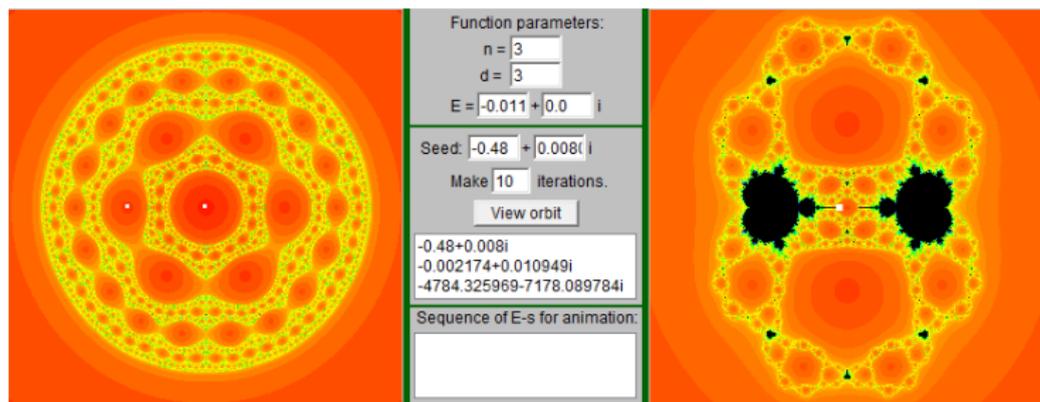
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The McMullen domain

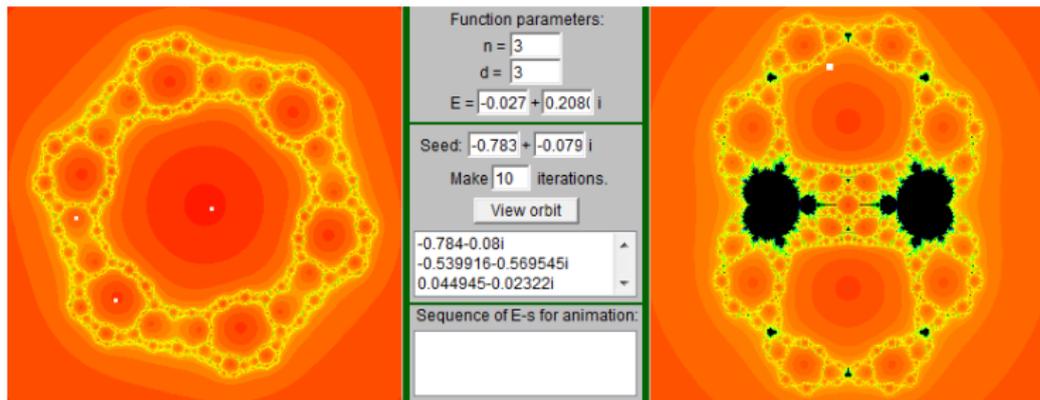


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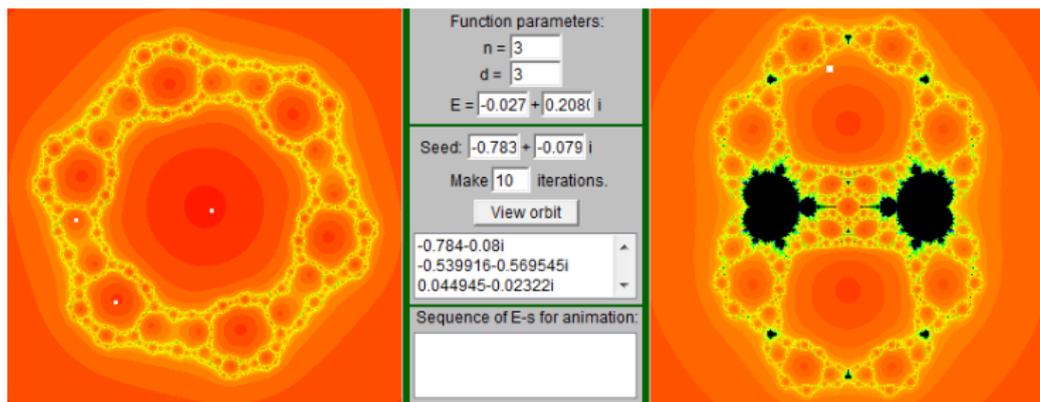


- The McMullen domain is the set of λ around the origin for which the critical point enters the trap door after 1 iteration.

Sierpinski holes



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- A Sierpinski hole is a set of λ for which the critical point enters the trap door after 2 or more iterations.

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The Rational Map with $n = 2, d = 3$

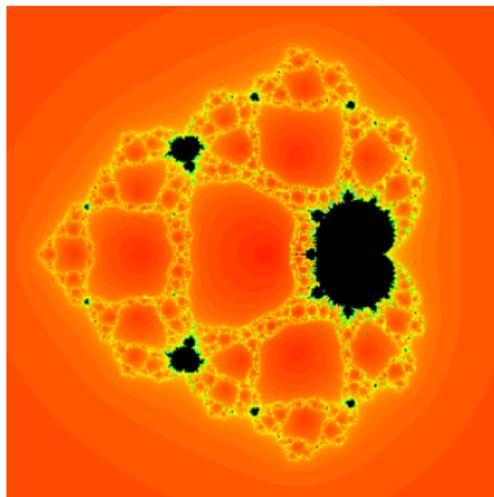
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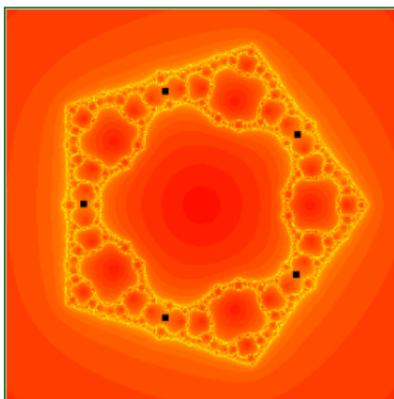
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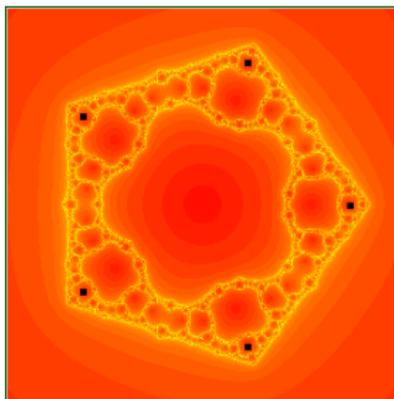
$$\text{For } F_\lambda(z) = z^2 + \frac{\lambda}{z^3}, \quad z, \lambda \in \mathbb{C}$$

- There are 5 critical values $v^\lambda = F_\lambda(c^\lambda)$.
- They are $v^\lambda = \frac{5\lambda^{2/5}}{3^{3/5}2^{2/5}}$.
- The dynamical plane exhibits symmetry under rotation, so discussing one critical value covers all critical points and values.

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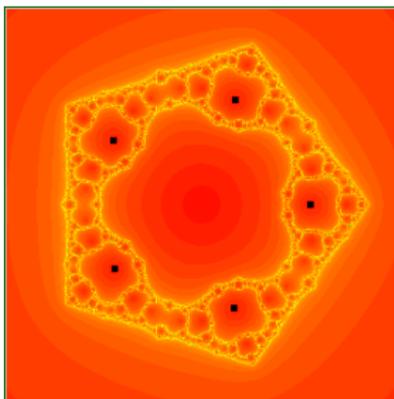
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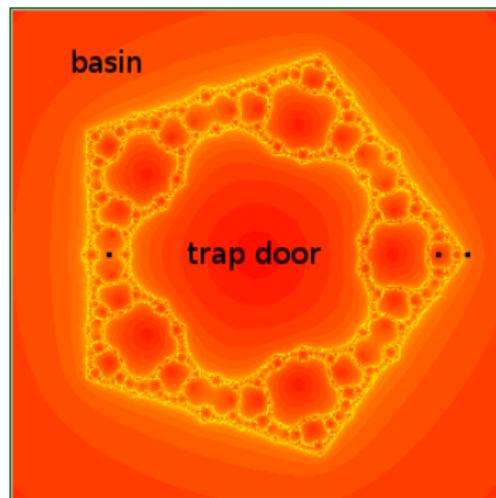
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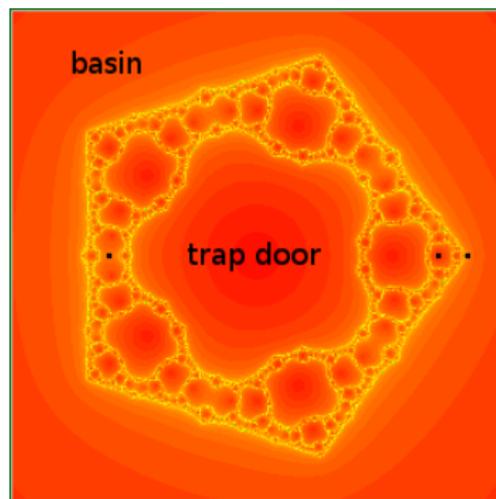
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The Escape Trichotomy

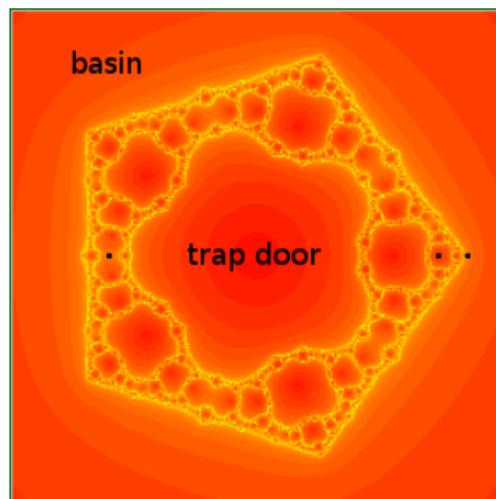


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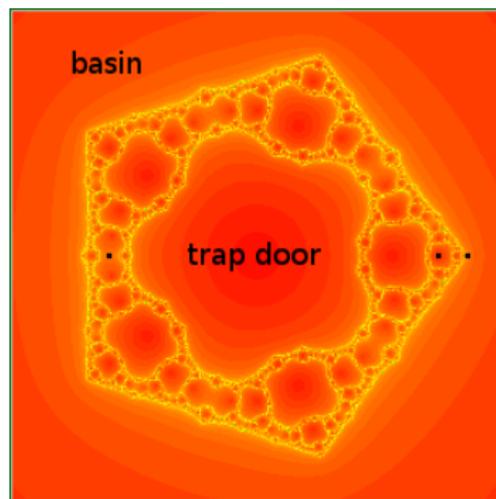
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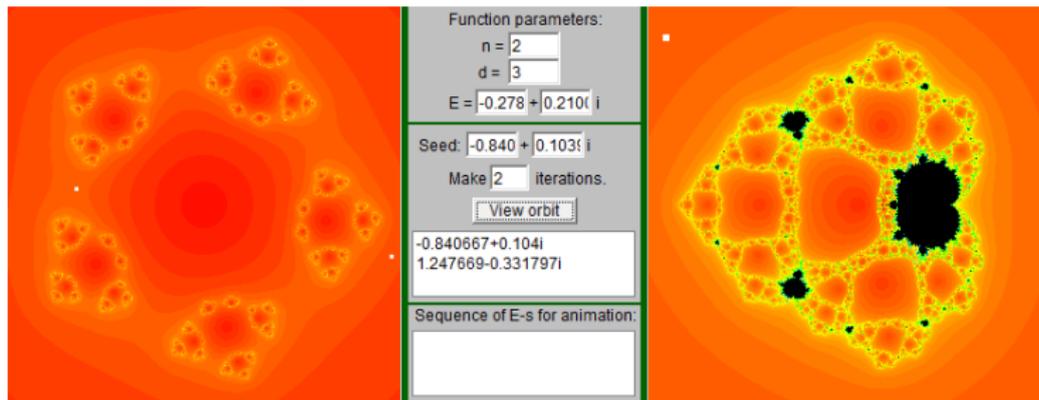
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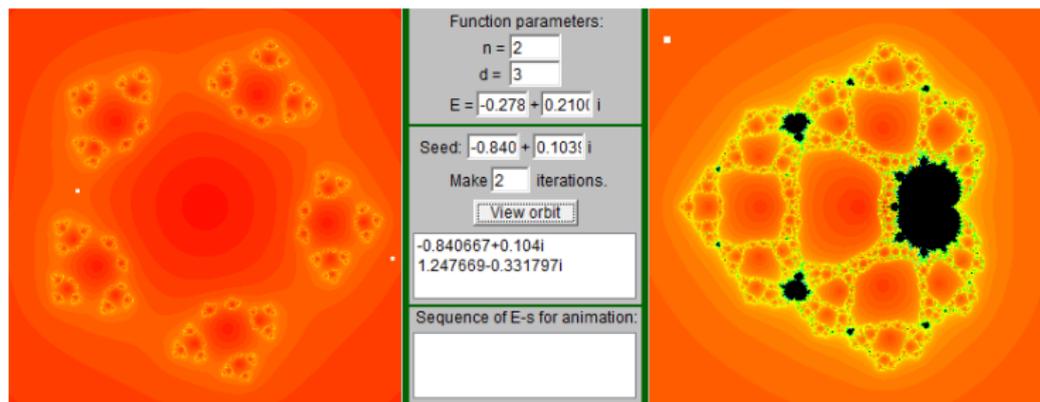


- We will talk about the critical point and its corresponding critical value on the real axis (for a specific λ on the real axis in the parameter plane). There is also a fixed point on the real axis.
- We can classify the regions in the parameter plane by the orbit of that critical value.

Cantor set locus

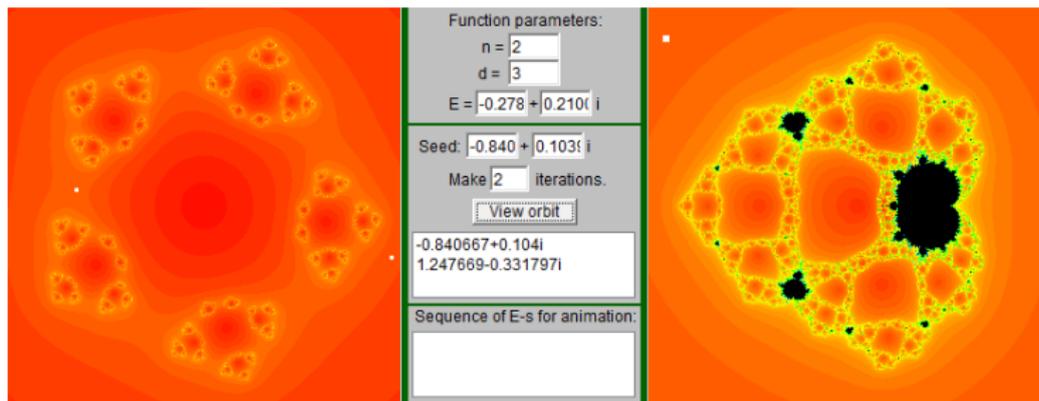


Cantor set locus



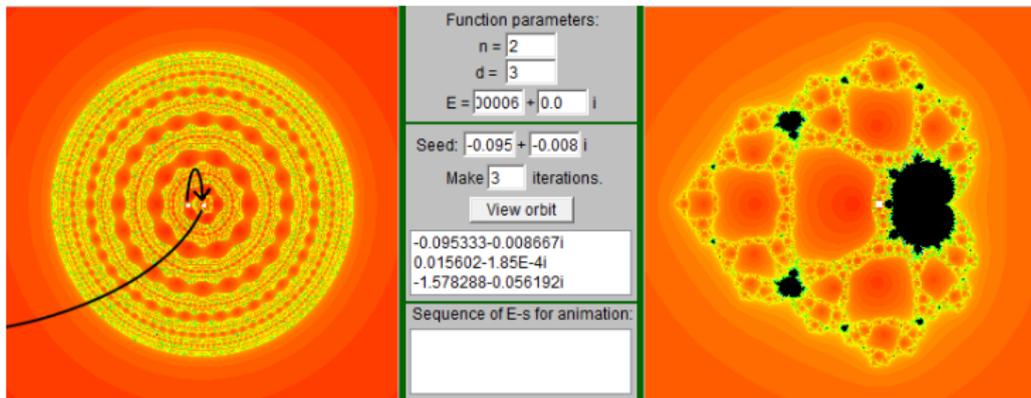
- v^λ lies in B_λ . In this case it is known that $\mathcal{J}(F_\lambda)$ is a Cantor set.

Cantor set locus

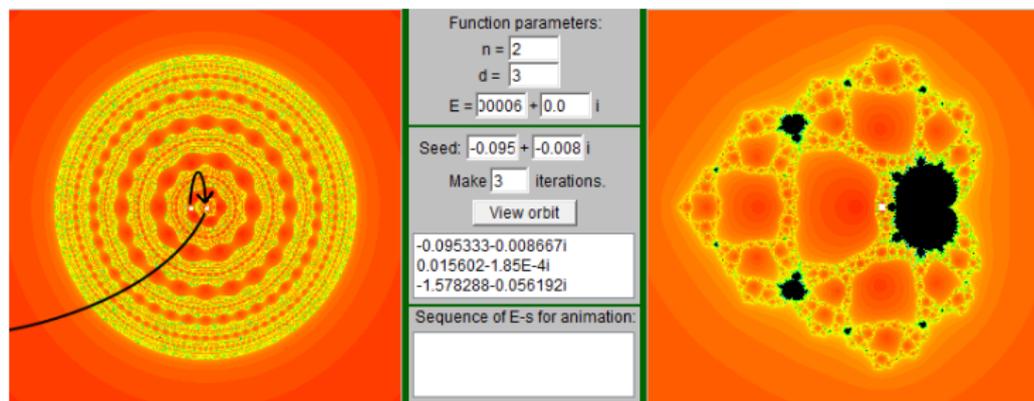


- v^λ lies in B_λ . In this case it is known that $\mathcal{J}(F_\lambda)$ is a Cantor set.
- The corresponding set of λ -values in the parameter plane is called the Cantor set locus.

McMullen domain

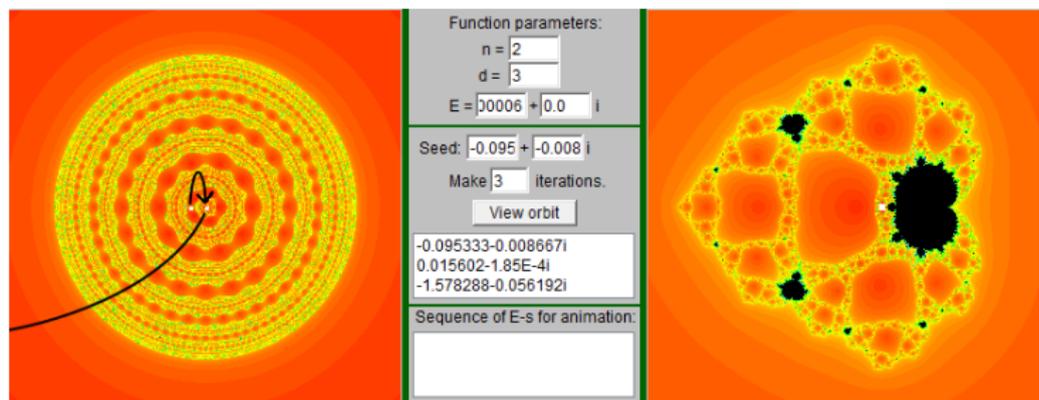


McMullen domain



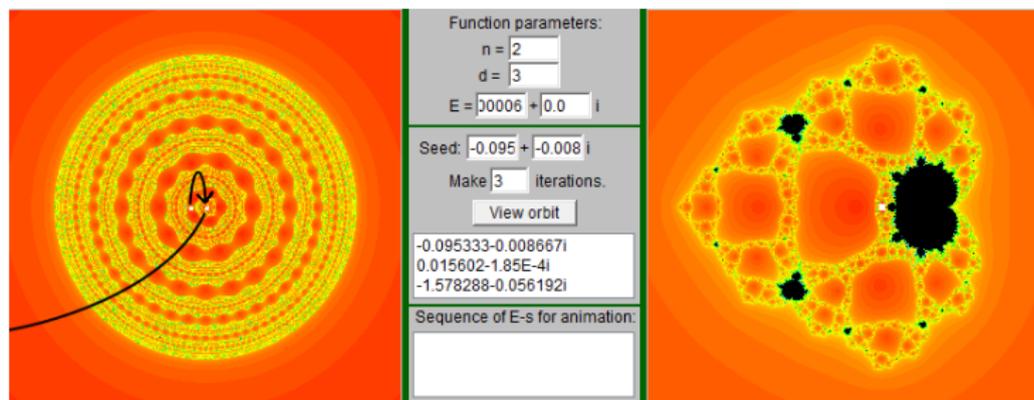
- c^λ enters T_λ after 1 iteration. $\mathcal{J}(F_\lambda)$ is a Cantor set of simple closed curves.

McMullen domain



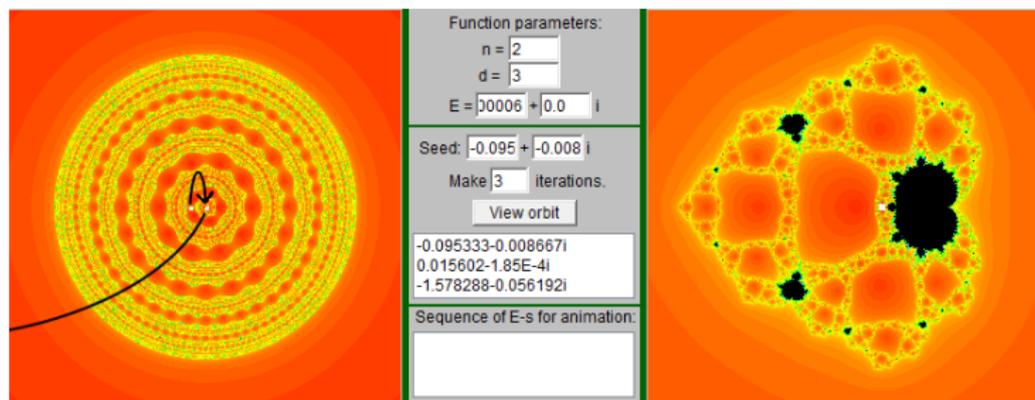
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- If you take a slice of the Julia set, you can kind of see the Cantor set in that interval.

McMullen domain



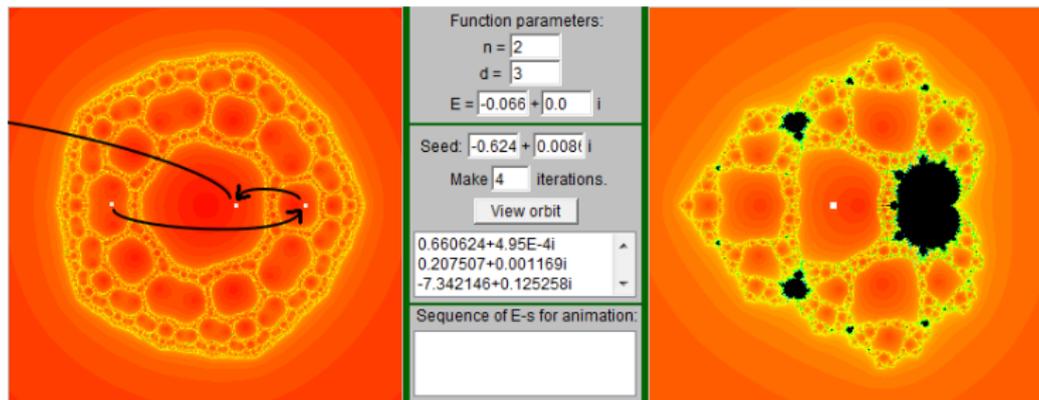
- c^λ enters T_λ after 1 iteration. $\mathcal{J}(F_\lambda)$ is a Cantor set of simple closed curves.
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McMullen domain

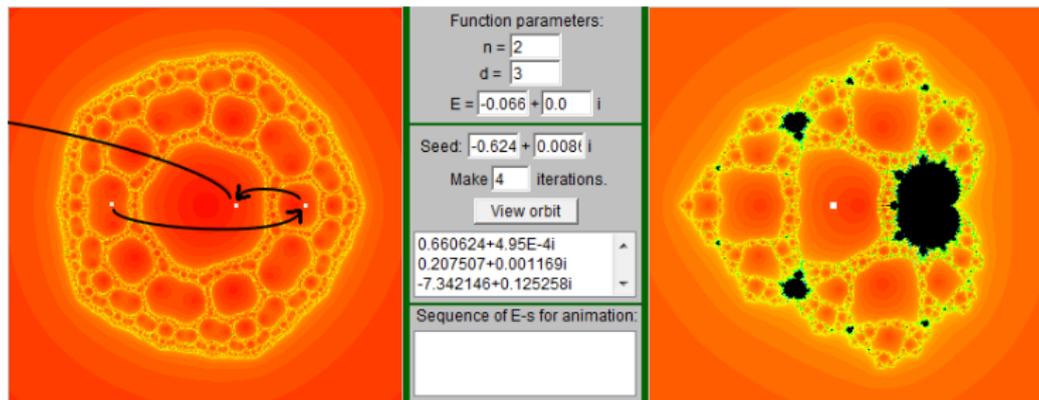


- c^λ enters T_λ after 1 iteration. $\mathcal{J}(F_\lambda)$ is a Cantor set of simple closed curves.
- If you take a slice of the Julia set, you can kind of see the Cantor set in that interval.
- The corresponding set of λ around the origin is the McMullen domain. One time I clicked in that region on my first try: [▶ Link](#)

Sierpinski holes

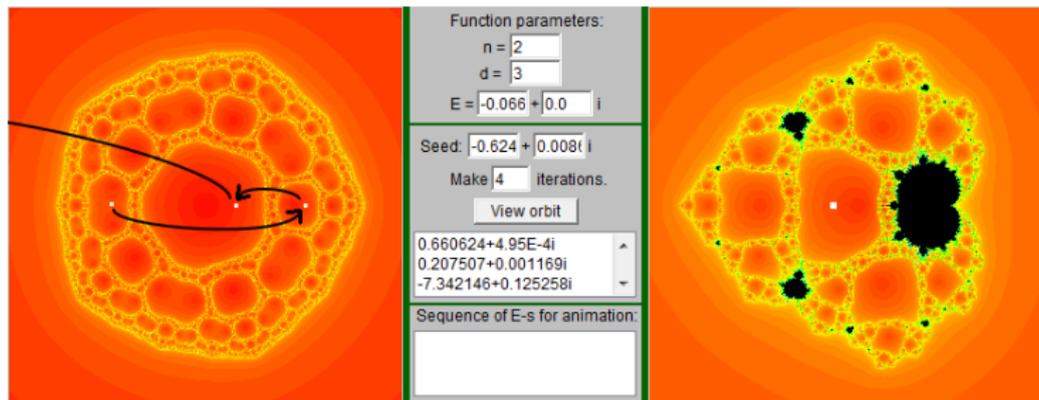


Sierpinski holes



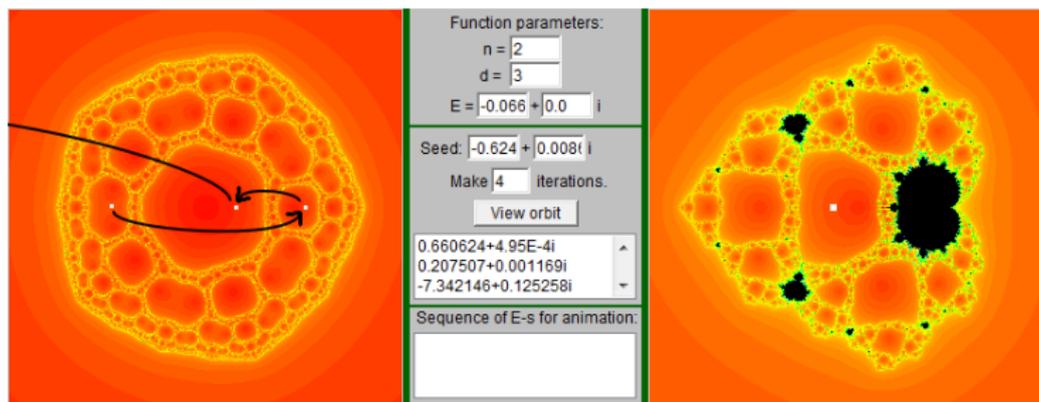
- c^λ enters T_λ at iteration 2.

Sierpinski holes



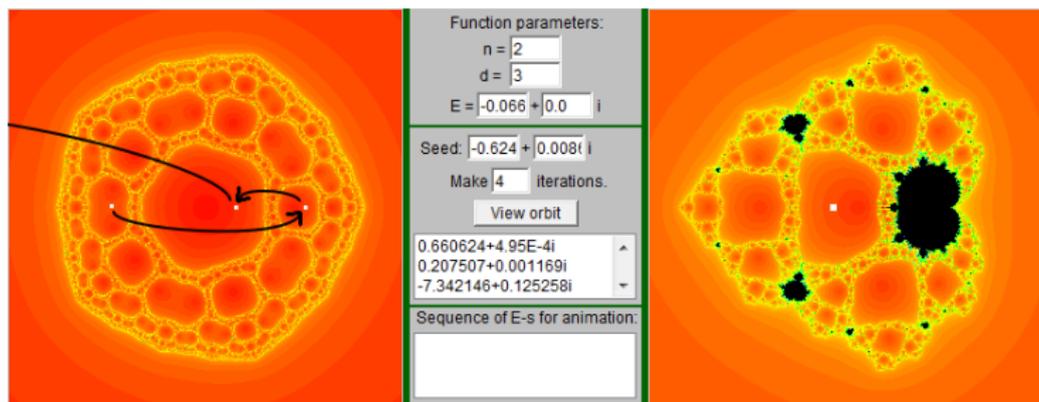
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Sierpinski holes



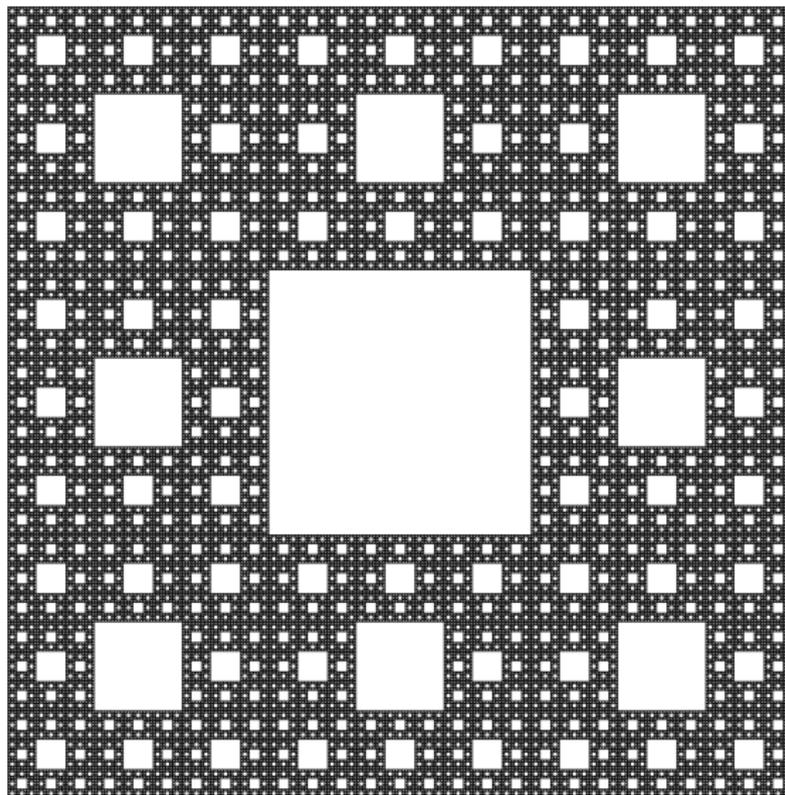
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Sierpinski holes

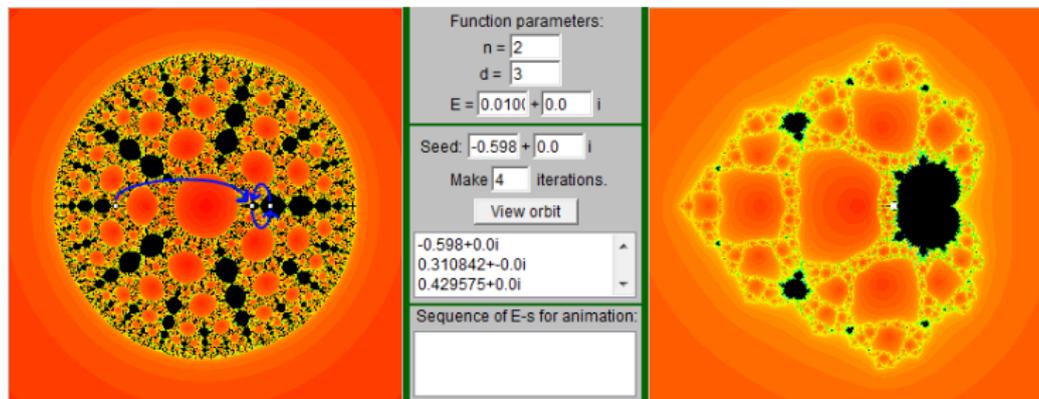


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- The corresponding sets of λ are called Sierpinski holes.

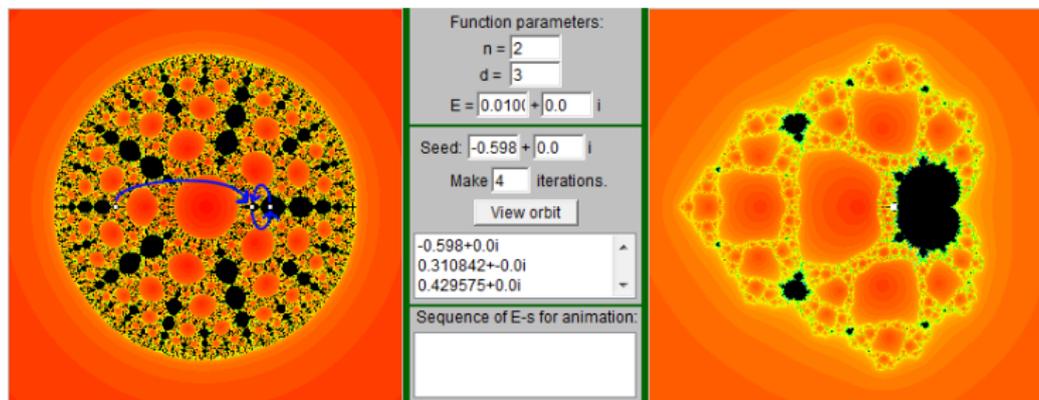
Sierpinski carpet fractal



Mandelbrot sets and the connectedness locus

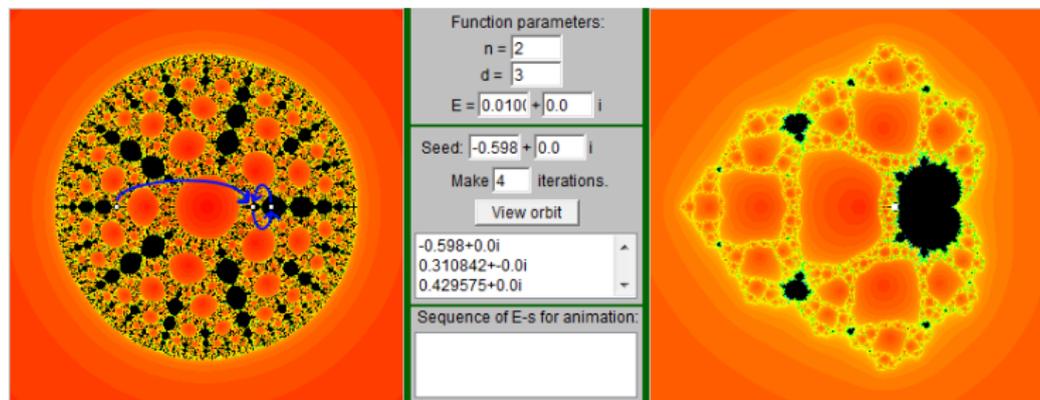


Mandelbrot sets and the connectedness locus



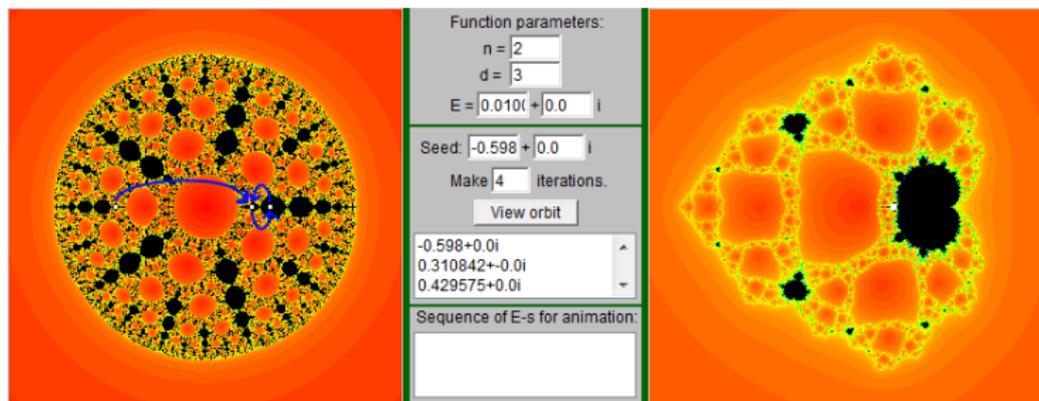
- v^λ does not escape to ∞ .

Mandelbrot sets and the connectedness locus



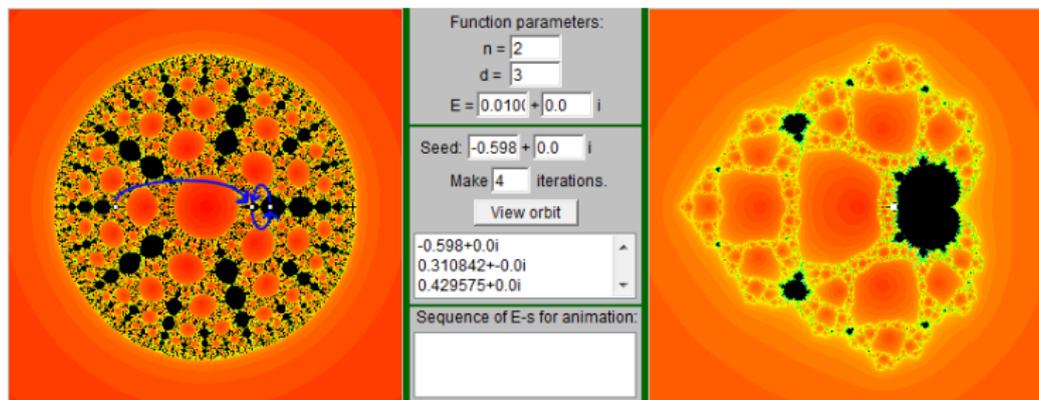
- v^λ does not escape to ∞ .
- The corresponding set of λ in the parameter plane includes, but is not limited to, the Mandelbrot sets.

Mandelbrot sets and the connectedness locus



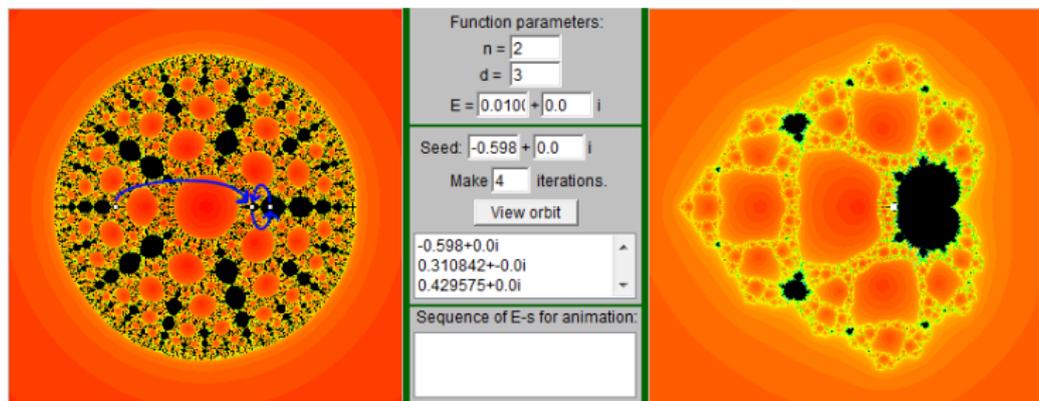
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Mandelbrot sets and the connectedness locus



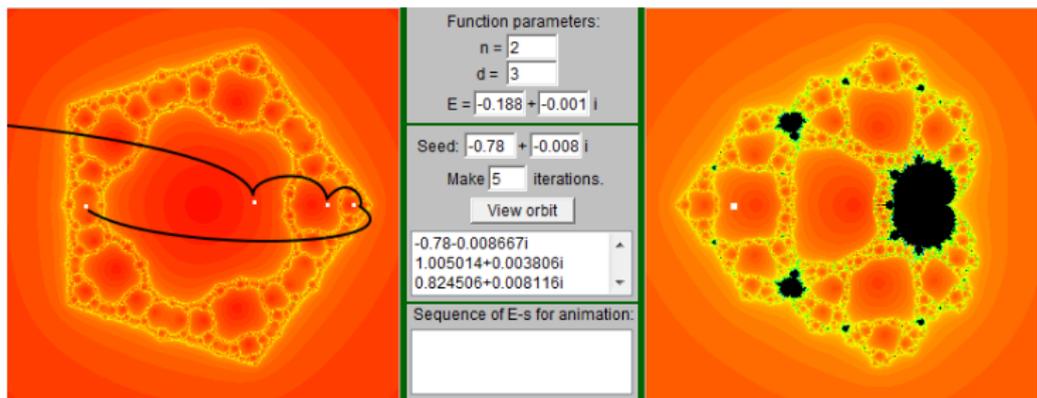
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Mandelbrot sets and the connectedness locus

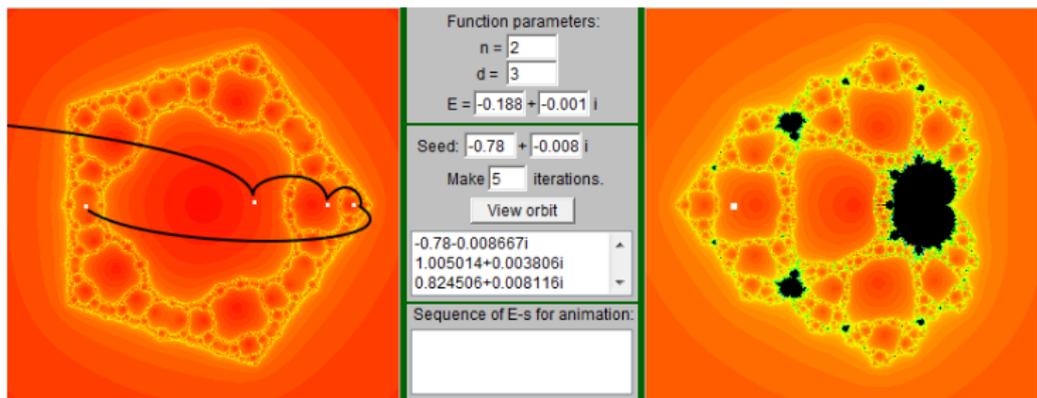


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- The corresponding set of λ in the parameter plane includes, but is not limited to, the Mandelbrot sets.
- The complement of the Cantor set locus and the McMullen domain in the Riemann sphere is the connectedness locus. This locus is the union of the Mandelbrot sets, Sierpinski holes, and some other stuff. $\mathcal{J}(F_\lambda)$ is a connected set for all λ in the connectedness locus.

Sierpinski hole of higher escape time

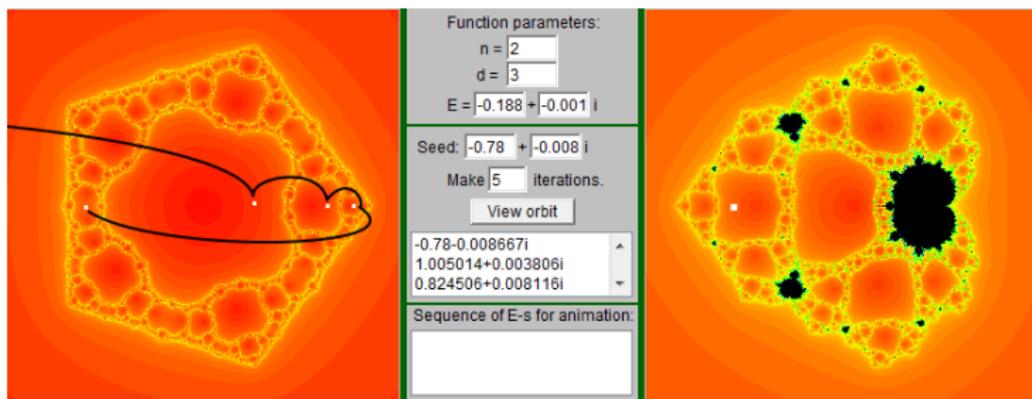


Sierpinski hole of higher escape time



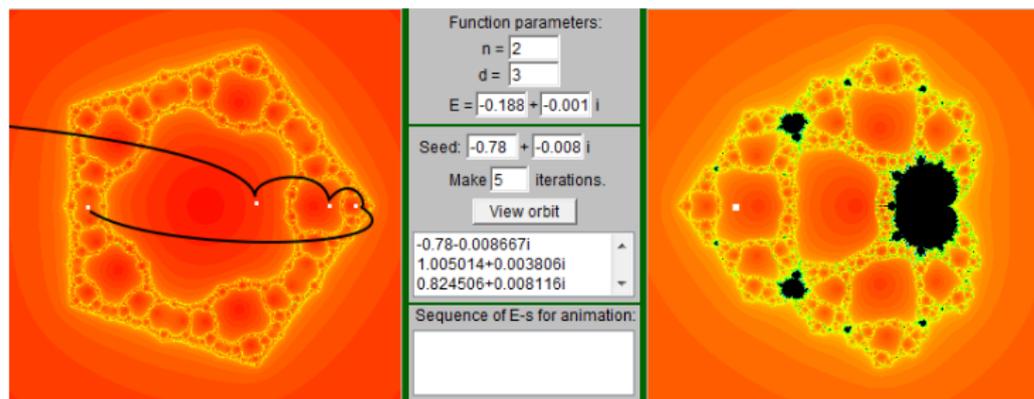
- For a λ in the next Sierpinski hole to the left:

Sierpinski hole of higher escape time



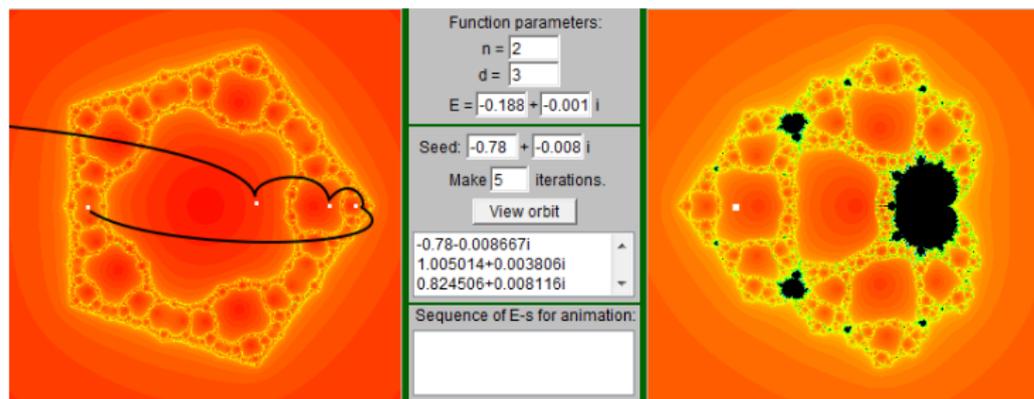
- For a λ in the next Sierpinski hole to the left:
- c^λ enters T_λ at iteration 3.

Sierpinski hole of higher escape time



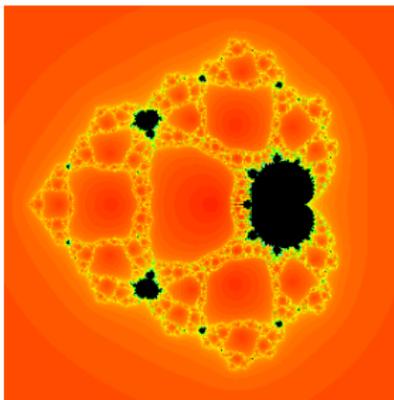
- For a λ in the next Sierpinski hole to the left:
- c^λ enters T_λ at iteration 3.
- The next Sierpinski hole along the negative real axis probably has escape time 4.

Sierpinski hole of higher escape time

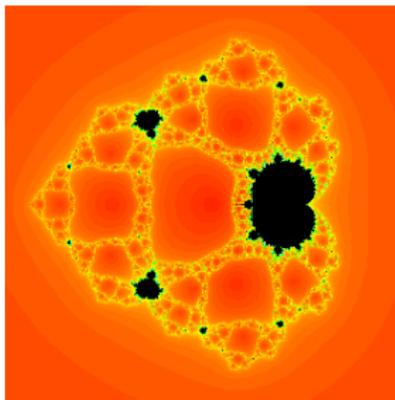


- For a λ in the next Sierpinski hole to the left:
- c^λ enters T_λ at iteration 3.
- The next Sierpinski hole along the negative real axis probably has escape time 4.
- This idea of increasingly higher escape time Sierpinski holes might be interesting...

More Mandelbrot sets

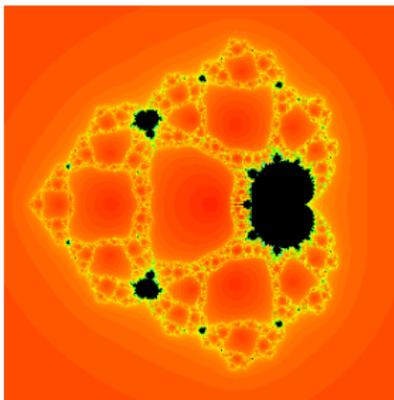


More Mandelbrot sets



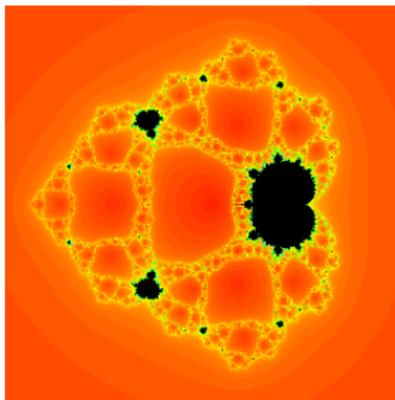
- There is the clearly visible principal Mandelbrot set.

More Mandelbrot sets



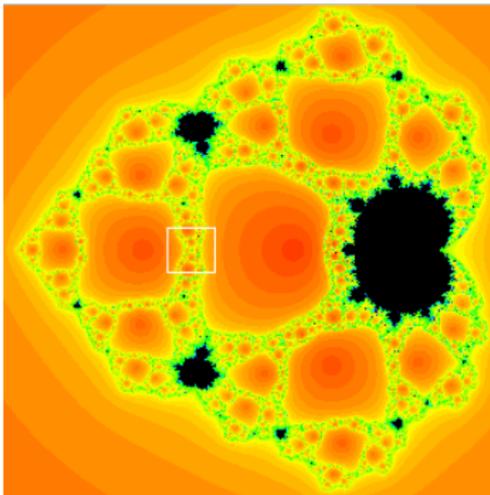
- There is the clearly visible principal Mandelbrot set.
- Also two baby Mandelbrot sets.

More Mandelbrot sets

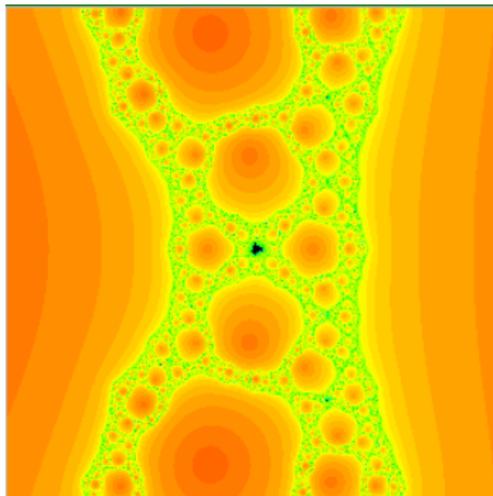
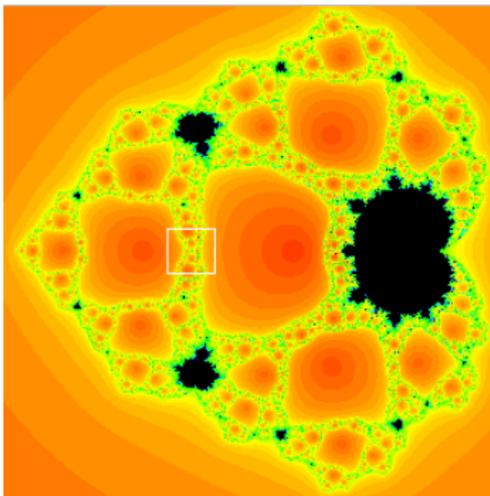


- There is the clearly visible principal Mandelbrot set.
- Also two baby Mandelbrot sets.
- Six more baby Mandelbrot sets. Are there more?

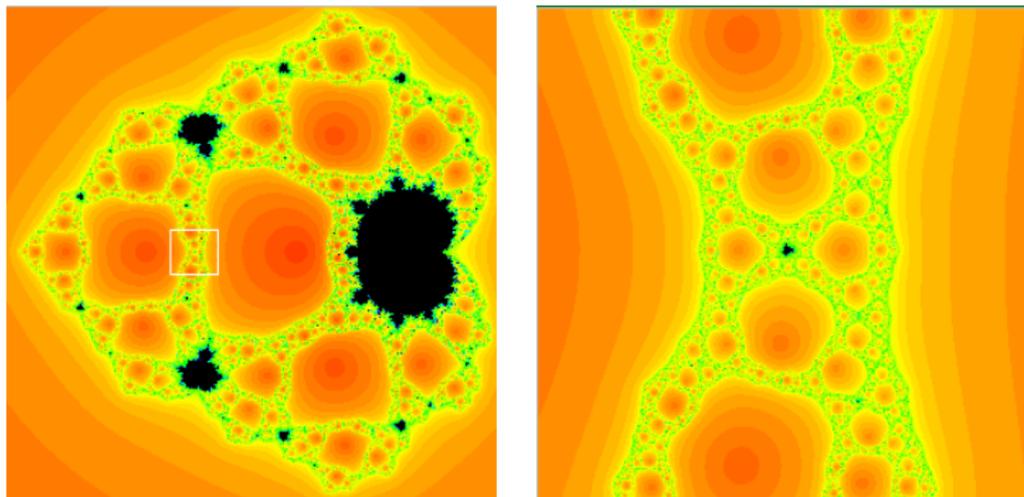
Why yes there are



Why yes there are

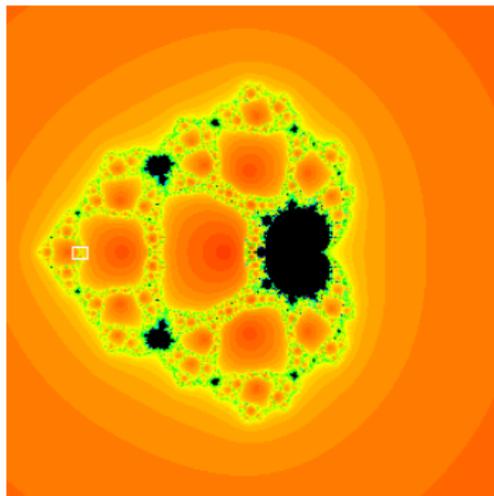


Why yes there are

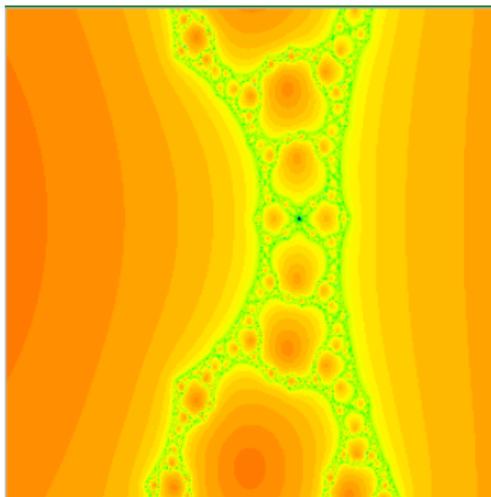
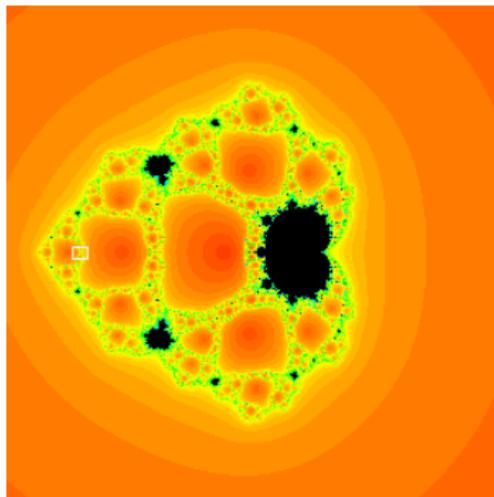


- There is a Mandelbrot between the Sierpinski holes of c^λ escape time 2 and 3.

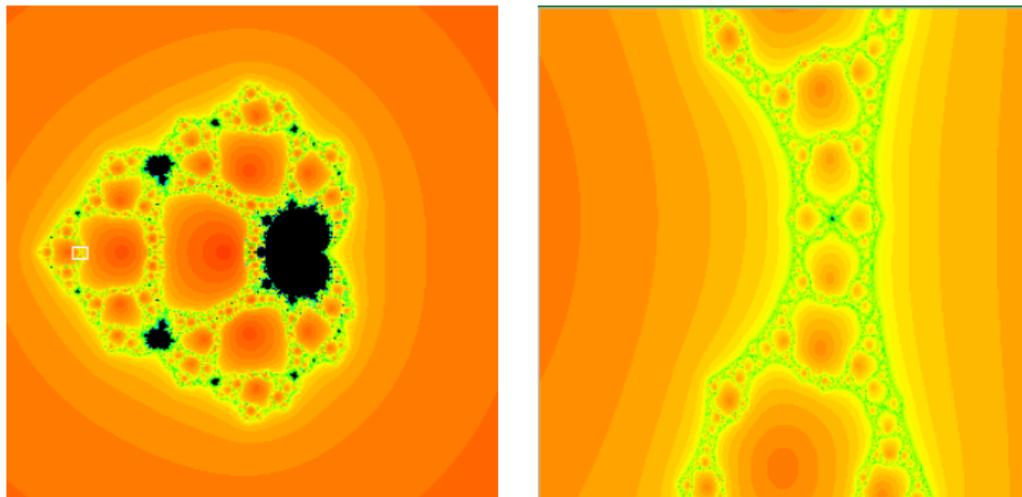
Further along the negative real axis



Further along the negative real axis



Further along the negative real axis



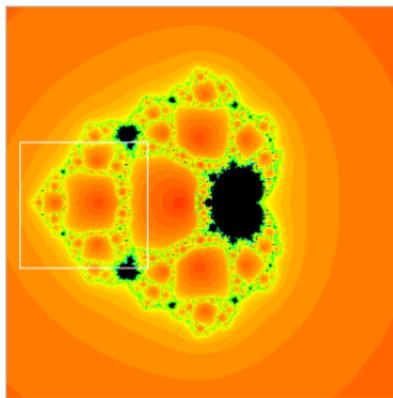
- Looks like another Mandelbrot set between the next pair of Sierpinski holes.

Claim

- There are infinitely many Sierpinski holes along the negative real axis of the parameter plane.

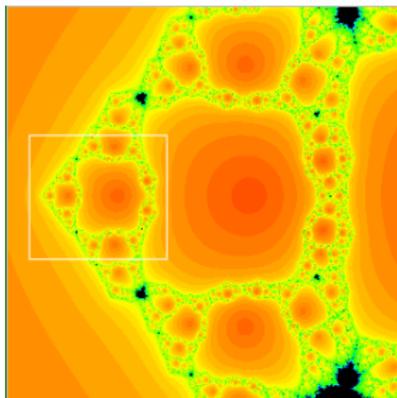
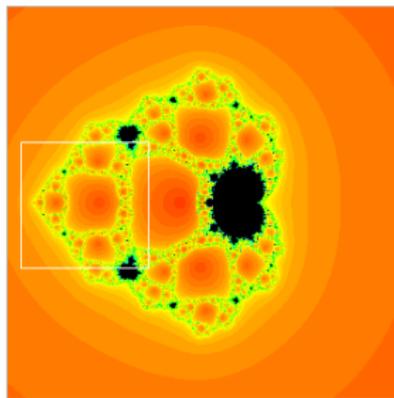
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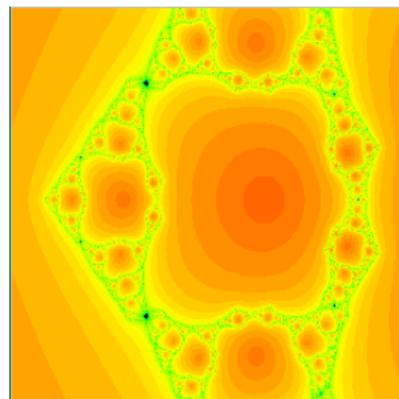
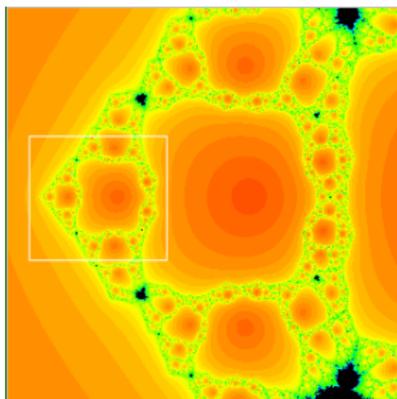
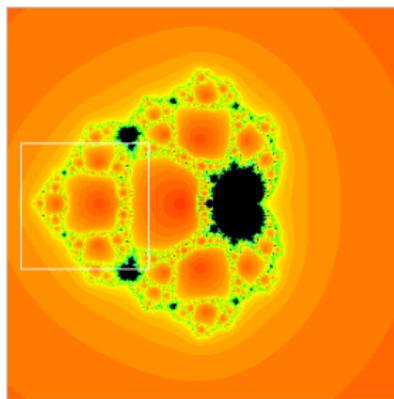
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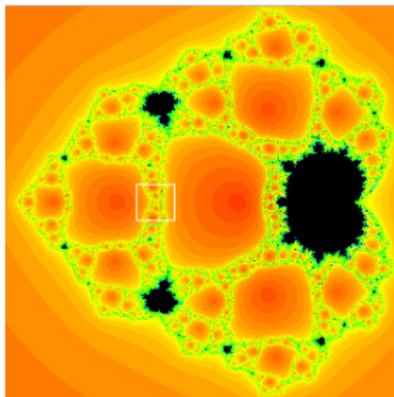


Claim

- Between each of the infinitely many pairs of Sierpinski holes is a Mandelbrot set.

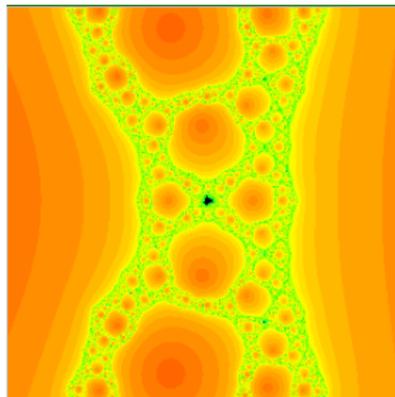
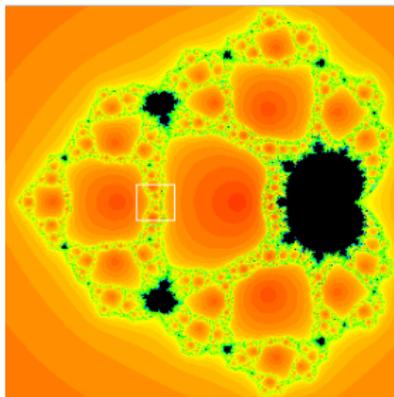
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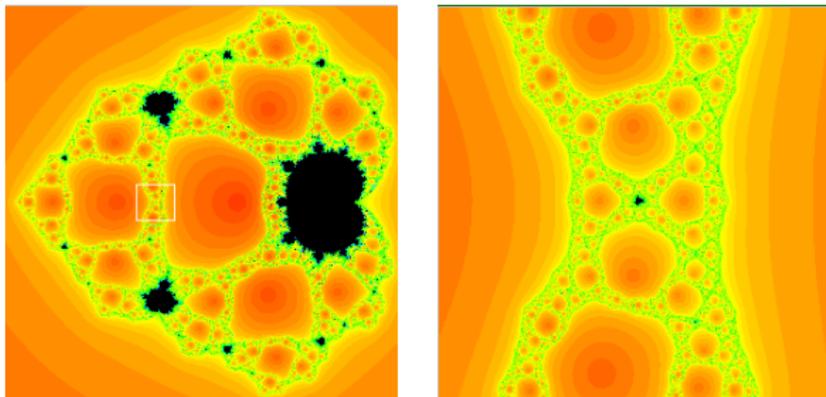
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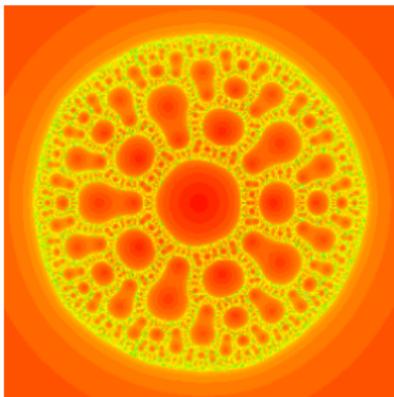
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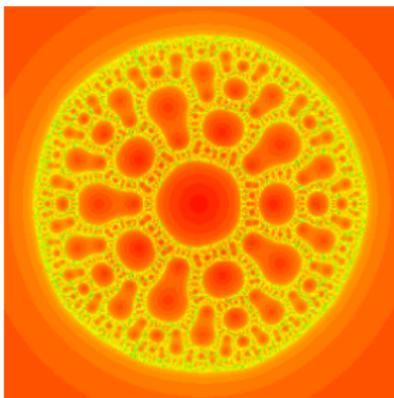


- This set of infinitely many alternating Sierpinski holes and Mandelbrot sets along the negative real axis in the parameter plane is the *Sierpinski Mandelbrot arc*.

The dynamical plane for $n = 2, d = 3$

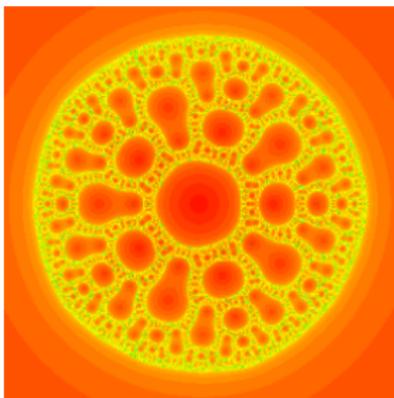


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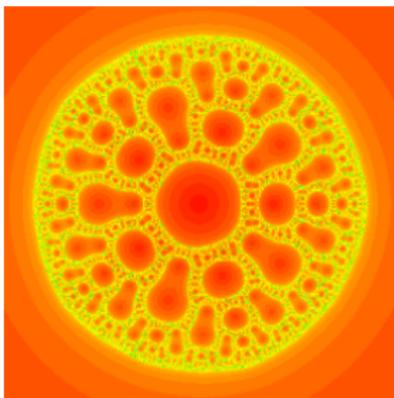
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The dynamical plane for $n = 2, d = 3$



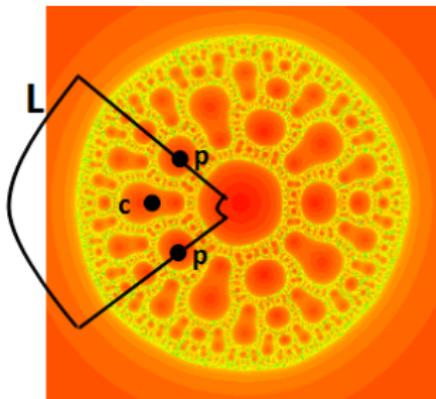
- This is the dynamical plane for $n = 2, d = 3$ and λ in a Sierpinski hole on the negative real axis.
- To prove the existence of the Sierpinski Mandelbrot arc we will consider some closed sets in the dynamical plane.

The dynamical plane for $n = 2, d = 3$

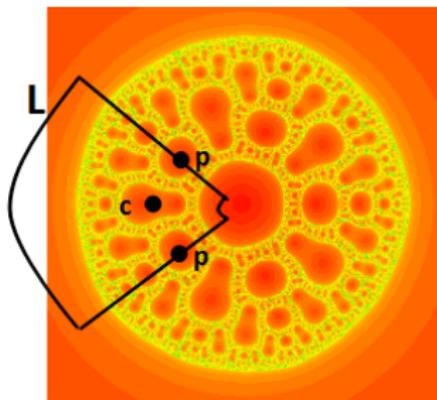


- This is the dynamical plane for $n = 2, d = 3$ and λ in a Sierpinski hole on the negative real axis.
- To prove the existence of the Sierpinski Mandelbrot arc we will consider some closed sets in the dynamical plane.
- We will also restrict λ to an annular region in the parameter plane. The details are not that interesting. [more](#)

The left wedge L^λ

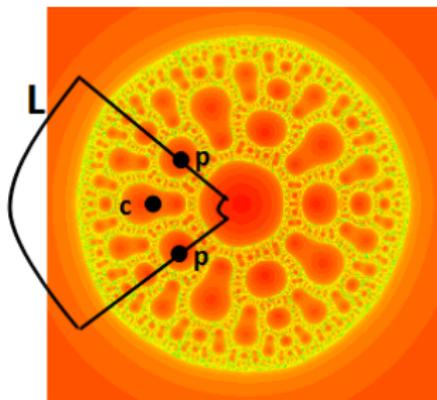


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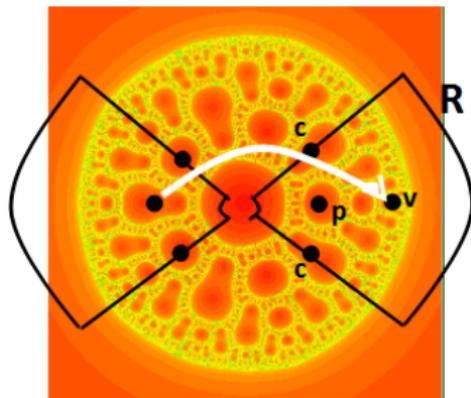
- Let L^λ be the closed portion of the wedge with inner boundary in the trapdoor, outer boundary in the basin, and straight line boundaries that are part of the two adjacent prepole rays as shown.

The left wedge L^λ

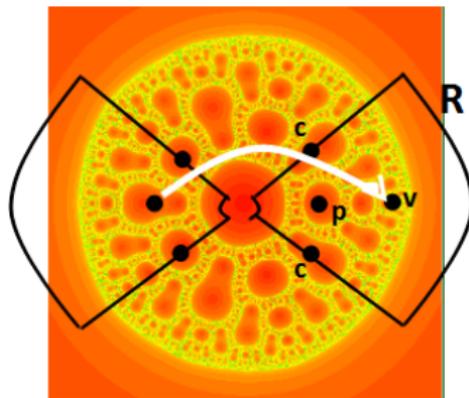


- Let L^λ be the closed portion of the wedge with inner boundary in the trapdoor, outer boundary in the basin, and straight line boundaries that are part of the two adjacent prepole rays as shown.
- There is one critical point c_0^λ in the interior of L^λ .

The right wedge R^λ

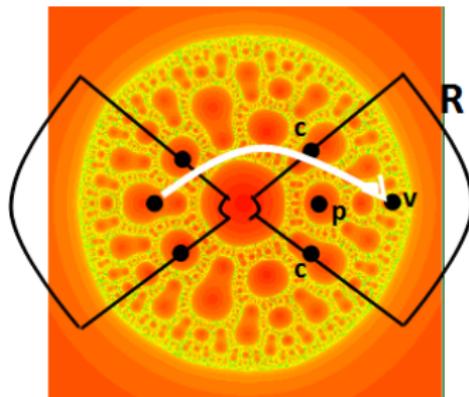


The right wedge R^λ



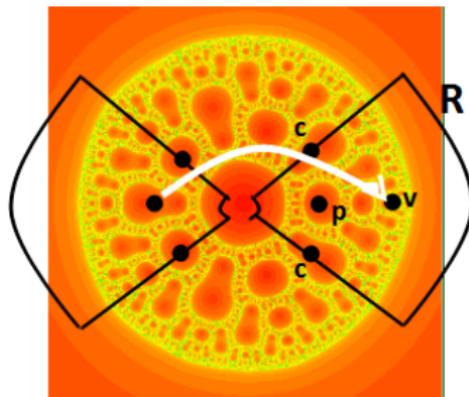
- Let R^λ be the symmetric right wedge. The straight line boundaries are part of two adjacent critical point rays.

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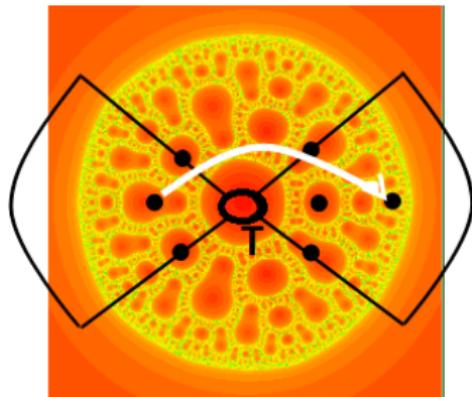
- Let R^λ be the symmetric right wedge. The straight line boundaries are part of two adjacent critical point rays.
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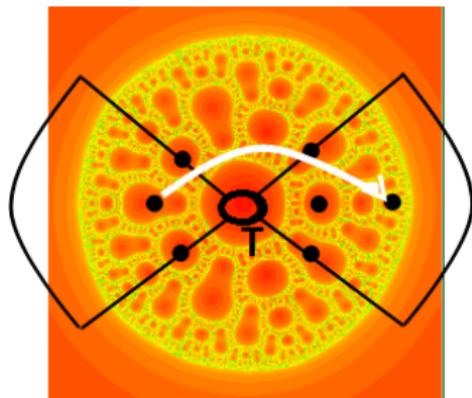


- Let R^λ be the symmetric right wedge. The straight line boundaries are part of two adjacent critical point rays.
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- $v_0^\lambda = F_\lambda(c_0^\lambda)$ is in R^λ .

The (subset of the) trapdoor T_A

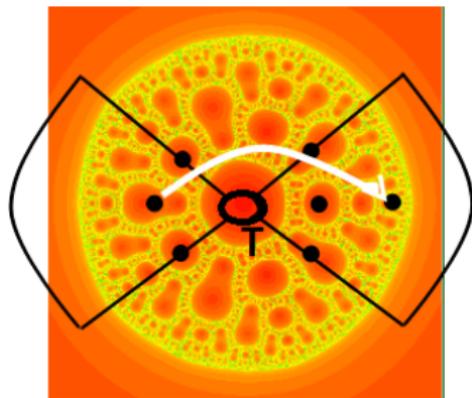


The (subset of the) trapdoor $T_{\mathcal{A}}$



- Let $T_{\mathcal{A}}$ be the closed subset of the trapdoor containing 0 such that $L^{\lambda} \cup T_{\mathcal{A}} \cup R^{\lambda}$ are connected, and they only intersect along boundaries.

The (subset of the) trapdoor T_A



- Let T_A be the closed subset of the trapdoor containing 0 such that $L^\lambda \cup T_A \cup R^\lambda$ are connected, and they only intersect along boundaries.
- This union will be referred to informally as the bowtie.

Proposition

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For each λ in that annular region:

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1. F_λ maps R^λ in 1-1 fashion onto a region that contains the interiors of $L^\lambda \cup T_{\mathcal{A}} \cup R^\lambda$;

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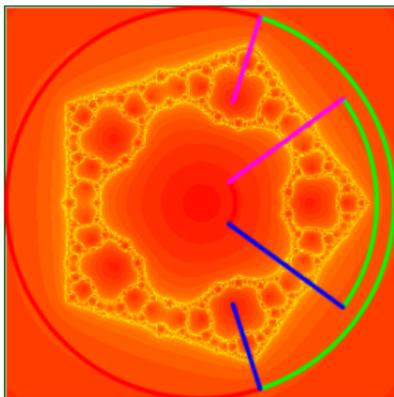
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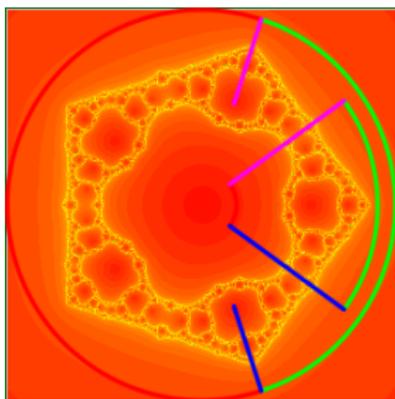
1. F_λ maps R^λ in 1-1 fashion onto a region that contains the interiors of $L^\lambda \cup T_{\mathcal{A}} \cup R^\lambda$;
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3. Some stuff about the critical value winding around the boundary of R_0^λ that is not worth stating, justifying, or using for this talk.

Justification for part 1



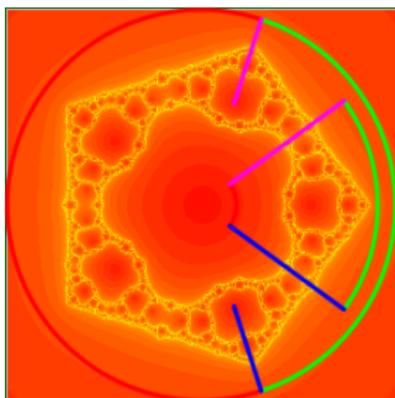
- The critical point ray boundaries of R^λ are mapped two-to-one onto the critical value rays.

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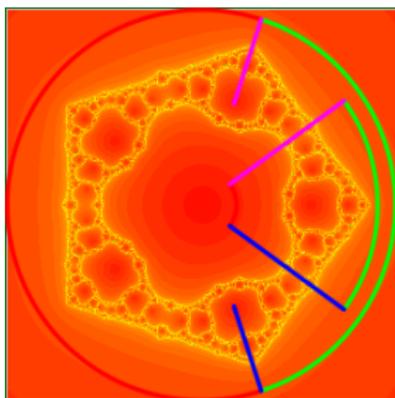
- The critical point ray boundaries of R^λ are mapped two-to-one onto the critical value rays. For each λ in the annular region, the critical value rays are disjoint from the interiors of L^λ , R^λ , and $T_{\mathcal{A}}$.

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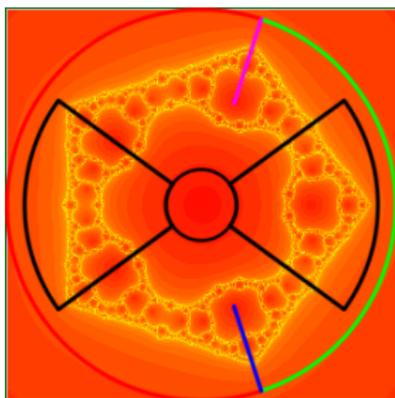
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- The boundary of R^λ in B^λ maps to the outer arc on the right.

Justification for part 1



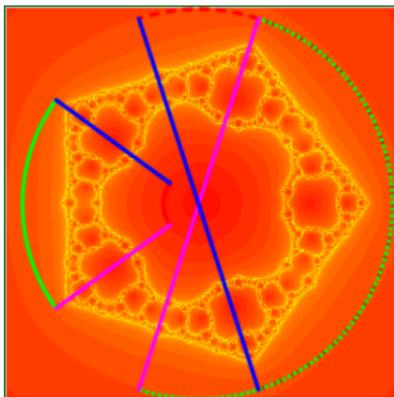
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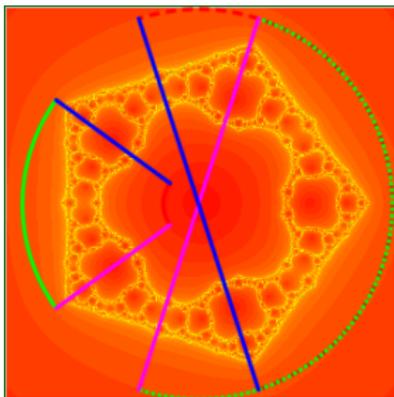
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- Then the image of R^λ properly contains the interiors R^λ , L^λ , and $T_{\mathcal{A}}$.

Justification for part 2



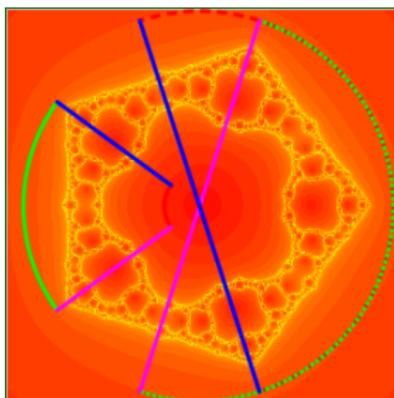
- The prepole rays map to the critical point rays passing through the origin.

Justification for part 2



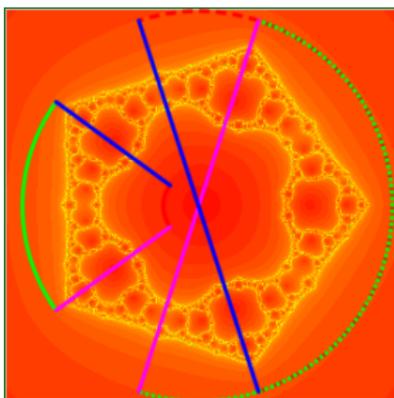
- The prepole rays map to the critical point rays passing through the origin. For each λ in the annular region, the critical point rays are disjoint from the interior of R^λ .

Justification for part 2



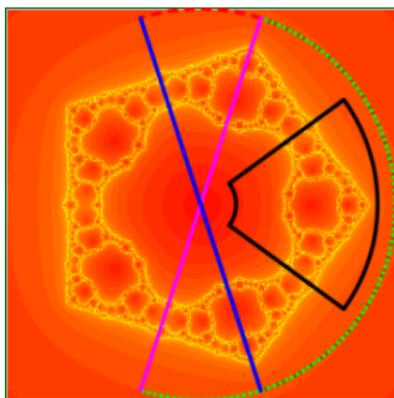
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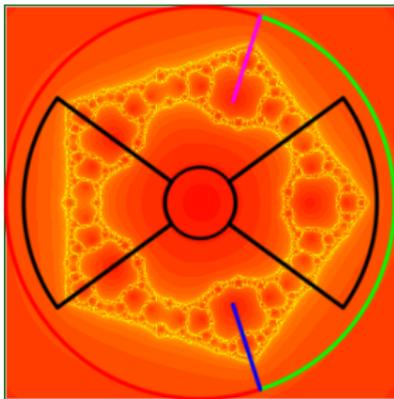
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- The boundary of L^λ in $T_{\mathcal{A}}$ maps to a slightly longer arc on the right.

Justification for part 2

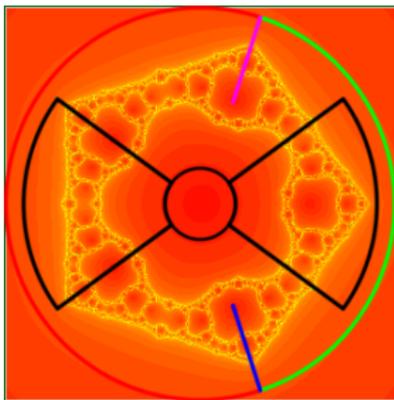


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- The boundary of L^λ in $T_{\mathcal{A}}$ maps to a slightly longer arc on the right.
- Then F_λ maps L^λ over R^λ in two-to-one fashion.

Are the rays really disjoint for all λ ?

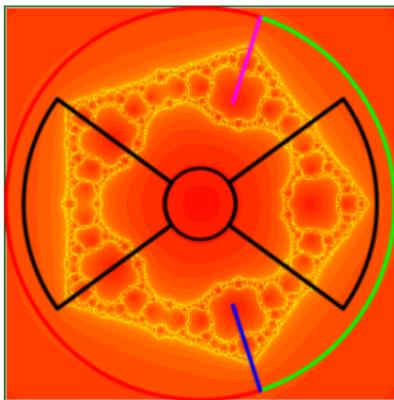


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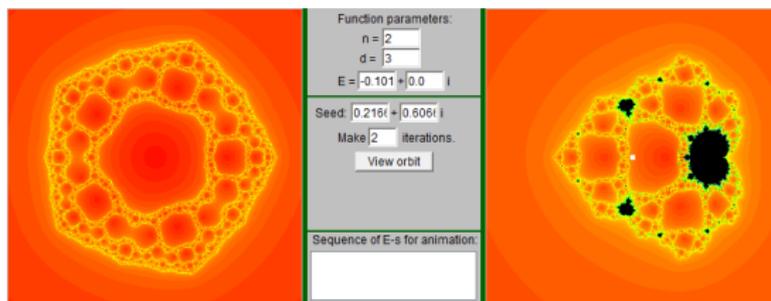
- Rotating λ clockwise or CCW by half a turn rotates the “bowtie” by one tenth of a turn.

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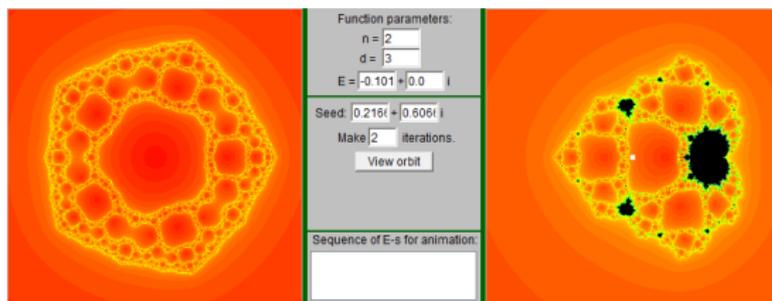


- Rotating λ clockwise or CCW by half a turn rotates the “bowtie” by one tenth of a turn. The critical value rays rotate one fifth of a turn but remain disjoint from R_0^λ .

Drawing a picture

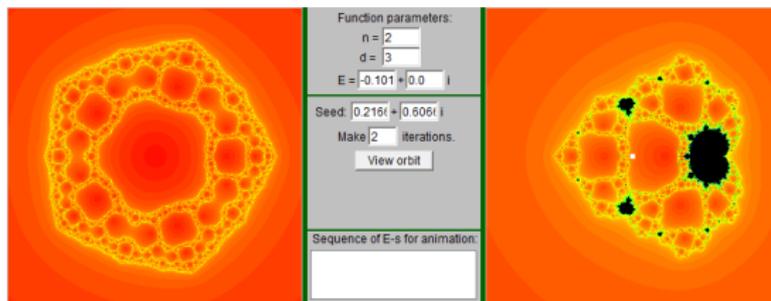


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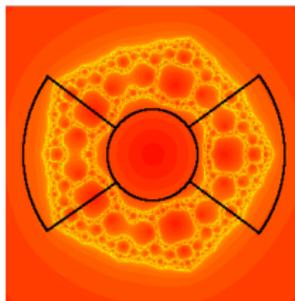


- We can “put a bowtie” on the dynamical plane, and the R^λ portion of the bowtie contains a preimage of the bowtie.

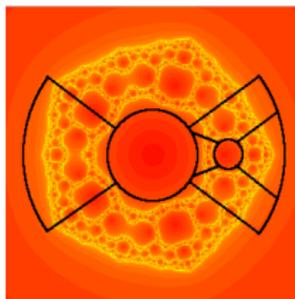
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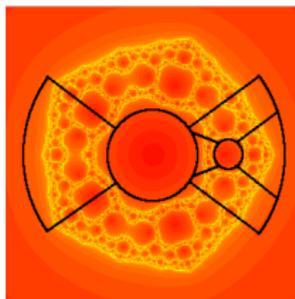
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Preimage of the bowtie in the bowtie

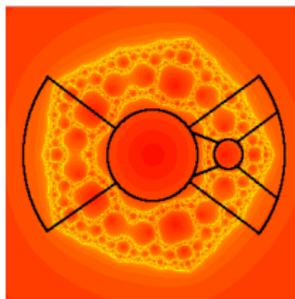


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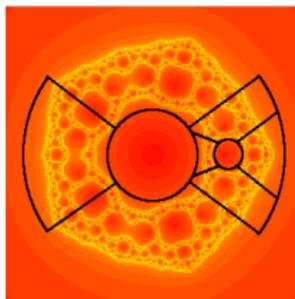
- Here is the preimage of the bowtie containing a preimage of R^λ .

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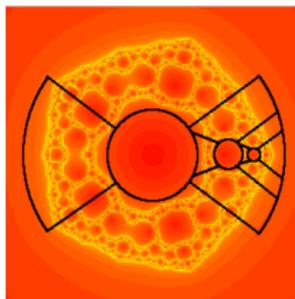


- Here is the preimage of the bowtie containing a preimage of R^λ .
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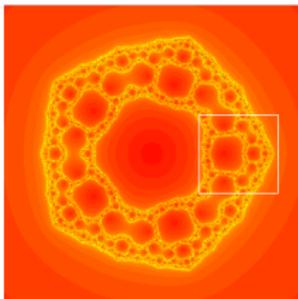
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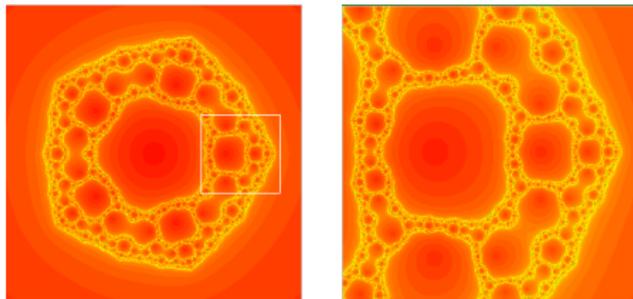
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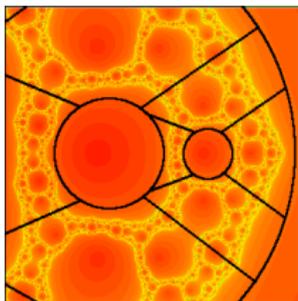
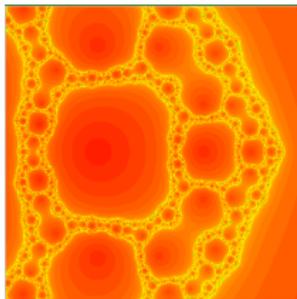
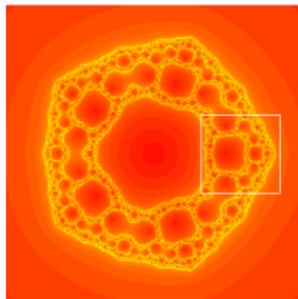
Zooming in



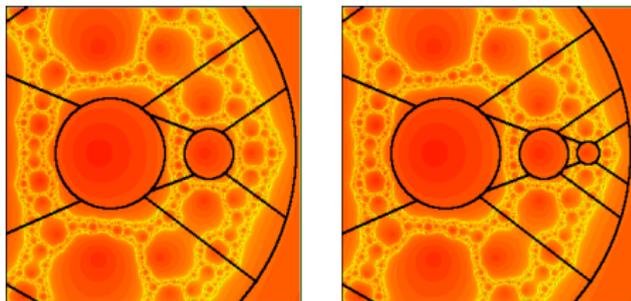
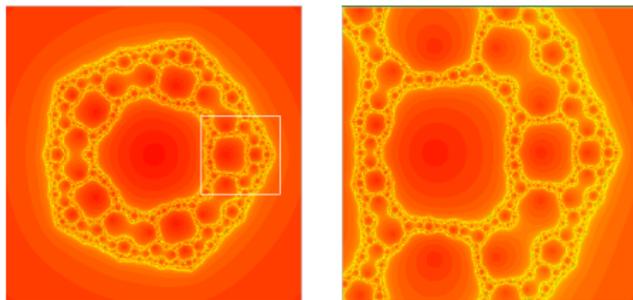
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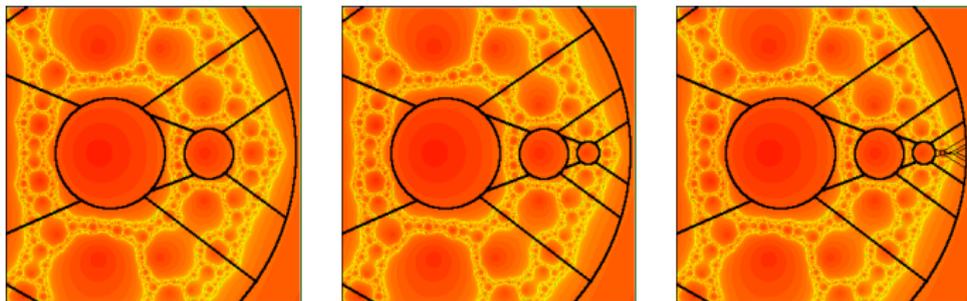
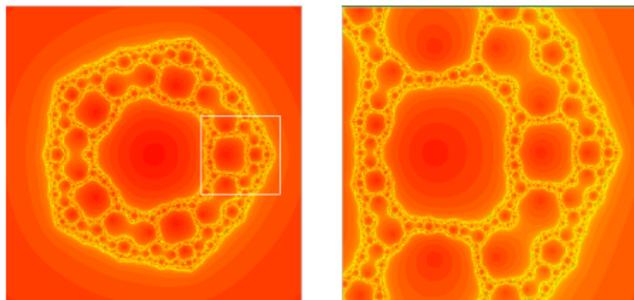
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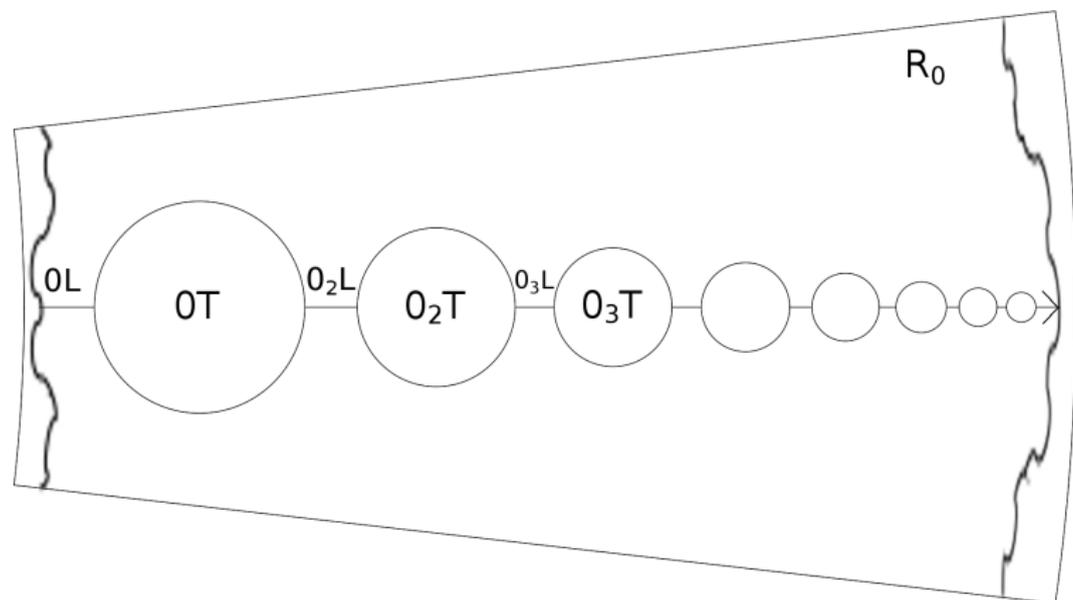
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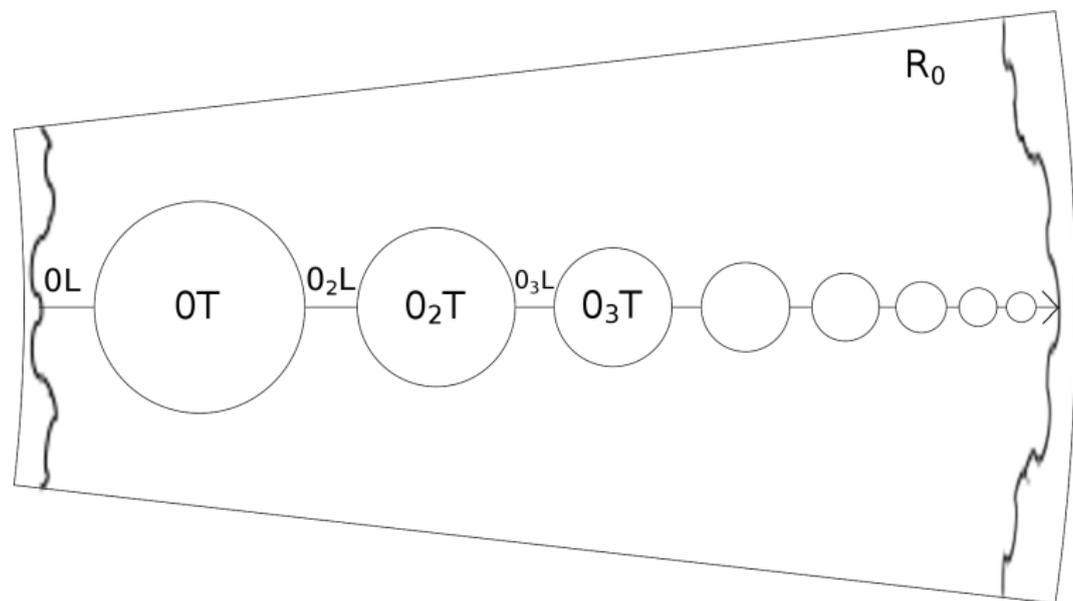


The dynamical $\bar{0}TL$ arc



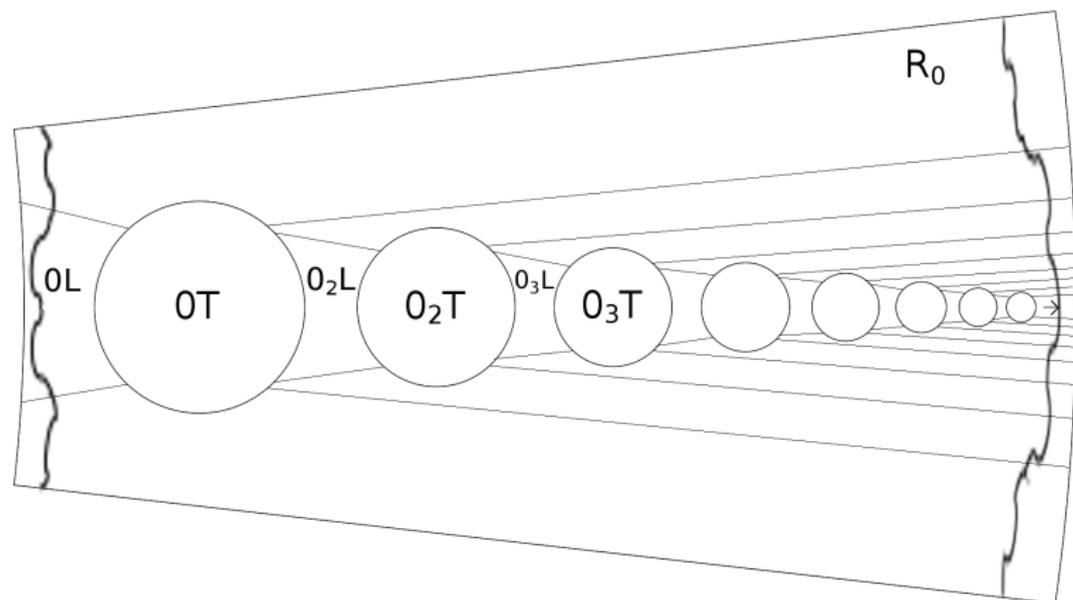
- Here is a stylized representation of the $\bar{0}TL$ arc in the dynamical plane.

The dynamical $\bar{0}TL$ arc



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Claim

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- So how do these closed regions in the dynamical plane prove the existence of structures in the parameter plane?

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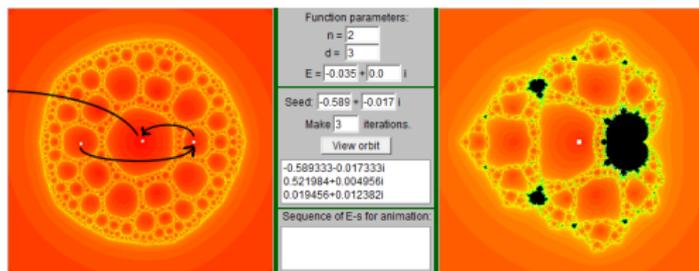
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Visual justification

- For λ the center of the Sierpinski hole with critical point escape time 2, we can see $c_0^\lambda \rightarrow v_0^\lambda = p_2^\lambda \rightarrow T_{\mathcal{A}}$.

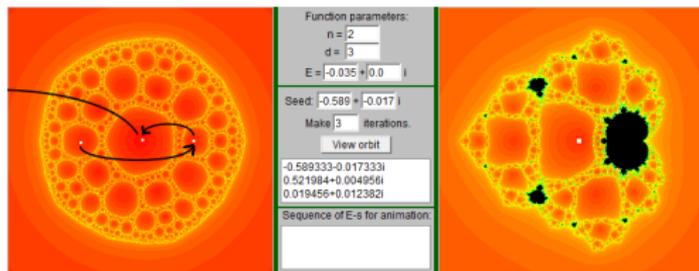
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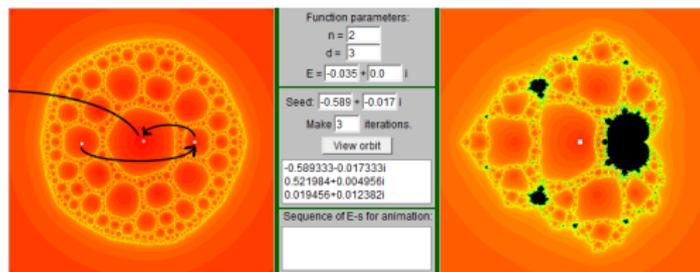
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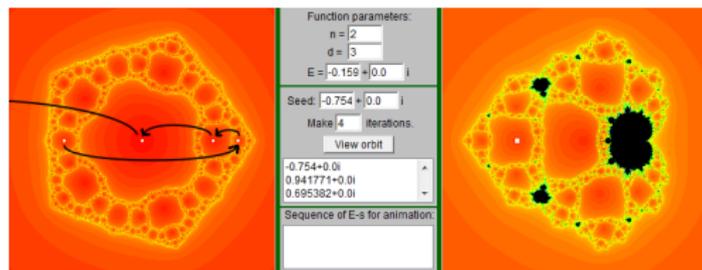
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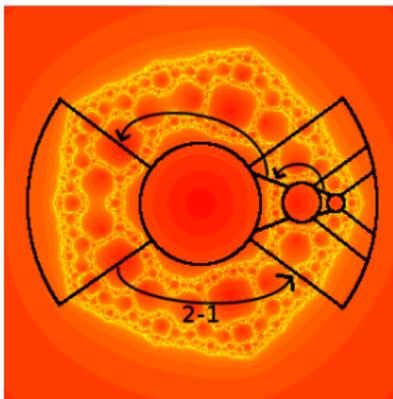
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- There is an arc of infinitely many alternating preimages of L^λ and $T_{\mathcal{A}}$ in R^λ in the dynamical plane.

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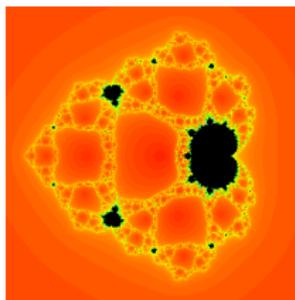
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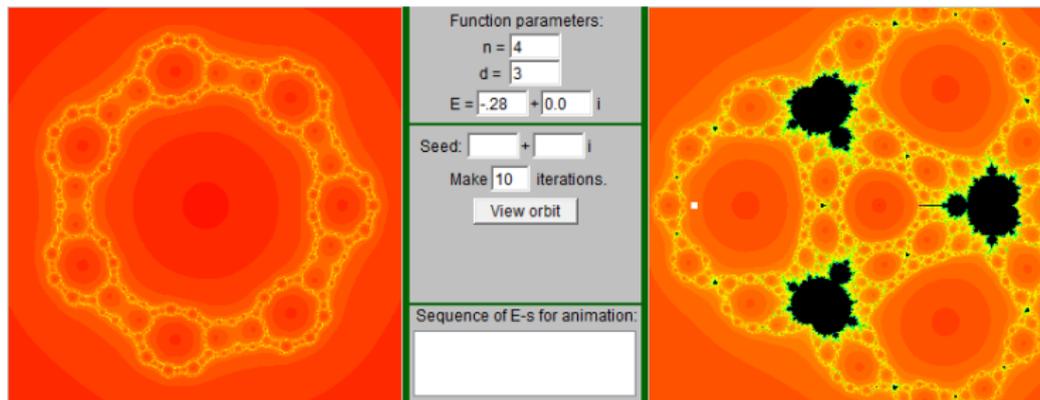
Outline

1 Introduction

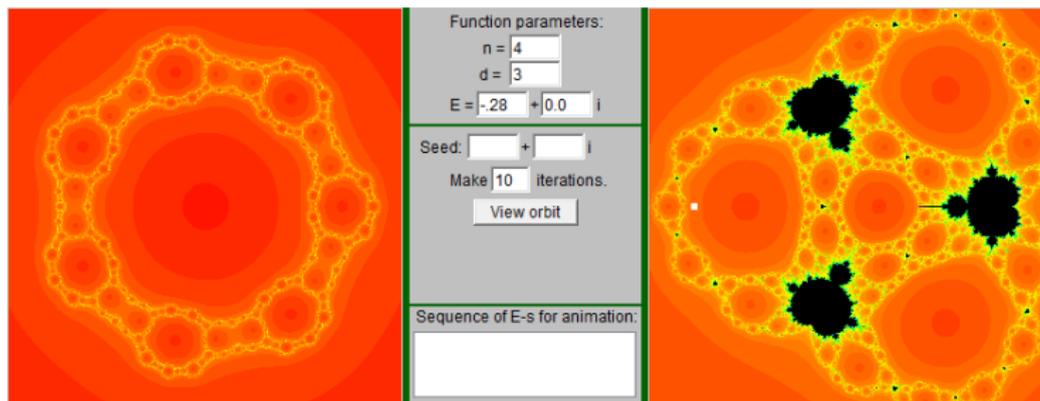
2 $z^2 + \lambda/z^3$

3 $z^4 + \lambda/z^3$

The parameter and dynamical plane for $n = 4, d = 3$

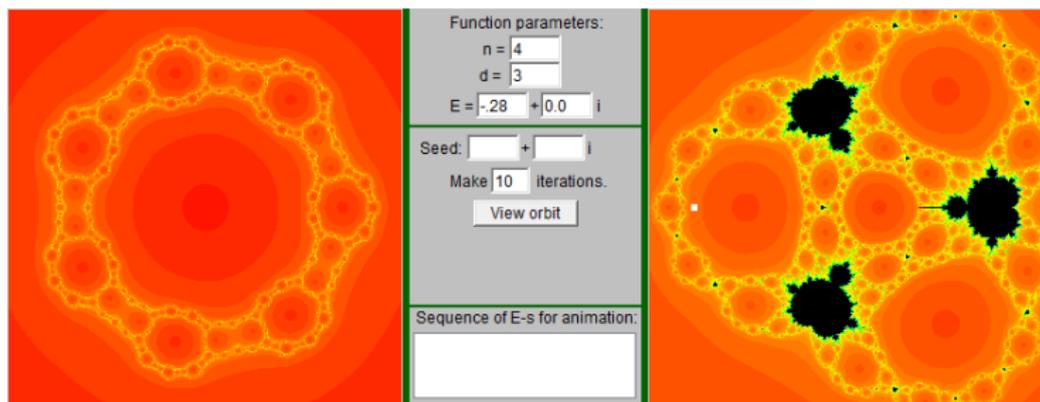


The parameter and dynamical plane for $n = 4, d = 3$



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- The argument is analogous.

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Analogous setup

- There are now 7 critical points, critical values, and prepoles.
- Critical values rotate four times as much as critical points and prepoles, instead of twice as much. This is almost bad for our bowtie method.
- The parameter plane exhibits symmetry under rotation, so that we only need to consider $2\pi/3 < \text{Arg}(\lambda) < 4\pi/3$. We have an annular sector of λ , instead of an annulus.
- We need to check λ rotated one sixth of a turn CC and CW, instead of one half of a turn, which is great!

Analogous bowtie

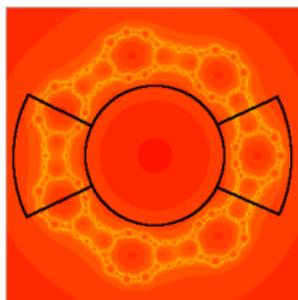
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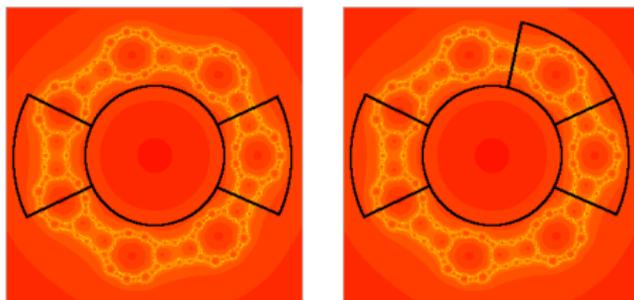
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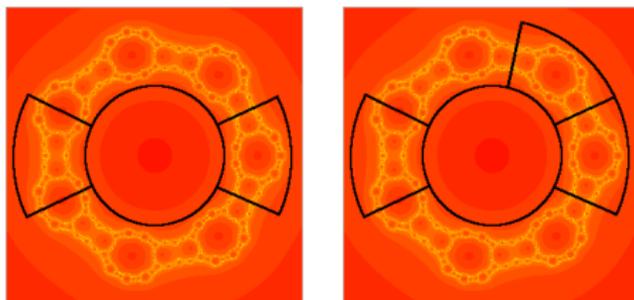
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- We will refer to $L^\lambda \cup T_A \cup R_0^\lambda \cup R_1^\lambda$ as the “lopsided bowtie.”

Analogous Proposition

Proposition

For each λ in that roughly annular region:

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1. F_λ maps R_0^λ in 1-1 fashion onto a region that contains the interiors of $L^\lambda \cup T_{\mathcal{A}} \cup R_0^\lambda \cup R_1^\lambda$;

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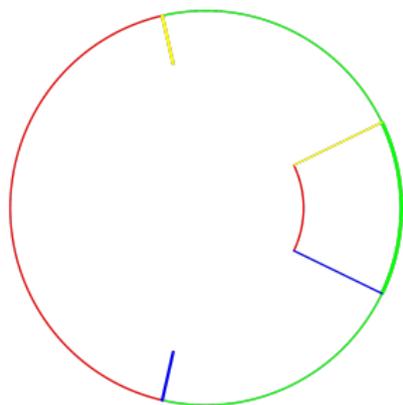
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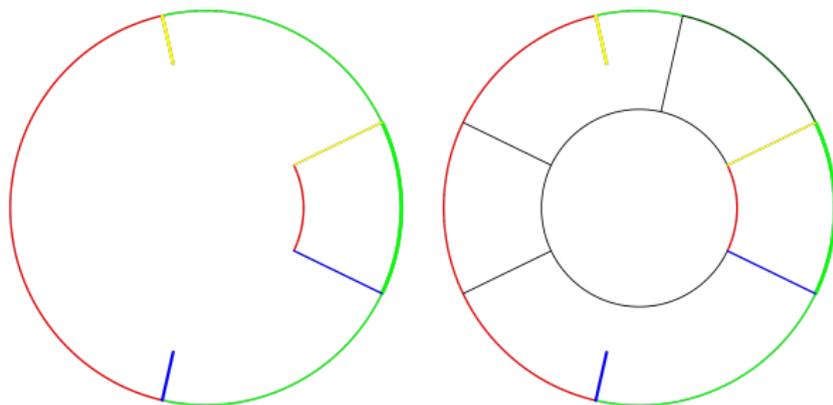
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4. The winding index part again.

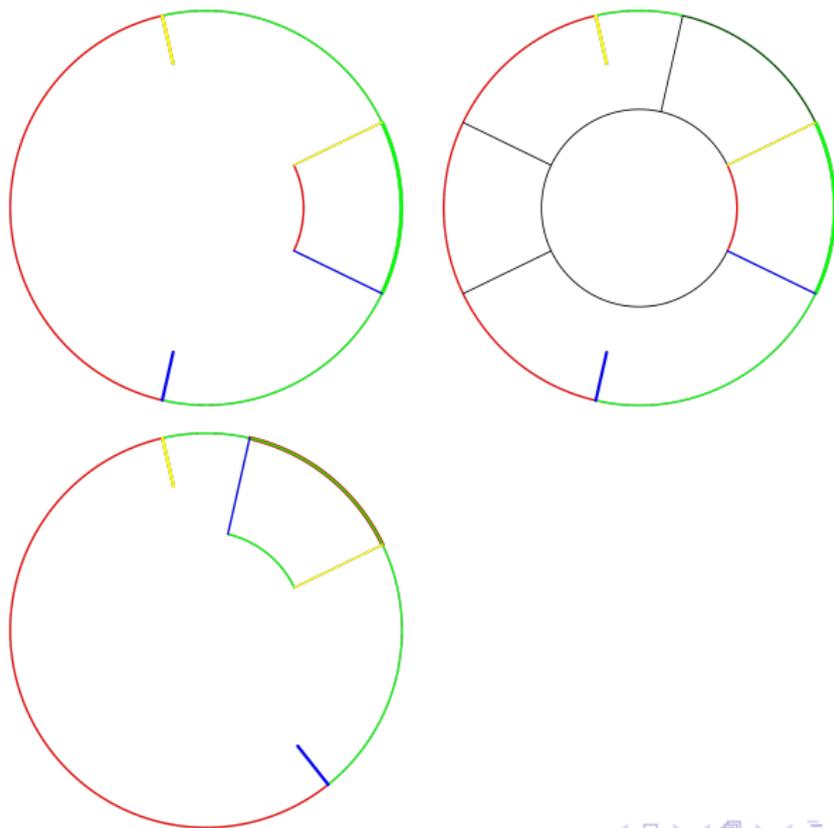
Analogous justification for part 1 (and part 2)



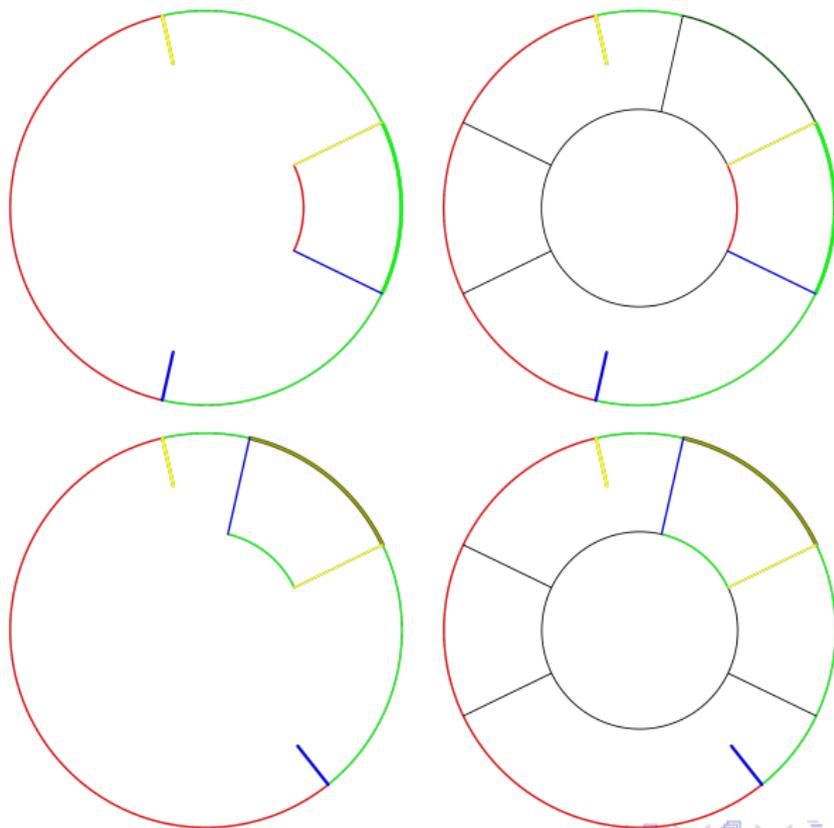
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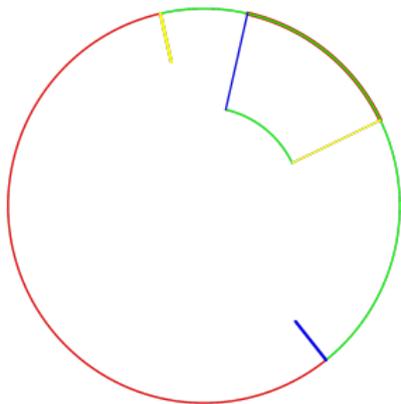
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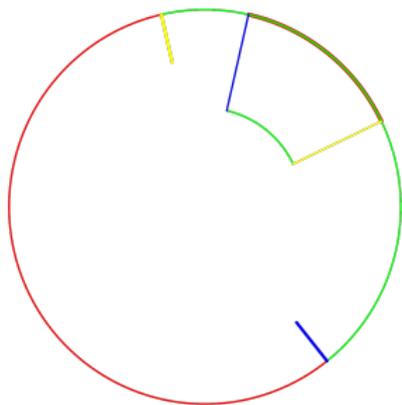
Analogous justification for part 1 (and part 2)



The bowtie in R_1^λ is rotated

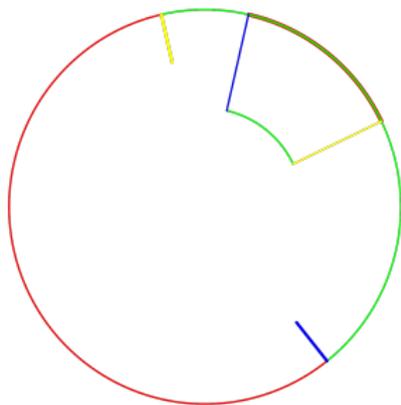


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- The orientation is preserved, but note that the outer boundary of R_1^λ maps to the left side, while the inner boundary maps to the right.

The bowtie in R_1^λ is rotated



- The orientation is preserved, but note that the outer boundary of R_1^λ maps to the left side, while the inner boundary maps to the right. This means the bowtie is rotated inside R_1^λ (and all preimages of R_1^λ).

Symbolic dynamics

- To keep track of all of these preimages of L^λ , $T_{\mathcal{A}}$, R_0^λ , and R_1^λ , we can name a preimage by the itinerary of the points inside it.

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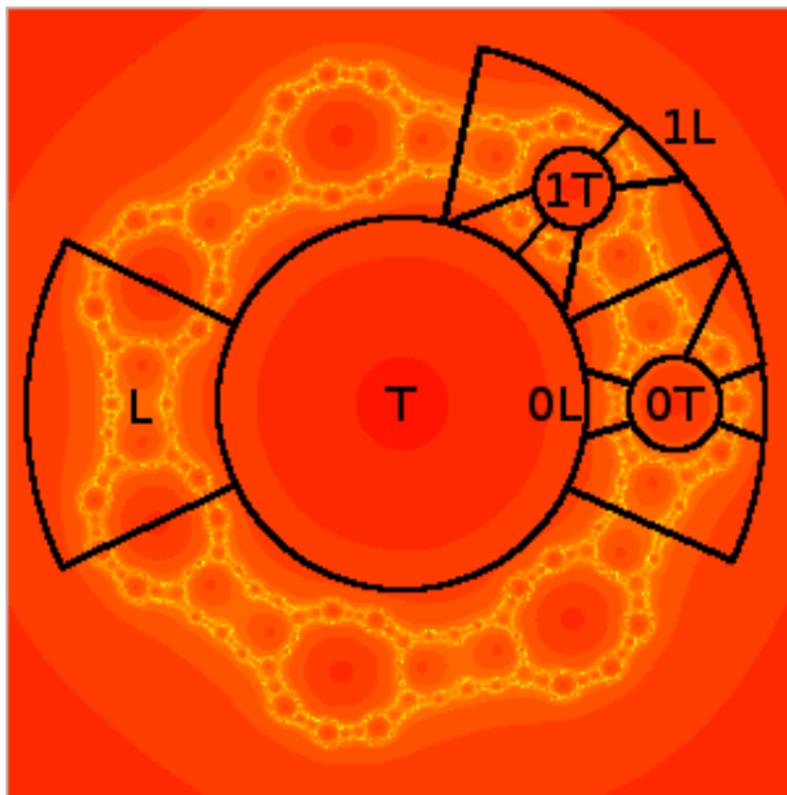
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Labeling

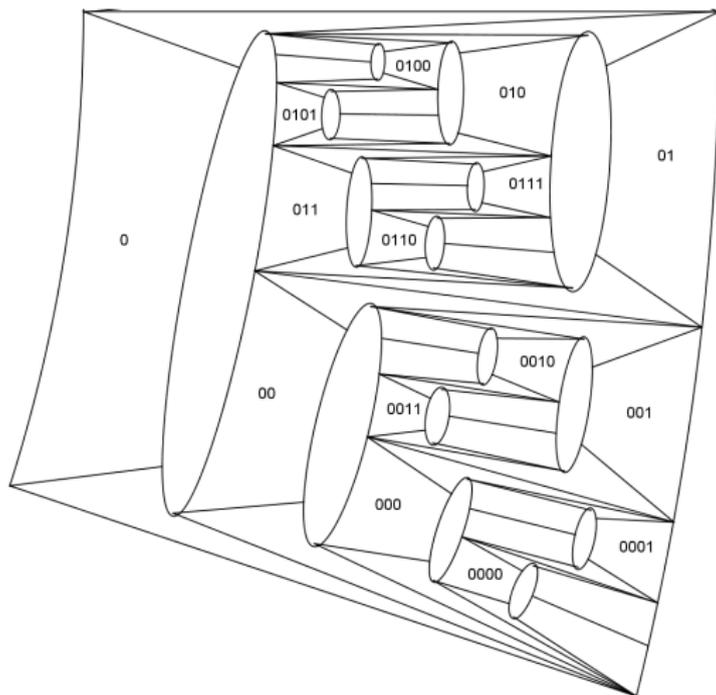


Scale is a problem

- Let's make a stylized representation of the R_0^λ wedge to depict more levels of this naming scheme.

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- (The preimage of the $\bar{0}TL$ arc in R_0^λ is itself.)

Infinitely many TL arcs

- Let's keep going:

Infinitely many TL arcs

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- That's probably enough.

Fixed points in Symbolic dynamics

- $\bar{0}$ is a fixed point in Σ_2 .

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- $\bar{0}$ is a fixed point in Σ_2 . As is $\bar{1}$.

Fixed points in Symbolic dynamics

- $\bar{0}$ is a fixed point in Σ_2 . As is $\bar{1}$. What would $\bar{1}$ mean in the context of our problem?

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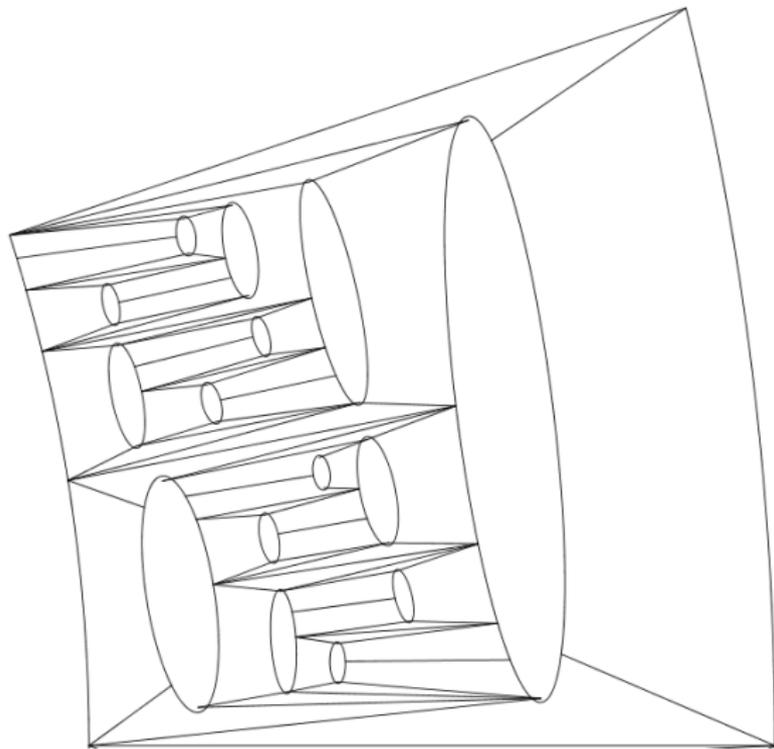
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- The fixed point in R_0^λ lies on the boundary of the basin. The fixed point in R_1^λ does not...

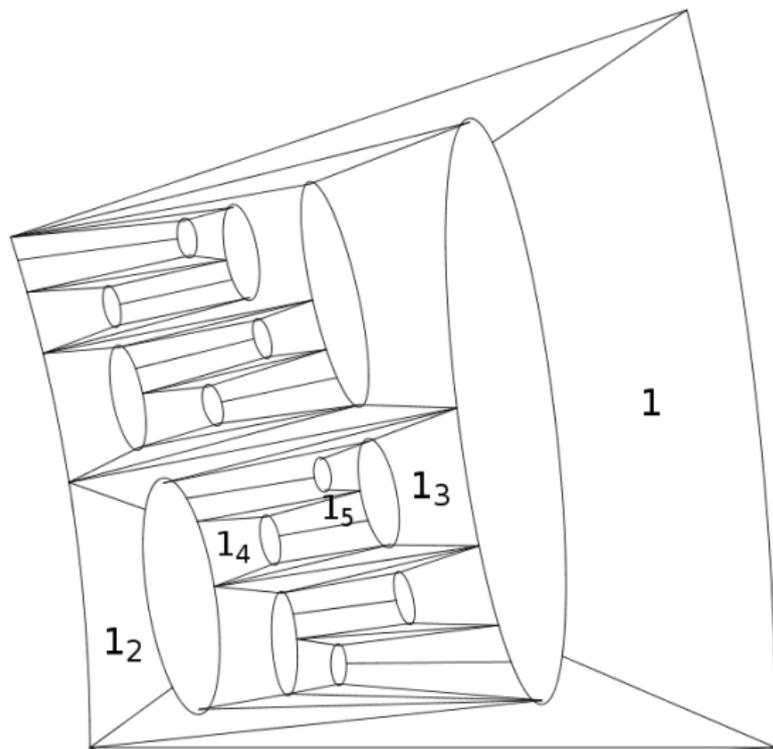
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- The fixed point in R_0^λ lies on the boundary of the basin. The fixed point in R_1^λ does not...
- If we draw lopsided bowties in R_1^λ until we get tired of doing so, we get something like:

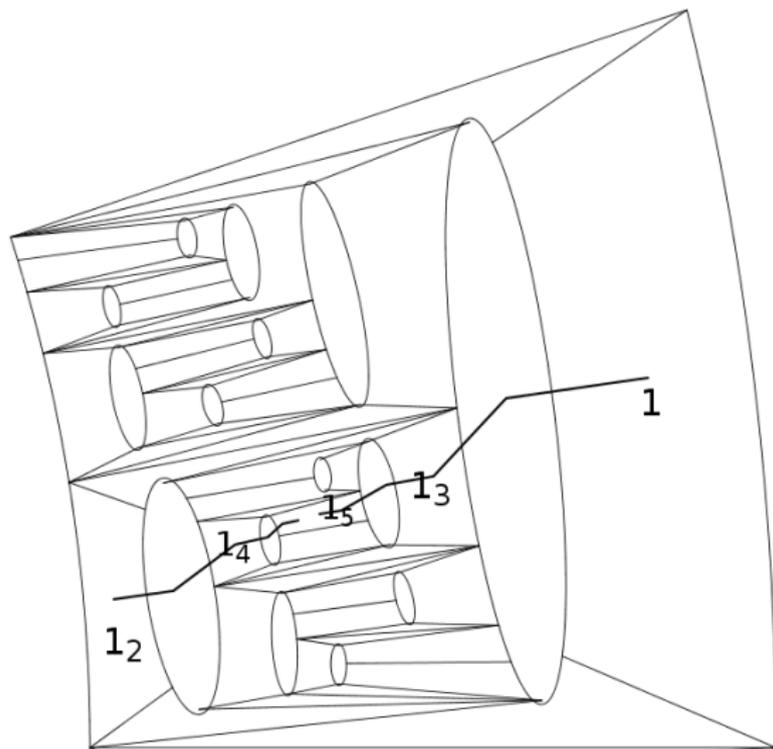
Stylized lopsided bowties



All 1's only



All 1's only



Claim

- There exists the $\overline{0TL}$ arc in R_0^λ for the rational map for $n = 4, d = 3$

Claim

- There exists the $\bar{O}TL$ arc in R_0^λ for the rational map for $n = 4, d = 3$ with the arc beginning on the boundary of T_λ

Claim

- There exists the $\bar{0}TL$ arc in R_0^λ for the rational map for $n = 4, d = 3$ with the arc beginning on the boundary of T_λ and accumulating at the fixed point on the boundary of B_λ .

Claim

- There exists the $\bar{0}TL$ arc in R_0^λ for the rational map for $n = 4, d = 3$ with the arc beginning on the boundary of T_λ and accumulating at the fixed point on the boundary of B_λ .
- There exists a different TL arc in R_1^λ for the rational map for $n = 4, d = 3$

Claim

- There exists the $\overline{0}TL$ arc in R_0^λ for the rational map for $n = 4, d = 3$ with the arc beginning on the boundary of T_λ and accumulating at the fixed point on the boundary of B_λ .
- There exists a different TL arc in R_1^λ for the rational map for $n = 4, d = 3$ such that the arc grows from both the boundary in T_λ and the boundary in B_λ ,

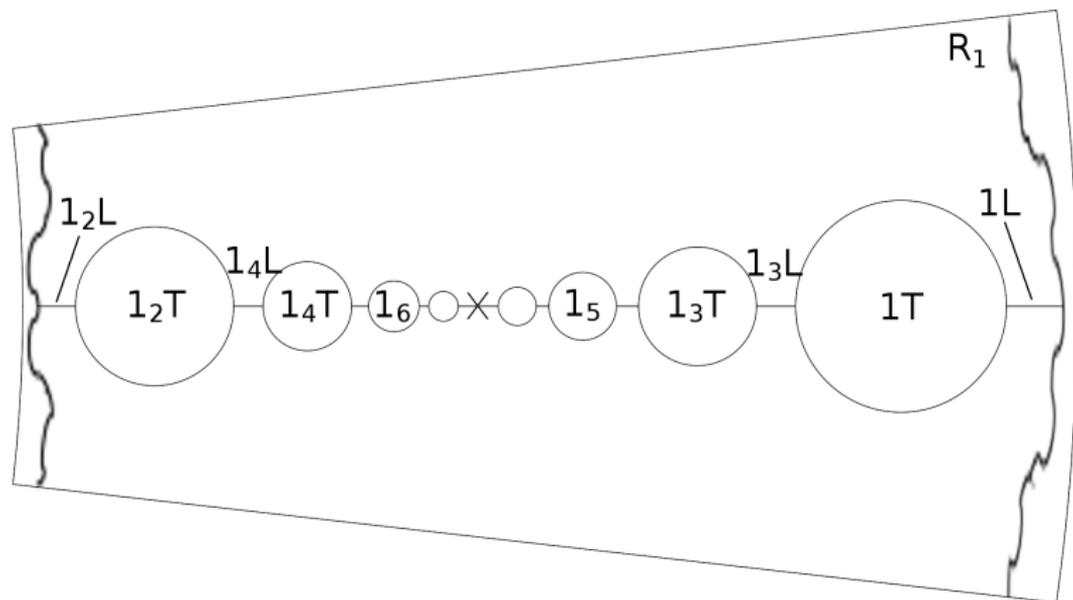
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- There exists a different TL arc in R_1^λ for the rational map for $n = 4, d = 3$ such that the arc grows from both the boundary in T_λ and the boundary in B_λ , and accumulates at the fixed point in the interior of R_1^λ .

Claim

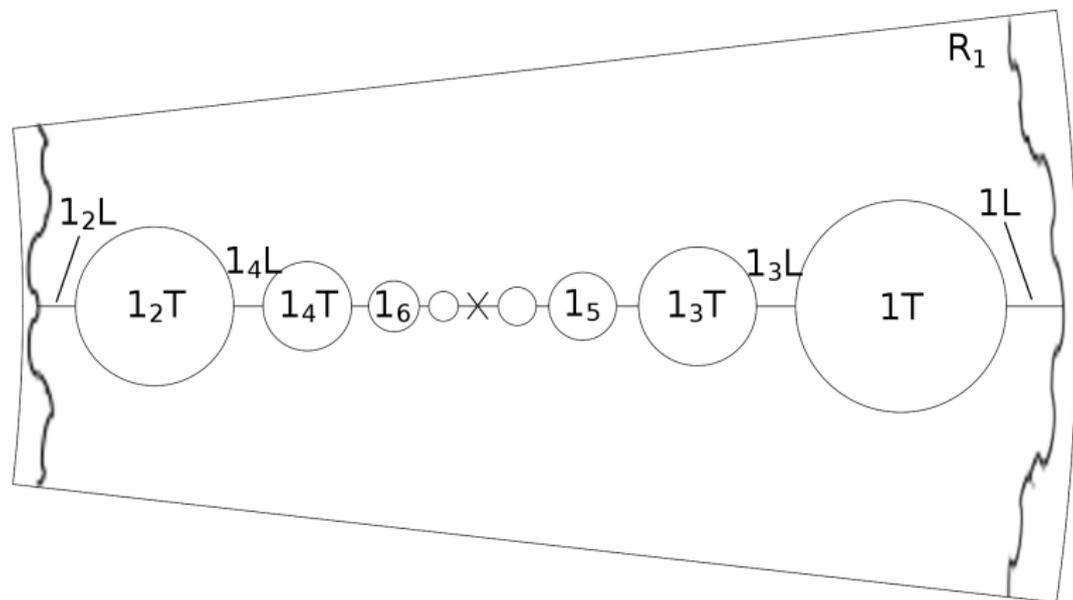
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- The proof is basically looking at the rotated lopsided bowties inside each preimage of R_1^λ .

The dynamical $\bar{1}TL$ arc



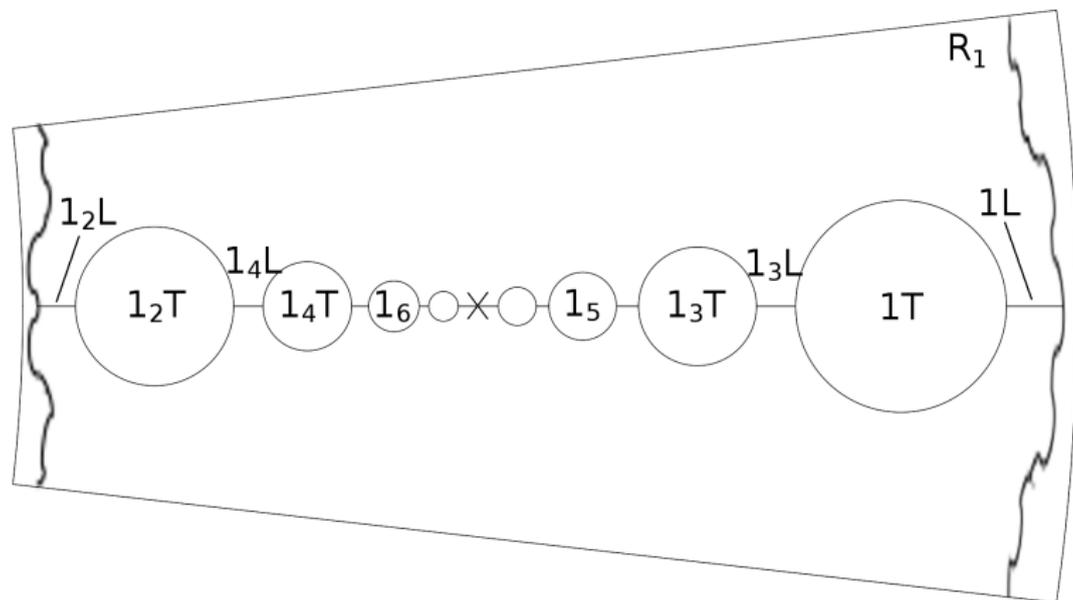
- Here is a stylized representation of the $\bar{1}TL$ arc in the dynamical plane.

The dynamical $\bar{1}TL$ arc



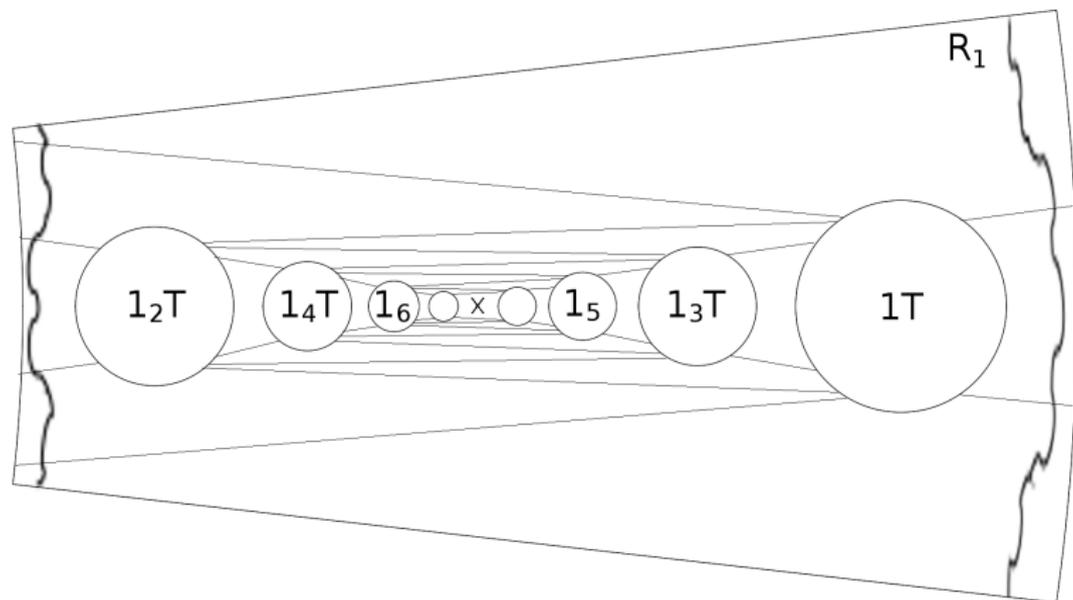
- Here is a stylized representation of the $\bar{1}TL$ arc in the dynamical plane. This is the arc of infinitely many preimages of L^λ and T_A in R_1^λ that accumulates at the fixed point in R_1^λ .

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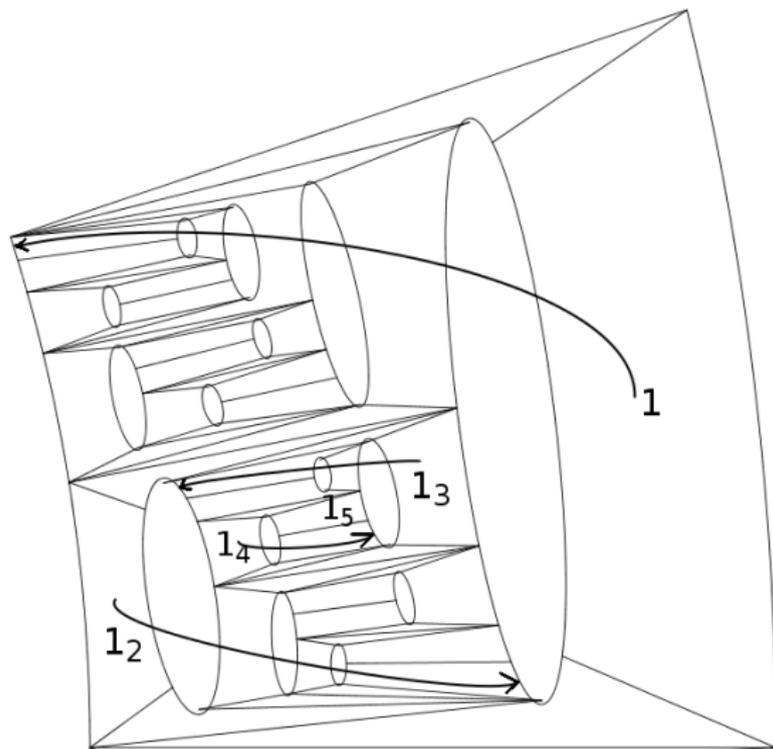
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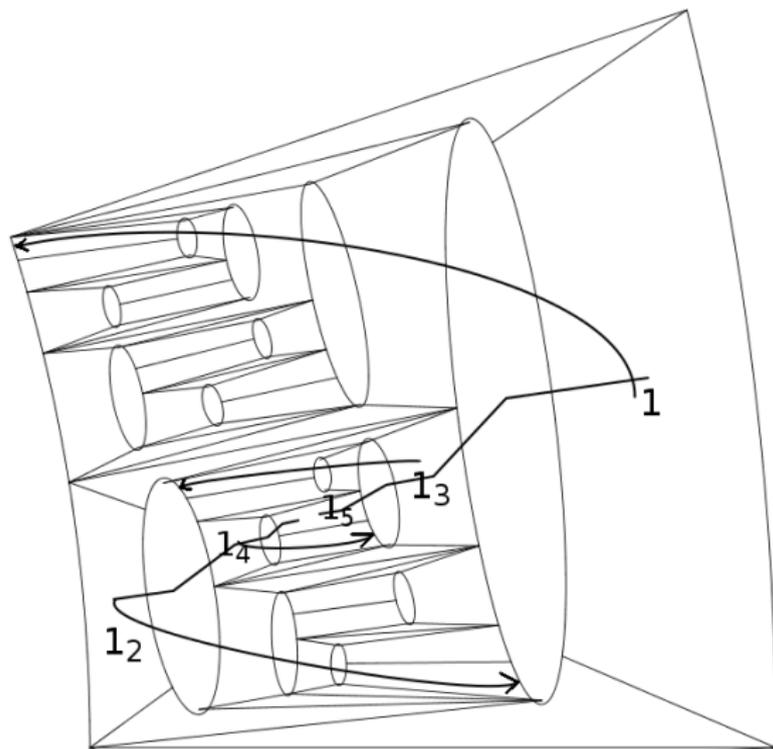


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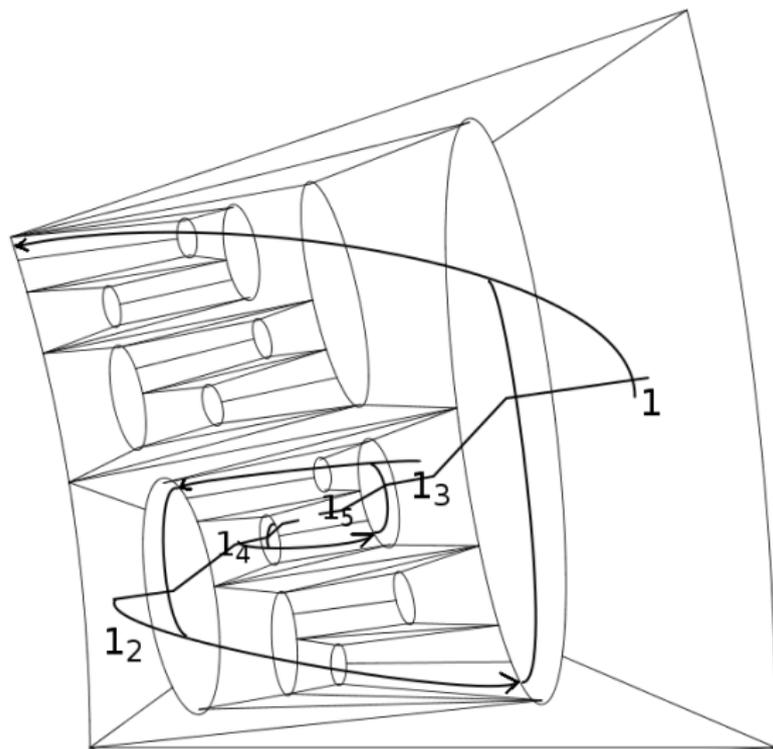
Infinitely many $\bar{0}TL$ arcs



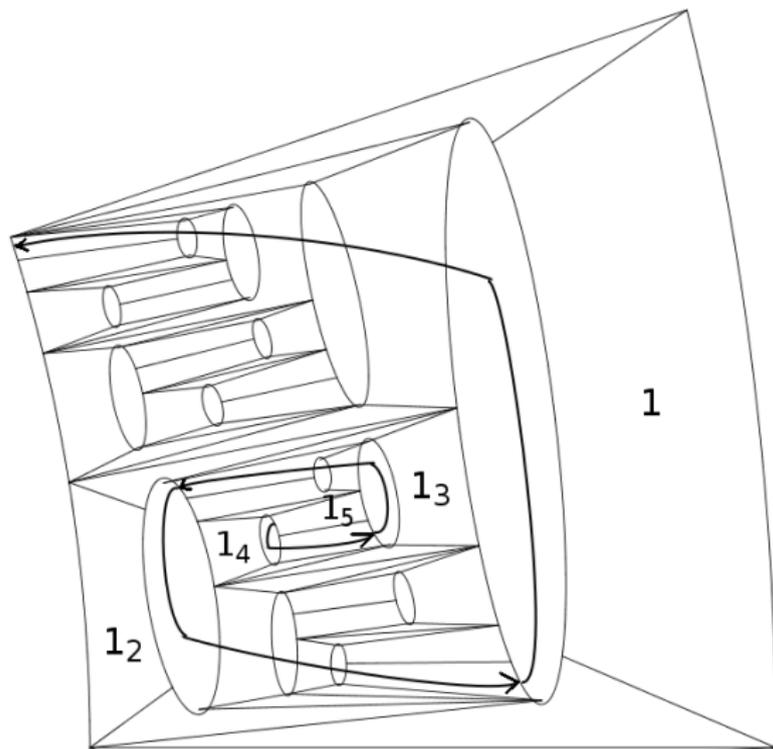
Infinitely many $\bar{0}TL$ arcs intersecting the $\bar{1}TL$ arc



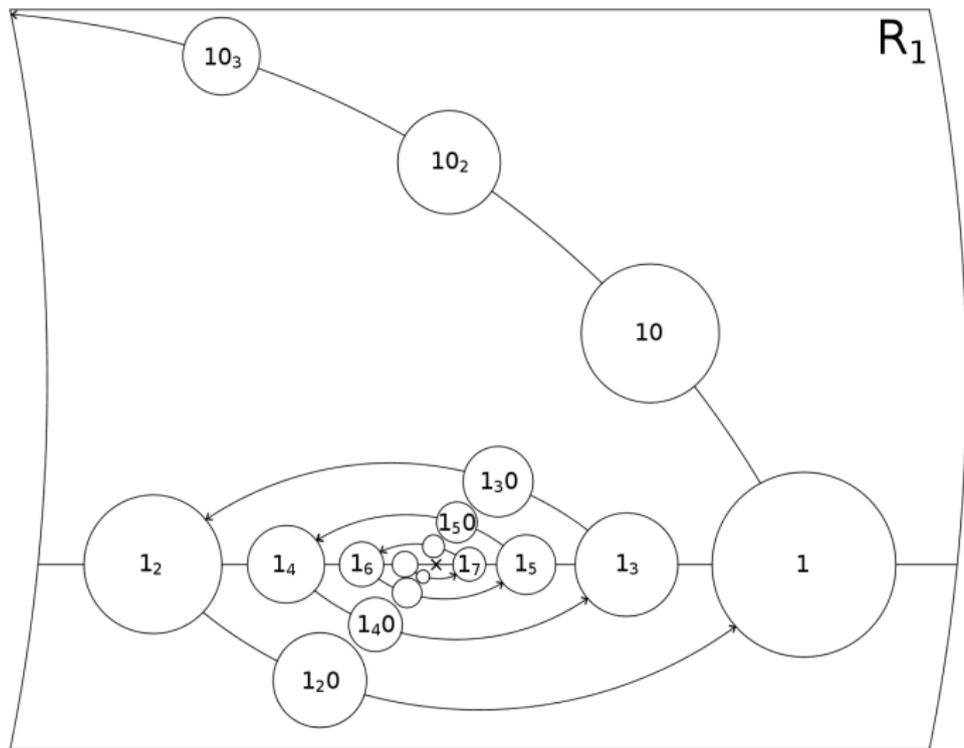
A continuous path for λ



The $\bar{1}TL$ spiral



Another representation of the $\bar{1}TL$ spiral



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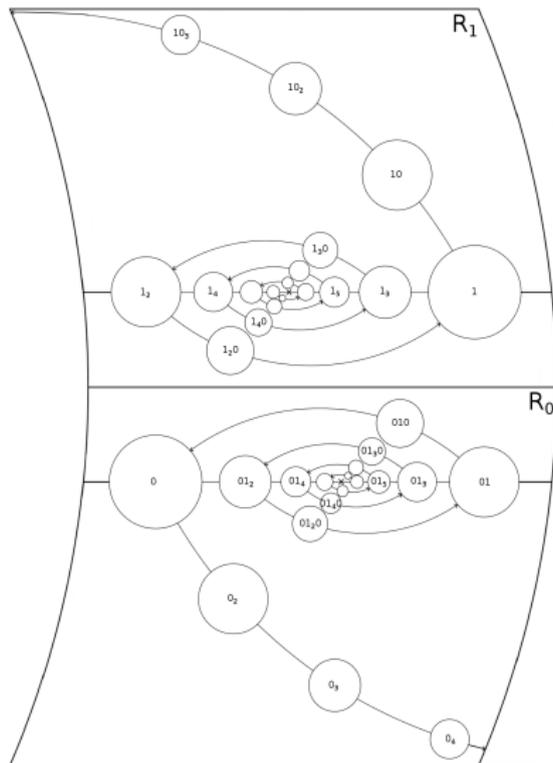
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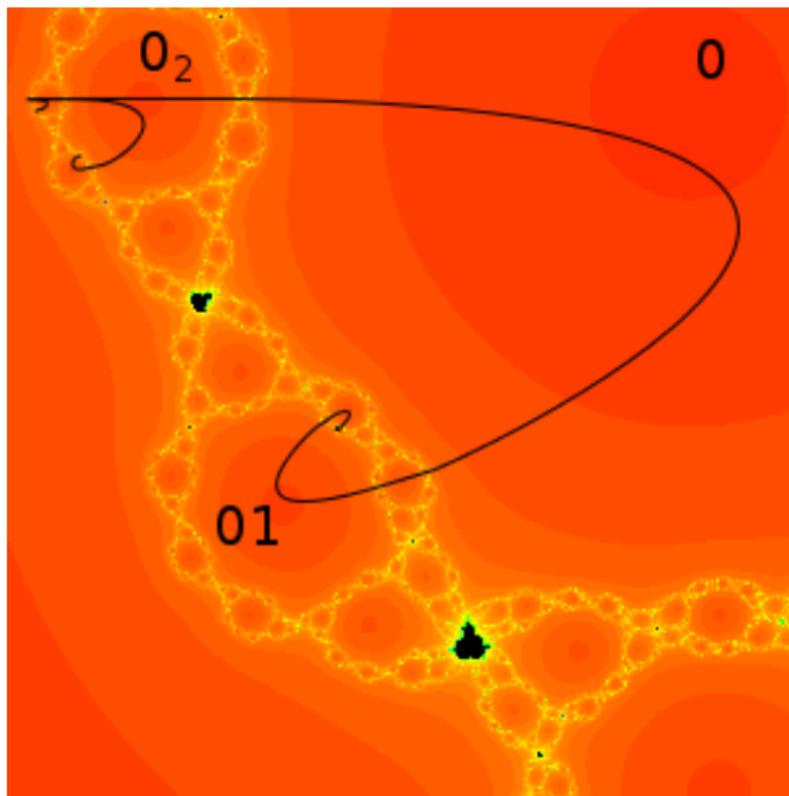
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- And infinitely many $\bar{0}$ SM arcs pass through the $0\bar{1}$ SM arc to make the $0\bar{1}$ SM spiral.

Infinitely many TL spirals

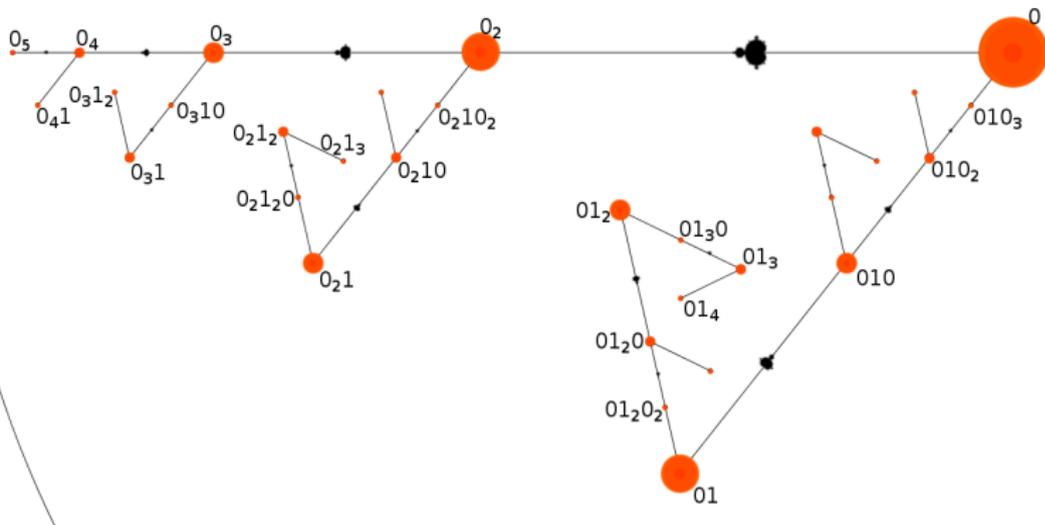


Infinitely many SM spirals



Infinitely many stylized SM spirals

Cantor Set Locus



How many times I can use the word infinitely?

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The $0\bar{1}$ SM spiral and infinitely many of its “preimages” exist in the parameter plane.

Thank you!

Thank you for listening!

4 Insurance

Equivalent definitions of the Julia Set

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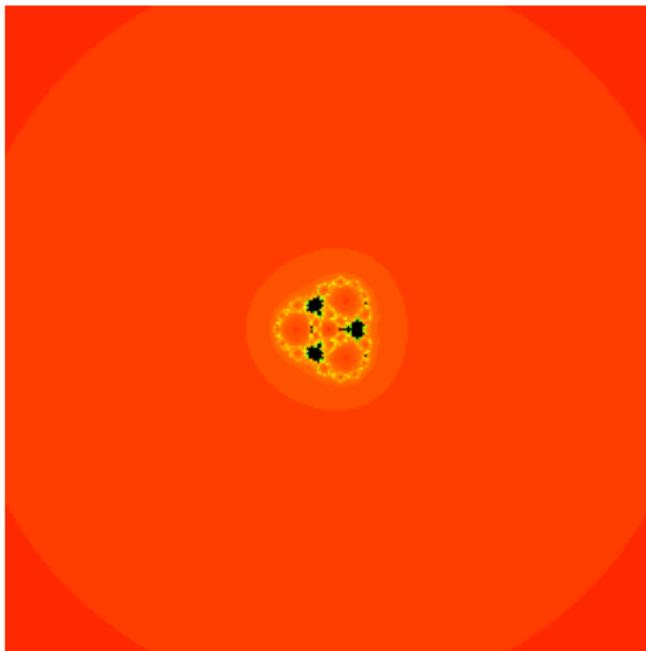
Equivalent definitions of the Julia Set

$\mathcal{J}(F)$ is the set of all points at which the family of iterates of F fails to be a normal family in the sense of Montel. Equivalently, $\mathcal{J}(F)$ is the closure of the set of repelling periodic points of F , and it is also the boundary of the set of points whose orbits tend to ∞ under iteration of F .

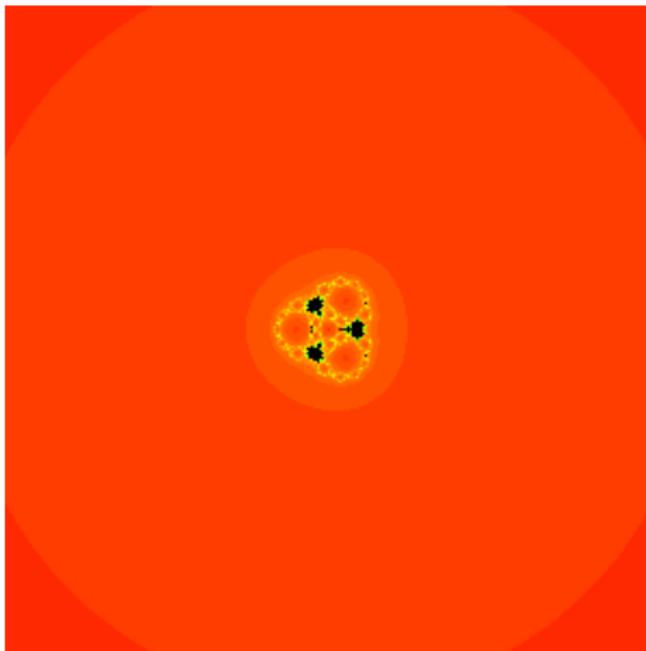
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λ restricted to an annular region



λ restricted to an annular region



back

$T_{\mathcal{A}}$ proves a Sierpinski hole

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