Uniquely minimal spaces as examples of rigid-like spaces in topological dynamics

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(joint work with Tomasz Downarowicz and Dariusz Tywoniuk)

Tossa de Mar

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Uniquely minimal spaces as examples of rigid-like spaces in topological dynamics

- 1. Rigid spaces for homeomorphisms
- 2. Rigid spaces for continuous maps
- 3. Cook continua as extremely rigid spaces
- 4. Are rigid spaces useful/meaningful in topological dynamics?

- 5. Existence of uniquely minimal spaces and applications
- 6. Idea of a construction of uniquely minimal spaces

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  - ► G countable ⇒ X exists in the class of Peano continua of any dim > 0 (de Groot, Wille 1958)

In particular (in the class of Peano continua):  $\exists X : H(X, X) \approx \mathbb{Z} = \text{infinite cyclic group}$  $\exists X : H(X, X) = \{ \text{id}_X \} \dots$  rigid space for homeomorphisms

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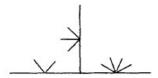
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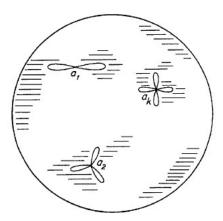
First **examples** of rigid spaces (1-dim Peano continua) for homeomorphisms (**de Groot, Wille 1958**):

- dendrite with a dense set of branching points of different orders



- disc with interiors of a dense family of propellers (with different numbers of blades) removed

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What about  $C(X, X) \setminus \{\text{constant maps}\}$ ? In general it is not a monoid (composition of non-constant maps may be a constant map). Nevertheless, in some cases it is a monoid.

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- ►  $\exists X =$  metric space (**Trnková 1972**)
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In particular:

$$\exists X : C(X,X) \setminus \{\text{constant maps}\} \approx \mathbb{Z} \\ \exists X : C(X,X) \setminus \{\text{constant maps}\} = \{\text{id}_X\} \dots \text{ rigid space for } \\ \text{cont. maps}$$

#### 3. Cook continua as extremely rigid spaces

 $\exists$  nondegenerate metric continuum C (**Cook continuum**) such that for every subcontinuum K and every continuous map  $f: K \to C$ , either f is constant (i.e. f(K) is a singleton) or f(x) = x for all  $x \in K$  (hence f(K) = K).

- ▶  $\exists C = 1$ -dim, hence embeddable into  $\mathbb{R}^3$  (Cook 1967)
- ► ∃C = chainable (i.e. arc-like), hence planar non-separating continuum (Maćkowiak 1986)

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**Optimist:** "Such spaces show us important restrictions. For instance, among metric continua there are spaces rigid for continuous maps and therefore one cannot hope that every continuum admits a continuous map with interesting dynamics, say with an omega-limit set different from a singleton."

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**Optimist:** "Such spaces show us important restrictions. For instance, among metric continua there are spaces rigid for continuous maps and therefore one cannot hope that every continuum admits a continuous map with interesting dynamics, say with an omega-limit set different from a singleton." **Pessimist:** "Nobody takes care about such degenerate dynamics."

#### Optimist: "Well,

- rigid spaces can be used to build spaces which are not really rigid, but still can be called **rigid-like** (i.e. they admit a relatively small number of continuos maps/homeos) and they are useful to disprove quite serious conjectures;
- spaces which are rigid-like w.r.t. some dynamical property (i.e. spaces admitting a small number of continuos maps/homeos with that property, or even a small number of continuos maps/homeos at all and they all have that property) sometimes appear as by-products, or we construct them on purpose, to be able to answer natural 'dynamical' questions."

Pessimist: "Examples?"

#### **Optimist:**

- "Cook continua can be used to produce a rigid-like space which admits only a small number of continuous maps (and we are able to describe them) and serves as a counterexample to a conjecture in the theory of topological sequence entropy (in preparation, X. Ye, R. Zhang and L'. S.).
- Bruin, Štimac (2012) showed that, for some tent maps, if we restrict all self-homeomorphisms of the inverse limit space to its core (which is a chainable indecomposable continuum), then we get just all the iterates of the shift homeomorphism. So, they all, except of the identity, are transitive. This space can be called "transitivity" rigid-like for homeomorphisms.
- Moreover, we are going to explain that there exist uniquely minimal spaces:"

#### 5. Existence of uniquely minimal spaces and applications

For a compact metric space X there are two possibilities:

- X does not admit any minimal homeomorphism
- X admits a minimal homeomorphism (in this case, if X is infinite then in known examples usually (always?) X admits uncountably many homeomorphisms and even uncountably many of them are minimal)

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Question. Is there a third possibility? That is, does there exist an infinite compact metric space X such that it admits, but only "a few", minimal homeomorphisms? Answer. Yes, in the following sense.

**Definition.** An infinite compact metric space X is **Slovak** if it is **uniquely minimal** in the following sense: X admits a minimal homeomorphism T and  $H(X, X) = \{T^n : n \in \mathbb{Z}\}.$ 

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#### Observation.

- ► The assumption that X is infinite eliminates two trivial examples: the one-point space and the two-point space.
- If X is Slovak then card X = c, X has no isolated point and all iterates T<sup>n</sup>, n ∈ Z are different, i.e. H(X, X) ≈ Z. Moreover, all iterates T<sup>n</sup>, except identity, are minimal.

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Theorem. There exist Slovak spaces in the class of metric continua. (Moreover, the topological entropies of generating homeomorphisms T exhaust the interval  $[0, \infty]$ .)

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Corollary 1. There exist infinite metric continua, which are not homeomorphic to the circle, admitting minimal homeomorphisms but not minimal non-invertible maps.

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circle ... minimal homeo, minimal non-invertible map another space with this property?

Corollary 1. There exist infinite metric continua, which are not homeomorphic to the circle, admitting minimal homeomorphisms but not minimal non-invertible maps.

**Idea of proof.** Let X be a Slovak space constructed in the proof of Theorem. In that proof we show that every homeomorphism  $X \to X$  coincides with the iterate of some distinguished minimal homeomorphism  $X \to X$ . Developing further these ideas, one can show that any minimal continuous map  $X \to X$  is invertible.

$$\begin{array}{ll} (X,f) & \dots \ X \ \text{compact metric}, \ f: X \to X \ \text{continuous map} \\ (C(X,X),F_f) & \dots \ \text{functional envelope of 1st kind}, \\ & C(X,X) \ \text{with uniform metric} \\ & F_f(\varphi) = f \circ \varphi \ \text{for all} \ \varphi \in C(X,X) \\ & \text{easy:} \ h_{\text{top}}(F_f) \geq h_{\text{top}}(f) \end{array}$$

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$$\begin{array}{ll} (X,f) & \dots \ X \ \text{compact metric,} \ f: X \to X \ \text{continuous map} \\ (C(X,X),F_f) \ \dots \ \text{functional envelope of 1st kind,} \\ & C(X,X) \ \text{with uniform metric} \\ & F_f(\varphi) = f \circ \varphi \ \text{for all} \ \varphi \in C(X,X) \\ & \text{easy:} \ h_{\text{top}}(F_f) \geq h_{\text{top}}(f) \end{array}$$

 $\begin{array}{ll} (X,f) & \dots \ X \ \text{compact metric,} \ T: X \to X \ \text{homeomorphism} \\ (H(X,X),F_T) \ \dots \ \text{functional envelope of 2nd kind} \\ H(X,X) \ \text{with uniform metric} \\ F_T(\phi) = f \circ \phi \ \text{for all} \ \phi \in H(X,X) \\ \text{question} \ (\textbf{Kolyada, Semikina 2013}): \ \text{Is} \\ h_{\text{top}}(F_T) \geq h_{\text{top}}(T) \ ? \end{array}$ 

Corollary 2. There exists a dynamical system given by a compact metric space X and a homeomorphism  $T: X \to X$  with finite positive or even infinite entropy, whose functional envelope  $(H(X, X), F_T)$  has entropy zero.

Corollary 2. There exists a dynamical system given by a compact metric space X and a homeomorphism  $T : X \to X$  with finite positive or even infinite entropy, whose functional envelope  $(H(X, X), F_T)$  has entropy zero.

**Idea of proof.** Let (X, T) be a Slovak space with T being the generating homeomorphism of the group H(X, X). We know that T may have positive, even infinite entropy. However, H(X, X) is only countable and this enables to show that  $h_{top}(F_T) = 0$ .

**Step 1.**  $h: C \rightarrow C$  ... Cantor minimal homeo (arbitrary entropy)

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**Step 1.**  $h: C \to C$  ... Cantor minimal homeo (arbitrary entropy) **Step 2.**  $X = C \times [0,1]/_{\sim}$  where  $(x,1) \sim (h(x),0)$ (the generalized solenoid induced by (C,h))  $\Phi$  = suspension flow over h (with ceiling function  $\equiv 1$ )

**Step 1.**  $h: C \to C$  ... Cantor minimal homeo (arbitrary entropy) **Step 2.**  $X = C \times [0,1]/_{\sim}$  where  $(x,1) \sim (h(x),0)$ (the generalized solenoid induced by (C,h))  $\Phi =$  suspension flow over h (with ceiling function  $\equiv 1$ ) **Step 3.** By Fayad (2000):  $\exists t_0 \in \mathbb{R}$  such that T = time  $t_0$ -map of  $\Phi$  is a minimal homeo  $X \to X$ 

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**Step 1.**  $h: C \to C$  ... Cantor minimal homeo (arbitrary entropy) **Step 2.**  $X = C \times [0, 1]/_{\sim}$  where  $(x, 1) \sim (h(x), 0)$ (the generalized solenoid induced by (C, h))  $\Phi$  = suspension flow over h (with ceiling function  $\equiv 1$ ) **Step 3.** By Fayad (2000):  $\exists t_0 \in \mathbb{R}$  such that T = time  $t_0$ -map of  $\Phi$  is a minimal homeo  $X \to X$ **Step 4 (technical step).** 

- b the continuum X has uncountably many composants (orbits of the flow Φ); choose a composant γ and a point x<sub>0</sub> ∈ γ.
- ► on a closed arc around x<sub>0</sub> (minus the point x<sub>0</sub> itself) and lying in γ, we define a function which looks like a one-sided topologist's sine curve (values in [0, 1], wiggles of height 1 in any left neighbourhood of x<sub>0</sub>, constant value 0 to the right of x<sub>0</sub>). It is continuous and not defined at x<sub>0</sub>.
- extend it to a continuous function  $f : X \setminus \{x_0\} \rightarrow [0, 1]$

- Interpretation F = ∑<sub>n∈Z</sub> a<sub>n</sub>f ∘ T<sup>n</sup>, where the coefficients a<sub>n</sub> are all strictly positive, ∑<sub>n∈Z</sub> a<sub>n</sub> = 1 and satisfying some technical assumptions (F is defined on X minus the T-orbit of x<sub>0</sub>).
- ► then one can show that both the mapping (x, F(x)) → (Tx, F(Tx)) and its inverse are uniformly continuous homeomorphisms of the graph of F. Therefore, the map (x, F(x)) → (Tx, F(Tx)) extends to a homeomorphism T
   (=notation) of F
   (=the closure of the graph of F).
- F ⊆ X × [0, 1] is our Slovak space, looks as follows: the composant γ of F "above" γ has basicly this shape:

the other composants of  $\overline{F}$  are continuous bijective images of the real line

If  $\varphi$  is an arbitrary homeomorphism  $\bar{F} \to \bar{F}$  then:

- ► the set Z of upper endpoints of the vertical intervals W<sub>n</sub> is therefore preserved by φ
- $\varphi$  preserves the successor relation on Z
- ► therefore, on Z, φ coincides with T
  <sup>n</sup> for some n. Since Z is dense in F
   (being the orbit of the minimal homeomorphism T

   we get that φ coincides with T
  <sup>n</sup>

   everywhere on F