# Normal forms at relative equilibria 



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HamSys 2014, June, 2014

## Mechanical Systems

$(P, \Omega)=$ a finite dimensional symplectic manifold
$H: P \rightarrow \mathbb{R}$ determines the dynamics:

$$
d \Omega_{z}\left(X_{H}(z), v\right)=d H(z) \cdot v \quad \text { for all } v \in T_{z} P
$$

Alternatively, one can use the Poisson bracket

$$
\{F, H\}:=\Omega\left(X_{F}, X_{H}\right) \quad \text { for all } F, H \in \mathcal{C}^{\infty}(P)
$$

and then $X_{H}$ is determined by $\dot{F}=\{F, H\}$ for all $F, H \in \mathcal{C}^{\infty}(P)$.
Interested in $\left(T^{*} Q, \Omega_{\text {can }}\right) \equiv\left(T^{*} Q,\{\cdot, \cdot\}_{c a n}\right)$

$$
\dot{q}=\frac{\partial H}{\partial p}, \quad \dot{p}=-\frac{\partial H}{\partial q}
$$

## ( $P, \Omega_{\text {can }}$ ) Dynamics near Equilibria. Poincaré-Birkhoff normalization

Let $z_{0}$ be an equilibrium $\left(D H\left(z_{0}\right)=0\right)$. Wlog $z_{0}=0$.
We apply iteratively changes of coordinates $H \rightarrow \hat{H}$ such that $\hat{H}$, the $k$-jet of $\hat{H}$, becomes

$$
j^{k} \hat{H}=\hat{H}^{(2)}+\hat{H}^{(3)}+\ldots+\hat{H}^{(k)}
$$

so that

$$
\left\{H^{(2)}, \hat{H}^{(i)}\right\}=0 \quad \forall i=2,3, \ldots k
$$

For the term " $H^{(i) \text { " }}$ of degree $i$ we look for a homogeneous polynomial $F$ of degree $i$ so that

$$
H^{(i)}+\left\{H_{2}, F\right\}=0 \quad \text { (as much as possible) }
$$

Having $F$, we apply a time-1 flow $X_{F}^{1}$ change of coordinates and obtain the new $H$.

## Symmetries

$G=a$ (compact) Lie group acting freely and properly on $Q$ Denote $\mathfrak{g}$ and $\mathfrak{g}^{*}$ its Lie algebra and co-algebra, respectively.

The momentum map is $J: P \rightarrow \mathfrak{g}^{*}$ such that for any $\omega \in \mathfrak{g}$ the Hamiltonian vector field of $J_{\omega}$ where $J_{\omega}(z):=\langle J(z), \omega\rangle$ satisfies

$$
X_{J_{\omega}}(z)=\omega_{P}(z)=\left.\frac{d}{d t}\right|_{t=0} \exp (t \omega) \cdot(z)
$$

(i.e., $X_{J_{\omega}}$ points along group orbits)
E.g. $N$-body problems in $\mathbb{R}^{3}: G=S O(3), \mathfrak{g} \simeq \mathbb{R}^{3}, \quad \mathfrak{g}^{*} \simeq \mathbb{R}^{3}$

$$
J_{\omega}(q, p)=\sum\left\langle p_{i}, \omega \times q_{i}\right\rangle \quad \text { and } \quad J(q \times p)=\sum q_{i} \times p_{i}
$$

Theorem (Noether) If $H: P \rightarrow \mathbb{R}$ is $G$-invariant, then $J$ is conserved along the motion.

## Special solutions

Definition: a relative equilibrium is a solution of the dynamics that is also a group orbit; that is, there exist $\omega \in \mathfrak{g}$ and $z_{0} \in T^{*} Q$ such that

$$
z(t)=\exp (t \omega) z_{0}
$$

is a solution.
E.g. For $N$-body problems,

$$
(q(t), p(t))=R(t) \cdot\left(q_{0}, p_{0}\right) \quad \text { where } \quad R(t)=\exp (t \omega)
$$

for some fixed angular velocity $\omega \in \mathbb{R}^{3} \simeq \operatorname{so}(3)^{*}$.

## Co-tangent Bundle Reduction (with $G=S O(3)$ )

$\left(T^{*} Q, \Omega_{\text {can }}, S O(3)\right)$. The momentum map is $J: T^{*} Q \rightarrow S O(3)^{*}$.
N-body systems: $\quad J(q, p)=\sum q_{i} \times p_{i}$.
$H$ invariant $\Rightarrow$ for each momentum $\mu \in \operatorname{so}(3)^{*}$

$$
J^{-1}(\mu):=\{(q, p) \mid J(q, p)=\mu\} \text { are invariant submanifolds. }
$$

Fix $\mu_{0}=J(q \times p) \in s o(3)^{*}$ (e.g. a rotation about $O z$ ).

$$
J(q \times p)=\mu_{0}=R_{z} \mu_{0}=J\left(R_{z} q, R_{z} p\right) \quad \forall R_{z}=\text { Rot. about } O z
$$

$\Longrightarrow J^{-1}\left(\mu_{0}\right)$ quotients by the subgroup of vertical rotations
$S O(3)_{\mu_{0}}:=\left\{R \in S O(3) \mid R \mu_{0}=\mu_{0}\right\} \quad$ isotropy group of $\mu_{0}$

Let $\mu_{0} \in \operatorname{So}(3)^{*}$ and $S O(3)_{\mu_{0}}$ its isotropy group. Then $J^{-1}\left(\mu_{0}\right)$ quotients by $S O(3)_{\mu_{0}}$ and, provided the action is free, the reduced space

$$
\left(T^{*} Q\right)_{\mu_{0}}:=J^{-1}\left(\mu_{0}\right) / S O(3)_{\mu_{0}}
$$

is a smooth manifold.

## Theorem (Meyer; Marsden-Weinstein)

There is a unique symplectic structure $\Omega_{\mu_{0}}$ on $\left(T^{*} Q\right)_{\mu_{0}}$ such that for every $G$-invariant Hamiltonian $H$, dynamical solutions of ( $T^{*} Q, \Omega_{c a n}, H, G$ ) project into dynamical solutions of $\left(\left(T^{*} Q\right)_{\mu_{0}}, \Omega_{\mu_{0}}, h\right)$ where $h \circ \pi=H$.

In general, we want to know: dynamics in the reduced space, its reconstruction to the un-reduced space, understand the mechanism of symmetry-breaking perturbations, etc.

Good starting point $\Rightarrow$ relative equilibria $=$ equilibria in the reduced space.

For non-symmetric systems, dynamics near equilibria are studied using Poincaré-Birkhoff normalization.

For symmetric " $(q, p) \in T^{*} Q$ " co-tangent bundle systems, we have (local) Darboux coordinates, both for the unreduced and the symplectically reduced spaces, but how does one "sit" inside the other?
$\left(T^{*} Q, \Omega_{c a n}, S O(3), H\right) \longrightarrow$ the reduced space $\left(\left(T^{*} Q\right)_{\mu_{0}}, \Omega_{\mu_{0}}\right)$.
[1] $\quad\left(T^{*} Q\right)_{\mu_{0}}=J^{-1}\left(\mu_{0}\right) /(S O(3))_{\mu_{0}} \longrightarrow T^{*}\left(Q /(S O(3))_{\mu_{0}}\right)$
where one uses a shift map $(q, p) \rightarrow(q, p)-\mathcal{A}_{\mu_{0}}(q)$.
Then $\Omega_{\mu_{0}}=\omega_{\text {can }}-\beta_{\mu_{0}}$. Non-canonical, unless $\mu=0$.
[2] $\left(T^{*} Q\right)_{\mu_{0}}=J^{-1}\left(\mu_{0}\right) /(S O(3))_{\mu_{0}} \simeq T^{*}(Q / S O(3)) \times \mathcal{O}_{\mu_{0}}$
where $Q / S O(3):=$ the shape space and

$$
\mathcal{O}_{\mu_{0}}:=\left\{R \mu_{0} \mid R \in S O(3)\right\}=\text { a 2-sphere of radius }\left|\mu_{0}\right|
$$

$\left(T^{*}(Q / G), \Omega_{c a n}\right)$ and $\left(\mathcal{O}_{\mu_{0}}, \Omega_{c a n}\right)$

Adopt [2], since it comes with a canonical symplectic form.

$$
\left(T^{*} Q\right)_{\mu_{0}}=J^{-1}\left(\mu_{0}\right) /(S O(3))_{\mu_{0}} \simeq T^{*}(Q / S O(3)) \times \mathcal{O}_{\mu_{0}}
$$

where

$$
Q / S O(3):=\text { the shape space }
$$

$$
\mathcal{O}_{\mu_{0}}:=\left\{R \mu_{0} \mid R \in S O(3)\right\}=\text { a 2-sphere of radius }\left|\mu_{0}\right|
$$

Easiest case: $Q=S O(3)$. In plain words, the rigid body.

$$
\left(T^{*} S O(3)\right)_{\mu_{0}}=J^{-1}\left(\mu_{0}\right) /(S O(3))_{\mu_{0}} \simeq \mathcal{O}_{\mu_{0}}
$$

## The free rigid body with a fixed point.

$$
\left(T^{*} S O(3)\right)_{\mu_{0}}=J^{-1}\left(\mu_{0}\right) /(S O(3))_{\mu_{0}} \simeq \mathcal{O}_{\mu_{0}}
$$

1) Reduced dynamics: we have canonical coordinates on the 2 -sphere $\mathcal{O}_{\mu_{0}}$ (obviously, one needs two charts).
2) If we are interested in the dynamics in the full phase space, we can use Serret-Andoyer-Deprit coordinates.

However, if we want to study the dynamics of systems with more general Lie symmetries (e.g., SO(4)), we need a systematic approach.

Free rigid body with a fixed point

$$
\left(T^{*} S O(3)\right)_{\mu_{0}}=J^{-1}\left(\mu_{0}\right) /(S O(3))_{\mu_{0}} \simeq \mathcal{O}_{\mu_{0}}
$$

$$
\begin{gathered}
T^{*} S O(3) \rightarrow S O(3) \times S O(3)^{*} \simeq S O(3) \times \mathbb{R}^{3} \\
(A, P) \rightarrow \quad\left(A, A^{-1} P\right)=(A, \mu)
\end{gathered}
$$

body coordinates
Let $\mathbb{I}_{1}, \mathbb{I}_{2}, \mathbb{I}_{3}$ be the principal moments of inertia of the body.

$$
H(A, \mu)=H(\mu)=\frac{1}{2}\left(\frac{\mu_{1}^{2}}{\mathbb{I}_{1}}+\frac{\mu_{2}^{2}}{\mathbb{I}_{2}}+\frac{\mu_{3}^{2}}{\mathbb{I}_{3}}\right)
$$

Spatial angular momentum is conserved $\Longrightarrow \frac{d}{d t}(A \mu)=0$

$$
\dot{\mu}=\mu \times\left(\mathbb{I}^{-1} \mu\right) \quad \text { Euler's equations and }|\mu|=\text { const. }=: \mu_{0}
$$

Symplectically reduced spaces: 2-spheres = symplectic leafs of the Poisson reduced space $\left(S O(3) \times S O(3)^{*}\right) / S O(3)=S O(3)^{*}$.


$$
\begin{gathered}
\mathcal{O}_{\mu_{0}}=\left\{R \mu_{0} \mid R \in S O(3)\right\}=\text { sphere of radius }\left|\mu_{0}\right| \\
H(A, \mu)=H(\mu)=\frac{1}{2}\left(\frac{\mu_{1}^{2}}{\mathbb{I}_{1}}+\frac{\mu_{2}^{2}}{\mathbb{I}_{2}}+\frac{\mu_{3}^{2}}{\mathbb{I}_{3}}\right)=h=\text { const }
\end{gathered}
$$

Given $\mu_{0}$ fixed, how the dynamics changes when $\mu_{0}$ is increased to a $\mu_{0}+\delta ?\left(\delta \nVdash \mu_{0}\right.$; say $\delta$ small).

In the reduced space, we just move to the sphere $\mathcal{O}_{\mu_{0}+\delta}$ of radius $\left|\mu_{0}+\delta\right|$. And the energy increases:
$H_{\mu_{0}+\nu}=\frac{1}{2}\left(\frac{\left(\mu_{10}+\delta_{1}\right)^{2}}{\mathbb{I}_{1}}+\frac{\left(\mu_{20}+\delta_{2}\right)^{2}}{\mathbb{I}_{2}}+\frac{\left(\mu_{30}+\delta_{3}\right)^{2}}{\mathbb{I}_{3}}\right)=$ const.
Coordinates for the perturbed rigid-body:
(a) $\left|\mu_{0}+\delta\right|=$ the radius of the sphere of the new momentum level $\rightarrow$ (modified) Serret-Deprit-Andoyer coordinates
(b) perhaps a Slice Theorem would provide a new parametrization.

## Slice Theorems $\rightarrow$ a symmetry-adapted framework

## Theorem (Symplectic Slice Theorem - free action)

Consider $\mathcal{P}$ be a symplectic manifold, $z_{0} \in \mathcal{P}$ a RE with momentum $\mu_{0}$, and let $\mathcal{N}$ a normal space transverse to $G \cdot z_{0}$ and $z_{0}$, i.e.

$$
T_{z_{0}} P \stackrel{\text { loc. }}{=} T_{z_{0}}\left(G z_{0}\right) \oplus \mathcal{N}
$$

There is a choice of $\mathcal{N}$ and coordinates such that near $G z_{0}$ we have $\mathcal{N}=\mathcal{N}_{0} \oplus \mathcal{N}_{1} \simeq \mathfrak{g}_{\mu_{0}}^{*} \oplus\left(\operatorname{kerDJ}\left(\mu_{0}\right) \cap \mathcal{N}\right)$ s. $t . z_{0} \simeq(e, 0,0)$,

$$
z \stackrel{\text { loc. }}{=}(g, \nu, w), \quad g \in G, \nu \in \mathfrak{g}_{\mu_{0}}^{*}, w \in \mathcal{N}_{1} .
$$

$$
\begin{array}{rlrl}
\dot{g} & =g D_{\nu} h(\nu, w) & \dot{A}=A D \nu h(\nu, w)(\text { attitude dynamics }) \\
\dot{\nu}=a d_{D_{\nu}}^{*} h(\nu, w)^{\nu} & & \dot{\nu}=\nu \times D_{\nu} h(\nu, w)\left(\text { where } \nu \| \mu_{0}\right) \\
\dot{w}=\mathbb{J}_{\mathcal{N}_{1}} D_{w} h(\nu, w) & \dot{\dot{w}}=\mathbb{J} D_{w} h(\nu, w)\left(\text { dynamics on } \mathcal{O}_{\mu_{0}}\right)
\end{array}
$$

In this framework there is quite a body of work - long bibliography - . Some relevant papers (free case):
M. Roberts and de M.E.R. Sousa Dias: Bifurcations from relative equilibria of Hamiltonian systems, Nonlinearity, 10, 1997
J.P. Ortega and T. Ratiu: Stability of Hamiltonian relative equilibria, Nonlinearity, 12, 1999
C. Wulff, A. Schebesch: Numerical continuation of Hamiltonian relative periodic orbits, J. Nonl. Science 18, 2008.
C. Wulff and F. Schilder: Numerical bifurcation of Hamiltonian relative periodic orbits, SIAM J. Appl. Dyn. Syst., 8, 2009.

For co-tangent bundles, the slice framework is great at the theoretical level, but there are no constructive slice theorems (even for free actions) except for

- abelian groups -
- for compact groups at zero momentum $\rightarrow \mathrm{T}$. Schmah: $A$ cotangent bundle slice theorem Diff. Geom. Appl. 25, 2007
- for SO(3) $\rightarrow$ T. Schmah \& C.S.: Normal forms for Lie symmetric cotangent bundle systems with free and proper actions, to appear in Fields Institute Communications series, Vol. "Geometry, Mechanics and Dynamics: the Legacy of Jerry Marsden"

$$
\begin{gathered}
T^{*} S O(3) \rightarrow S O(3) \times s o(3)^{*} \\
(A, P) \rightarrow \quad(A, \mu) \\
s o(3)^{*}=\left(s o(3)^{*}\right)_{\mu_{0}} \times s o(3)_{\mu_{0}}^{\perp} \simeq\left(s o(3)^{*}\right)_{\mu_{0}} \times T_{\mu_{0}} \mathcal{O}_{\mu_{0}} \\
\mu \leftrightarrow\left(\nu, \quad\left(\eta_{x}, \eta_{y}\right)\right)
\end{gathered}
$$

Look for a $S O(3)$-equivariant symplectic diffeomorphism

$$
\begin{aligned}
\left(S O(3) \times S O(3)_{\mu_{0}}^{*} \times T_{\mu_{0}} \mathcal{O}_{\mu_{0}}, \Omega_{Y}\right) & \longrightarrow\left(S O(3) \times s o(3)^{*}, \Omega_{c a n}\right), \\
\text { such that }(\mathrm{Id}, 0,0) & \longrightarrow\left(\mathrm{Id}, \mu_{0}\right)
\end{aligned}
$$

Theorem (A symplectic tube for SO(3), Schmah 2007/2013)
The following is an SO(3)-equivariant symplectic local diffeomorphism with respect to the symplectic form

$$
\begin{aligned}
\Omega_{Y}(R, \nu, \eta) & \left(\left(\xi_{1}, \dot{\nu}_{1}, \eta_{1}\right),\left(\xi_{2}, \dot{\nu}_{2}, \eta_{2}\right)\right) \\
& :=\left\langle\mu_{0}+\nu,\left[\xi_{1}, \xi_{2}\right]\right\rangle+\left\langle\dot{\nu}_{2}, \xi_{1}\right\rangle-\left\langle\dot{\nu}_{1}, \xi_{2}\right\rangle-\left\langle\mu_{0},\left[\eta_{1}, \eta_{2}\right]\right\rangle
\end{aligned}
$$

in a neighbourhood of (Id, 0, 0):

$$
\begin{aligned}
& \phi: S O(3) \times \operatorname{So}(3)_{\mu_{0}}^{*} \times \operatorname{So}(3)_{\mu_{0}}^{\perp} \longrightarrow S O(3) \times \boldsymbol{S O}(3)^{*} \\
& (R, \nu, \eta) \longrightarrow\left(R F(\nu, \eta)^{-1}, F(\nu, \eta)\left(\mu_{0}+\nu\right)\right)=(A, \mu)
\end{aligned}
$$

where

$$
F(\nu, \eta)=\exp \left(\theta \frac{\hat{\eta}}{\|\eta\|}\right), \quad \sin \left(\frac{\theta}{2}\right)=\frac{\|\eta\|}{2} \sqrt{\frac{\left\|\mu_{0}\right\|}{\left\|\mu_{0}+\nu\right\|}}
$$

$(R, \nu, \eta) \in S O(3) \times \operatorname{So}(3)_{\mu_{0}}^{*} \times T_{\mu_{0}} \mathcal{O}_{\mu_{0}}$ symplectic form

$$
\Omega_{Y}(R, \nu, \eta)=\left[\begin{array}{cccccc}
0 & \left(\mu_{0}+\nu\right) & 0 & 0 & 0 & 0 \\
-\left(\mu_{0}+\nu\right) & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & -1 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & -\mu_{0} \\
0 & 0 & 0 & 0 & \mu_{0} & 0
\end{array}\right]
$$

Equations of motion
$\left[\begin{array}{c}\xi_{x} \\ \xi_{y} \\ \xi_{z} \\ \dot{\nu} \\ \dot{\eta}_{x} \\ \dot{\eta}_{y}\end{array}\right]=\left[\begin{array}{cccccc}0 & \frac{1}{\mu_{0}+\nu} & 0 & 0 & 0 & 0 \\ -\frac{1}{\mu_{0}+\nu} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -\frac{1}{\mu_{0}} \\ 0 & 0 & 0 & 0 & \frac{1}{\mu_{0}} & 0\end{array}\right]\left[\begin{array}{c}\left(R^{-1} \partial_{R} H\right)_{x} \\ \left(R^{-1} \partial_{R} H\right)_{y} \\ \left(R^{-1} \partial_{R} H\right)_{z} \\ \partial_{\nu} H \\ \\ \partial_{\eta_{x} H} H \\ \partial_{\eta_{y}} H\end{array}\right]$
where $\xi=R^{-1} \dot{R} \in \operatorname{so}(3)$.

## $S O(3)$ symmetric systems defined on $T^{*} S O(3)$

Let $H: T^{*} S O(3) \rightarrow \mathbb{R}$ be $S O(3)$-invariant.

$$
\begin{aligned}
& h: \operatorname{so}(3)_{\mu_{0}}^{*} \times T_{\mu_{0}} \mathcal{O}_{\mu_{0}} \simeq \operatorname{so}(3)^{*} \rightarrow \mathbb{R}, \quad h=h(\nu, \eta)=h(\mu) \\
& \quad \xi_{z}=\left.\partial_{\nu} h\right|_{\left(\nu=\nu_{0}, \eta(t)\right)} \\
& \quad \dot{\nu}=0 \Longrightarrow \nu=\text { const. }=\nu_{0} \Longrightarrow h=h\left(\eta ; \nu_{0}\right) \\
& \quad \dot{\eta}=-\frac{1}{\mu_{0}} \mathbb{J} \partial_{\eta} h
\end{aligned}
$$

On $\mathcal{O}_{\mu_{0}}$ is just a one degree of freedom system.

(a) 3-d view

(b) top view

$$
\begin{aligned}
h\left(\eta_{x}, \eta_{y} ; \nu_{0}\right) & =\frac{1}{2} \mu_{0}\left(\mu_{0}+\nu_{0}\right)\left(1-\frac{\mu_{0}}{4\left(\mu_{0}+\nu_{0}\right)}\right)\left(\eta_{x}^{2}+\eta_{y}^{2}\right)\left(\frac{\eta_{y}^{2}}{\mathbb{I}_{1}}+\frac{\eta_{x}^{2}}{\mathbb{I}_{2}}\right) \\
& +\frac{\left(\mu_{0}+\nu_{0}\right)^{2}}{2 \mathbb{I}_{3}}\left(\eta_{x}^{2}+\eta_{y}^{2}\right)
\end{aligned}
$$

## Coupled systems (e.g. N-body problems)

Applying a slice theorem $\Longrightarrow S \stackrel{\text { loc. }}{\sim} Q / S O(3)$ shape space (or internal space)

$$
S O(3) \times S \stackrel{10 c .}{=} Q
$$

$$
S O(3) \times S \stackrel{10 c .}{\sim} Q \Rightarrow \ldots \Rightarrow T^{*} S O(3) \times T^{*} S \stackrel{l o c .}{\sim} T^{*} Q
$$

"Body" coordinates

$$
(A, \mu,(s, \sigma)) \in S O(3) \times s o^{*}(3) \times T^{*} S \simeq T^{*} S O(3) \times T^{*} S
$$

Symplectic slice coordinates: $(A, \mu,(s, \sigma)) \rightarrow(R, \nu, \eta,(s, \sigma))$

$$
\begin{aligned}
& (R, \nu, \eta,(s, \sigma)) \in\left(S O(3) \times s o(3)_{\mu_{0}}^{*} \times T_{\mu_{0}} \mathcal{O}_{\mu_{0}}\right)_{\Omega_{Y}} \times T^{*} S_{\Omega_{\text {can }}} \stackrel{\text { loc. }}{=} T^{*} Q_{\Omega_{\text {caa }}} \\
& \dot{R}=R\left[\left(\begin{array}{ccc}
0 & -\left(\mu_{0}+\nu\right) & 0 \\
\left(\mu_{0}+\nu\right) & 0 & 0 \\
0 & 0 & 0
\end{array}\right)\left(R^{-1} \frac{\partial H}{\partial R}\right)+\left(\begin{array}{c}
0 \\
0 \\
\frac{\partial H}{\partial \nu}
\end{array}\right)\right] \\
& \dot{\nu}=-\left(R^{-1} \frac{\partial H}{\partial R}\right)_{z}, \quad \dot{\eta}=-\frac{1}{\mu_{0}} \mathbb{J} \partial_{\eta} H, \quad\binom{\dot{s}}{\dot{\sigma}}=\mathbb{J}\binom{\frac{\partial H}{\partial s}}{\frac{\partial H}{\partial \sigma}}
\end{aligned}
$$

If $H(R, \nu, \eta, s, \sigma) \equiv h(\nu, \eta, s, \sigma) \Longrightarrow$

$$
\nu(t)=\nu_{0} \text { and } h=h\left(\eta,(s, \sigma) ; \nu_{0}\right) .
$$

## Simple mechanical systems

$$
\begin{aligned}
& H(q, p)=\frac{1}{2} p^{t} \mathbb{K}^{-1}(q) p+V(q), \quad(q, p) \in T^{*} Q \\
& (A, \mu, s, \sigma) \in S O(3) \times s o(3)^{*} \times T^{*} S \stackrel{\text { loc. }}{\sim} T^{*} Q
\end{aligned}
$$

$H$ invariant $\Rightarrow \mathbb{K}(q) \equiv \mathbb{K}(s)$ and $V(q) \equiv V(s)$

$$
\mathbb{K}(s)=\left[\begin{array}{ll}
\mathbb{I}(s) & \mathbb{C}(s) \\
\mathbb{C}^{T}(s) & m(s)
\end{array}\right]
$$

Define $\mathbb{A}:=\mathbb{I}^{-1} \mathbb{C}$ and $\mathbb{M}:=m-\mathbb{C}^{\top} \mathbb{I}^{-1} \mathbb{C}$.

$$
h(\mu, s, \sigma)=\frac{1}{2}[\mu, s]\left[\begin{array}{cc}
\mathbb{I}^{-1}+\mathbb{A}^{-1} \mathbb{A}^{t} & -\mathbb{A}^{-1} \\
-\mathbb{M}^{-1} \mathbb{A}^{t} & \mathbb{A}^{t}
\end{array}\right]\left[\begin{array}{c}
\mu \\
s
\end{array}\right]+V(s)
$$

## The rigid body in the full phase space

Option (a): the Serret-Andoyer-Deprit canonical coordinates
Option (b): the parametrization given by the Slice Theorem
Option (a): there is a singularity at $\mu=\mu_{3}$. Not a problem, it is removable.
G. Benettin \& F. Fasso: Long Term Stability of Proper Rotations of the Perturbed Euler Rigid Body, Commun. Math Phys. 250, 2004
M.L. Lidov \& A.I.Neishtadt: The method of canonical transformations in problems of the rotation of celestial bodies and Cassini Laws, Determination of the motion of a spacecraft (in Russian), P.E. Eliasberg, Ed., Moscow: Nauka, 1975

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But a calculation shows that the Serret-Andoyer-Deprit $\equiv$ the slice coordinates :(

## Coming back to:

Many general bifurcation and persistence results are done in the framework of the symplectic slice theorem, but we don't have constructive methods for finding these coordinates.
"General" $\rightarrow$ most of the dynamical results are for free and proper actions.

Can we say anything constructive in this more general case?

## Compact $G$ which acts freely and properly on a $T^{*} Q$

We want a constructive method to find a $G$-equivariant symplectic diffeomorphism

$$
\begin{aligned}
\phi:\left(G \times \mathfrak{g}_{\mu_{0}}^{*} \times \mathfrak{g}_{\mu_{0}}^{\perp}, \Omega_{Y}\right) & \longrightarrow\left(G \times \mathfrak{g}^{*}, \Omega_{\text {can }}\right), \\
\quad \text { such that }(e, 0,0) & \rightarrow\left(e, \mu_{0}\right)
\end{aligned}
$$

Lucky to find the tube $\phi$ in general. $S O(3)$ is quite special and no wonder the calculations lead to the regularized Serret-Andoyer-Deprit coordinates.

## The key relation for finding the "tube"

$$
\begin{aligned}
\phi:\left(G \times \mathfrak{g}_{\mu_{0}}^{*} \times \mathfrak{g}_{\mu_{0}}^{\perp}, \Omega_{Y}\right) & \longrightarrow\left(G \times \mathfrak{g}^{*}, \Omega_{c a n}\right) \\
\text { such that }(e, 0,0) & \rightarrow\left(e, \mu_{0}\right)
\end{aligned}
$$

$$
\phi^{*} \Omega_{c a n}=\Omega_{Y} \Rightarrow \ldots \Rightarrow \phi(g, \nu, \eta)=\left(g F(\nu, \eta)^{-1}, \operatorname{Ad}_{F(\nu, \eta)}^{*}\left(\mu_{0}+\nu\right)\right)
$$

for some $F: \mathfrak{g}_{\mu_{0}}^{*} \times \mathfrak{g}_{\mu_{0}}^{\perp} \rightarrow G$. Moreover, $F$ must be of the form

$$
F(\nu, \eta)=\exp \left(h(\nu, \eta) \frac{\eta}{\|\eta\|}\right)
$$

for some $h: \mathfrak{g}_{\mu_{0}}^{*} \times \mathfrak{g}_{\mu_{0}}^{\perp} \rightarrow \mathbb{R}$.

## Note: if we know $F$, then we know the "tube" $\phi$

$$
F(\nu, \eta)=\exp \left(h(\nu, \eta) \frac{\eta}{\|\eta\|}\right), \nu \in \mathfrak{g}_{\mu_{0}}^{*} \simeq \mathcal{M}\left(\mathbb{R}^{?}\right), \eta \in \mathfrak{g}_{\mu_{0}}^{\perp} \simeq \mathcal{M}\left(\mathbb{R}^{?}\right)
$$

must satisfy

$$
\begin{aligned}
& \left\langle\mu_{0}+\nu,\left[F(\nu, \eta)^{-1}\left(D F(\nu, \eta) \cdot\left(\dot{\nu}_{1}, \zeta_{1}\right)\right), F(\nu, \eta)^{-1}\left(D F(\nu, \eta) \cdot\left(\dot{\nu}_{2}, \zeta_{2}\right)\right)\right]\right. \\
& +\left\langle\dot{\nu}_{2}, F(\nu, \eta)^{-1}\left(D F(\nu, \eta) \cdot\left(\dot{\nu}_{1}, \zeta_{1}\right)\right)\right\rangle \\
& -\left\langle\dot{\nu}_{1}, F(\nu, \eta)^{-1}\left(D F(\nu, \eta) \cdot\left(\dot{\nu}_{2}, \zeta_{2}\right)\right)\right\rangle=\left\langle\mu_{0},\left[\zeta_{1}, \zeta_{2}\right]\right\rangle
\end{aligned}
$$

One may compute: $\left.D F(\nu, \eta)\right|_{(0,0)}$. Then take the derivative of the above and compute $\left.D^{2} F(\nu, \eta)\right|_{(0,0)}$, and so forth...

## Note: if we know $F$, then we know the "tube" $\phi$

Unlikely to find $F$ globally, but one can calculate the its derivatives at $(0,0)$.

$$
\begin{array}{r}
\phi:\left(G \times \mathfrak{g}_{\mu_{0}}^{*} \times \mathfrak{g}_{\mu_{0}}^{\perp}, \Omega_{Y}\right) \longrightarrow\left(G \times \mathfrak{g}^{*}, \Omega_{\text {can }}\right) \\
(e, 0,0) \rightarrow \phi(e, 0,0)=\left(e, \mu_{0}\right) \\
\phi(g, \nu, \eta)=\left(g F(\nu, \eta)^{-1}, \operatorname{Ad}_{F(\nu, \eta)}^{*}\left(\mu_{0}+\nu\right)\right)
\end{array}
$$

...and so we know the derivatives of $\phi$ at the base point (i.e., at the relative equilibrium).

## The Poincaré-Birkhoff normal forms

is a method based on canonical changes of coordinates which are applied to term of a truncated Taylor expansion at the equilibrium of the Hamiltonian.
At each step $H \rightarrow \hat{H}$ the $k$-jet of $\hat{H}$ at the equilibrium becomes

$$
j^{k} \hat{H}=\hat{H}^{(2)}+\hat{H}^{(3)}+\ldots+\hat{H}^{(k)}
$$

so that $\left\{H^{(2)}, \hat{H}^{(i)}\right\}=0 \quad \forall i=2,3, \ldots k$.

$$
H_{\text {tube }}(R, \nu, \eta)=(H \circ \phi)(A, \mu)
$$

Knowing the derivatives at $(e, 0,0)$ of the tube $\phi$ (and these can be computed for any group !) is sufficient for calculating the normal form near a relative equilibrium.

## Conclusions

We "re-discovered the wheel" when about spatial rotations. Oh, well...However,

- for SO(3)-symmetric systems we understand how nice and useful the Serret-Andoyer-Deprit coordinates are. In particular, they allow the studying of perturbations of the spatial $N$-body problem in coordinates which are
a) canonical in the full phase-space, and
b) "split" the reduced dynamics into rigid-body-like and internal (vibrational) parts at a chosen (non-isotropic) point;


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a) canonical in the full phase-space, and
b) "split" the reduced dynamics into rigid-body-like and internal (vibrational) parts at a chosen (non-isotropic) point;
- for the case of free and proper symmetries (the group does not have to be compact) we do provide an iterative methodology to compute a normal form of the Hamiltonian near a relative equilibrium.


## Thank you for your attention!

This work was supported by NSERC. My presence here is partially due to a Wilfrid Laurier University Travel Grant.

